



Aalto University

CRUMPET, EIRENE A&M data, and CRMs

Andreas Holm

YACORA A&M data discussion

Remote connection

May 25th 2021

Ongoing work with the multi-fluid neutral code UEDGE initialized the work with the EIRENE neutral model

- CRUMPET was developed in order to implement a CR model into the multi-fluid code UEDGE
- CRUMPET/UEdge predictions were compared to standalone EIRENE simulations for verification purposes
- The role of vibrational molecules was investigated → outstanding questions regarding vibrationally resolved rates, processes, isotopologues, etc.
- L-mode deuterium experiments in DIII-D with HR-VUV of the molecular Fulcher band

CRUMPET is a Python tool for evaluating neutral processes and creating CRMs

- Reads A&M data from various databases (AMJUEL/ADAS/HYDHEL/H2VIBR etc)

CRUMPET is a Python tool for evaluating neutral processes and creating CRMs

- Reads A&M data from various databases (AMJUEL/ADAS/HYDHEL/H2VIBR etc)
- Creates a set of coupled ODE's based on a user input file

```
** SETTINGS
# Define the most central parameters to the simulations

# Maximum number of molecular vibrational levels to be considered
* vmax      14
# Maximum number of atomic excited levels to be considered
* nmax      8
# P-space size: P-space is built on the NW corner of the rate matrix..
# Thus, the first Np entries of species are considered to belong to the P-space.
# The Q-space is taken as the inverse intersect of N and P spaces
* Np        2
* Isotope   H
* Mass      1

# Initial densities
# Species without specified densities are assumed to be zero at t=0
* n0
H(n=1)      0e12
H2(v=0)     1e10
* verbose   0 # Show verbose output
```

```
** SPECIES
* H(n=1)
  V 2.375
* H2(v=0)
  V 0.27504
* H2+
  V 15.56
* H2(v=1)
  V 0.79104
* H2(v=2)
  V 1.27809
* H2(v=3)
  V 1.73664
* H2(v=4)
  V 2.16685
* H2(v=5)
  V 2.56873
* H2(v=6)
  V 2.94214
* H2(v=7)
  V 3.28667
* H2(v=8)
  V 3.60148
* H2(v=9)
  V 3.88512
* H2(v=10)
  V 4.13553
* H2(v=11)
  V 4.34985
* H2(v=12)
  V 4.52401
* H2(v=13)
  V 4.65343
```

```
** RATES
# Define the files for the standard inputs
AMJUEL rates/amjuel.tex
HYDHEL rates/hydhel.tex
H2VIBR rates/h2vibr.tex
```

```
** BACKGROUND
* e
* p
  V 15.975

** REACTIONS

* HYDHEL_2.2.4
e + H2(v=0) > e + H2(n=EF)

* HYDHEL_2.2.5
e + H2(v=0) > e + H2(n=a)

* HYDHEL_2.2.6
e + H2(v=0) > e + H(n=1) + H(n=2)
  K=2*0.3

* H2VIBR_2.$l1
e + H2(v=$) > e + 2*H(n=1)
  K=2*3
```

CRUMPET is a Python tool for evaluating neutral processes and creating CRMs

- Reads A&M data from various databases (AMJUEL/ADAS/HYDHEL/H2VIBR etc)
- Creates a set of coupled ODE's based on a user input file
- Time-dependent simulations and analytic equilibrium solutions

$$\frac{d}{dt}n_k = \sum_{i,j} \mathcal{R}_{i,j}^k(T)n_in_j + \sum_j A_j^k n_j - n_k \sum_{i,j} \mathcal{R}_{i,k}^j(T)n_i - n_k \sum_j A_k^j + \Gamma_{i,l}^k$$

$$\dot{\mathbf{n}}_A = \mathbf{M}(n_{B1}, \dots, n_{BN})\mathbf{n}_A + \mathbf{\Gamma}_A(n_{B1}, \dots, n_{BN})$$

CRUMPET is a Python tool for evaluating neutral processes and creating CRMs

- Reads A&M data from various databases (AMJUEL/ADAS/HYDHEL/H2VIBR etc.)
- Creates a set of coupled ODE's based on a user input file
- Time-dependent simulations and analytic equilibrium solutions
- Used to generate and evaluate Greenland-style CRMs
 - P.T. Greenland, Proc. R. Soc. A **457** (2001) 1821

$$\begin{bmatrix} \dot{\mathbf{n}}_P \\ \dot{\mathbf{n}}_Q \end{bmatrix} = \begin{bmatrix} \mathbf{M}_P & \mathbf{H} \\ \mathbf{V} & \mathbf{M}_Q \end{bmatrix} \begin{bmatrix} \mathbf{n}_P \\ \mathbf{n}_Q \end{bmatrix} + \begin{bmatrix} \mathbf{\Gamma}_P \\ \mathbf{\Gamma}_Q \end{bmatrix}$$

↓

$$\dot{\mathbf{n}}_P = \mathbf{M}_{\text{eff}} \mathbf{n}_P + \mathbf{\Gamma}'_P,$$

where

$$\mathbf{M}_{\text{eff}} = \mathbf{M}_P - \mathbf{H} \mathbf{M}_Q^{-1} \mathbf{V}$$

and

$$\mathbf{\Gamma}'_P = \mathbf{\Gamma}_P - \mathbf{\Delta} \mathbf{T}_Q^{-1} \mathbf{\Gamma}_Q.$$

Here,

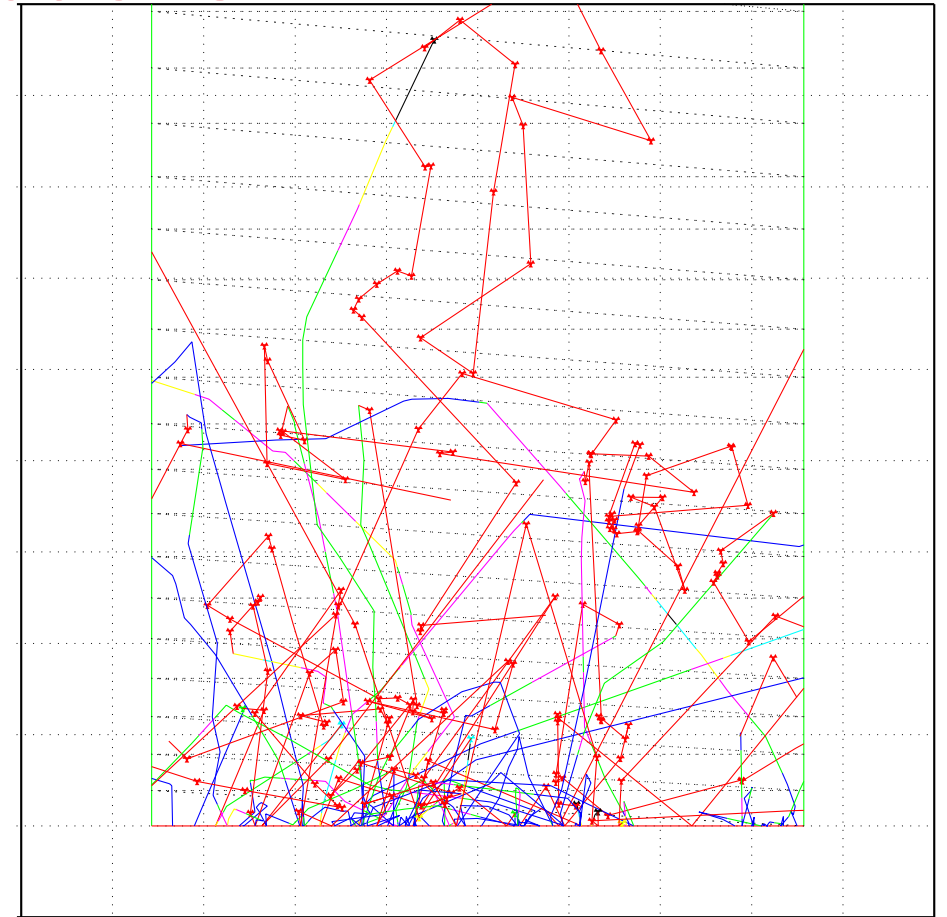
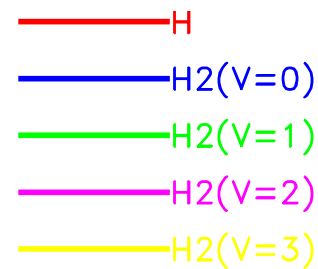
$$\mathbf{M} \mathbf{T} = \mathbf{T} \mathbf{D} = \begin{bmatrix} \mathbf{T}_P & \mathbf{\Delta} \\ \mathbf{\delta} & \mathbf{T}_Q \end{bmatrix} \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_N)$$

The CRUMPET/UEDGE simulations were compared to vibrationally unresolved EIRENE simulations

- Vibrationally “unresolved” rates “implicitly” assumes a vibrational distribution
→ $P(v)$ evaluated by Sawada/Fujimoto’s CRM
- EIRENE applies effective CR rates “piecewise” (e.g. postprocessing, etc.) in a manner that might not be self-consistent when run vibrationally unresolved
→ Propagation and stacking of errors due to approximations/simplifications
- Discrepancies observed between vibrationally resolved and unresolved EIRENE simulations for simple geometries
→ Differences in $P(v)$ predicted by EIRENE and the CRM (transport effects)

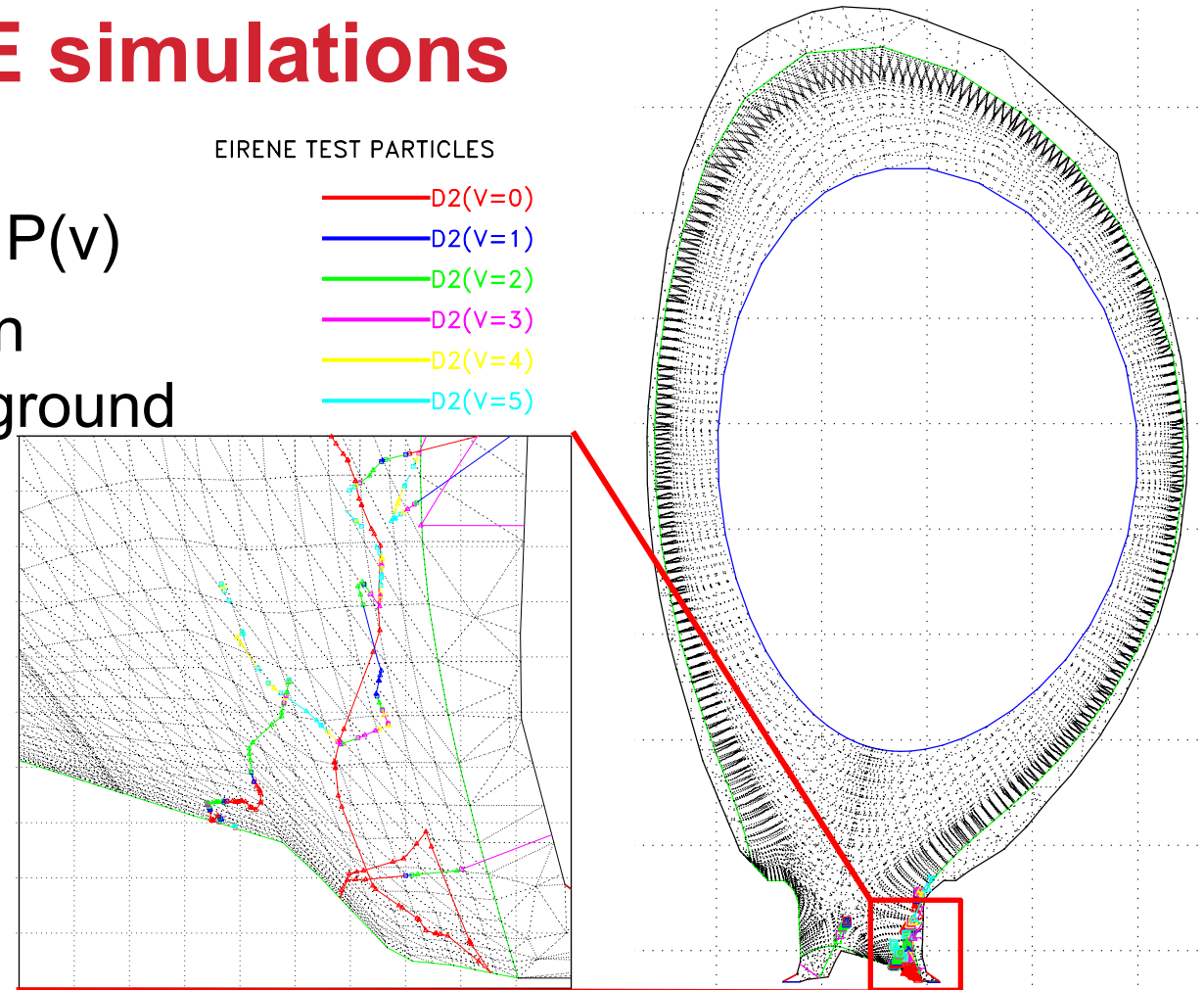
The role of vibrational excitation was investigated using standalone EIRENE simulations

- Simulations of a single flux-tube highlights the role of transport on $P(v)$



The role of vibrational excitation was investigated using standalone EIRENE simulations

- Simulations of a single flux-tube highlights the role of transport on $P(v)$
- Standalone JET simulations on an EDGE2D-predicted plasma background



The role of vibrational excitation was investigated using standalone EIRENE simulations

- Simulations of a single flux-tube highlights the role of transport on $P(v)$
 - Standalone JET simulations on an EDGE2D-predicted plasma background
- Coupled SOLPS-ITER runs of a slab to evaluate the impact on the plasma: JET L-mode cases generated, to be run

A better grasp on the atomic and molecular physics of EIRENE is required

- What are the underlying assumptions and validity range of the EIRENE A&M data?
- How large is the effects of these assumptions compared to a full/on-the-fly CRM?
- Are the AMJUEL and H2VIBR rates used by EIRENE replicable?
- How to update and maintain the EIRENE A&M data?
 - Pick up Reiter's version of the Sawada/Fujimoto code or switch to something else (YACORA)

YACORA is a well-developed, established CRM with a comprehensive, up-to-data molecular database

- The accuracy of CRM predictions is subject to the accuracy and availability of the A&M data
 - Electronically resolved
 - Vibrationally resolved (also for $n>1$)
 - Rotationally resolved (also for $n>1$)
 - First-order data for isotopologues
- Web version provides access to output of CRM \Rightarrow CRM setup, rates, and other “switches” desired for making a comparative investigation

The full capabilities of YACORA would enable comparison to the EIRENE A&M data

- Comparison of effective AMJUEL and vibrationally resolved H2VIBR rates
- Comparison of molecular radiation (Fulcher, Ly-We, H_α/D_α)
- Role and impact of resolving isotopologues (if D rates available in YACORA)
- Impact of “piecewise” post-processing vs full CR evaluation
- Assessment of $P(v)$
- Assessment of the assumption/simplification validity and impact
 - $T_e=T_i$, $n_e=n_i$, $E=0.1$ eV, no $H_2(n)$ excitation, etc.
- Momentum losses due to resonant CX from $H(n>1)$
- Evaluating molecular processes from experimental spectra with local n_e , T_e measurements

EIRENE A&M data must be better understood: YACORA could be used to verify, understand, and extend the A&M data

- Comparison and verification of reaction cross-sections/rates and simulations
 - Inclusion of additional effects via post-processing and extension of A&M data
 - Accessing, learning and using “full” YACORA
- VS
- Using CRUMPET with YACORA data, after YACORA-CRUMPET verification
- Replacement of Reiter’s version of the Sawada/Fujimoto CRM with YACORA?

Backups

CRUMPET creates a set of coupled ODEs

$$\frac{d}{dt}n_k = \underbrace{\sum_{i,j} \mathcal{R}_{i,j}^k(T)n_i n_j + \sum_j A_j^k n_j}_{\text{Creation (source)}} - \underbrace{n_k \sum_{i,j} \mathcal{R}_{i,k}^j(T)n_i - n_k \sum_j A_k^j}_{\text{Depletion (sink)}} + \Gamma_{i,l}^k$$

Collisional process
Radiative process
External source

The CRM model consists of a plasma background $B = \{e^-, p, \dots\}$ and the collisionally-radiatively modeled species $A = \{H^0, H_2, H^*, \dots\}$

$k, j \in A$ and $i, l \in B$

$\mathcal{R}_{i,j}^k(T)$ is the reaction rate of collisions between i and j yielding k

A_j^k is the Einstein coefficient describing radiative decay from j to k

Γ_k describes production of k from reaction of species $\in B$

Linearization of the ODEs for suitable $n_{B1} \in B$:

$$\dot{\mathbf{n}}_A = \underbrace{\mathbf{M}(n_{B1}, \dots, n_{BN})}_{\text{Rate matrix}} \underbrace{\mathbf{n}_A}_{\text{CRM densities}} + \underbrace{\mathbf{\Gamma}_A(n_{B1}, \dots, n_{BN})}_{\text{External source}}$$

Dependent on the atomic and molecular physics included

CRUMPET evaluates the CRM according to Greenland

- Divide the states into two subsets \mathbf{P} and $\mathbf{Q} = \mathbf{A} \setminus \mathbf{P}$ with corresponding projection operators \mathbf{P} and \mathbf{Q} projecting out the subsets, and rearrange the linearized problem

$$\begin{array}{ccc}
 \text{P-species contribution to P-population} & & \text{Q-species contribution to P-population} \\
 & \swarrow & \swarrow \\
 \begin{bmatrix} \dot{\mathbf{n}}_P \\ \dot{\mathbf{n}}_Q \end{bmatrix} = \begin{bmatrix} \mathbf{M}_P & \mathbf{H} \\ \mathbf{V} & \mathbf{M}_Q \end{bmatrix} \begin{bmatrix} \mathbf{n}_P \\ \mathbf{n}_Q \end{bmatrix} + \begin{bmatrix} \mathbf{\Gamma}_P \\ \mathbf{\Gamma}_Q \end{bmatrix} \\
 \swarrow & & \swarrow \\
 \text{P-species contribution to Q-population} & & \text{Q-species contribution to Q-population}
 \end{array}$$

- Diagonalizing the matrix, and under the assumption that $\mathbf{T}_Q^{-1} \boldsymbol{\delta} \ll \mathbf{1}$ the P-space population evolution can be solved:

$$\dot{\mathbf{n}}_P = \mathbf{M}_{\text{eff}} \mathbf{n}_P + \mathbf{\Gamma}'_P$$

where

$$\mathbf{M}_{\text{eff}} = \mathbf{M}_P - \mathbf{H} \mathbf{M}_Q^{-1} \mathbf{V}$$

P→Q reactions, redistribution within Q-population, Q→P reactions
“Sinks and sources of the P-species mediated by the Q-species”

$$\mathbf{\Gamma}'_P = \mathbf{\Gamma}_P - \Delta \mathbf{T}_Q^{-1} \mathbf{\Gamma}_Q$$

External Q-source redistributed to the P-population

$$\mathbf{M} \mathbf{T} = \mathbf{T} \mathbf{D} = \begin{bmatrix} \mathbf{T}_P & \Delta \\ \boldsymbol{\delta} & \mathbf{T}_Q \end{bmatrix} \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_N)$$

The standard CRUMPET input deck contains the following reactions

#	Reaction	Source	#	Reaction	Source
1	$e + H_2(n = 1, v = 0) \rightarrow e + H_2(n = EF)$	HYDHEL (2.2.4)	18	$e + H^- \rightarrow 3e + p$	HYDHEL (7.1.2)
2	$e + H_2(n = 1, v = 0) \rightarrow e + H_2(n = a)$	HYDHEL (2.2.5)	19	$p + H^- \rightarrow p + e + H(n = 1)$	HYDHEL (7.2.1)
3	$e + H_2(n = 1, v = 0) \rightarrow e + H(n = 1) + H(n = 2)$	HYDHEL (2.2.6)	20	$p + H^- \rightarrow p + e + H(n = 2)$	HYDHEL (7.2.2)
4	$e + H_2(n = 1, v = 0 - 14) \rightarrow e + 2H(n = 1)$	H2VIBR (2.XI1)	21	$p + H^- \rightarrow p + e + H(n = 3)$	HYDHEL (7.2.3)
5	$e + H_2(n = 1, v = 0) \rightarrow e + 2H(n = 2)$	HYDHEL (2.2.7)	22	$e + H_2(n = 1, v = 0 - 14) \rightarrow e + H_2(n = 1, v' = v \pm 1)$	H2VIBR (2.XvY)
6	$e + H_2(n = 1, v = 0) \rightarrow e + H(n = 1) + H(n = 3)$	HYDHEL (2.2.8)	23	$H(n = 1) \rightarrow H(n' < n)$	ADAS
7	$e + H_2(n = 1, v = 0 - 14) \rightarrow 2e + H_2^+$	H2VIBR (2.XI4)	24	$e + H_2(n = 1, v = 0 - 14) \rightarrow e + H_2(n = B)$	JUEL-3858
8	$e + H_2(n = 1, v = 0) \rightarrow e + p + H(n = 1)$	HYDHEL (2.2.10)	25	$e + H_2(n = 1, v = 0 - 14) \rightarrow e + H_2(n = C)$	JUEL-3858
9	$e + H_2^+ \rightarrow 2e + 2p$	HYDHEL (2.2.11)	26	$e + H_2(n = EF) \rightarrow e + H_2(n = B)$	SAWADA 19981
10	$e + H_2^+ \rightarrow 2e + p + H(n = 1)$	HYDHEL (2.2.12)	27	$H_2(n = a) \rightarrow H_2(n = b) \rightarrow 2H(n = 1)$	SAWADA 1998
11	$e + H_2^+ \rightarrow 2e + p + H(n = 2)$	HYDHEL (2.2.13)	28	$H_2(n = c) \rightarrow 2H(n = 1)$	FANTZ 2001
12	$e + H_2^+ \rightarrow H(n = 1) + H(n = 2 - 8)$	AMJUEL (2.2.14)	29	$H_2(n = B) \rightarrow H_2(n = 1, v = 0 - 14)$	JUEL-3858
13	$e + H_2(n = 1, v = 0 - 14) \rightarrow H(n = 1) + H^-$	H2VIBR (2.XI3)	30	$H_2(n = C) \rightarrow H_2(n = 1, v = 0 - 14)$	JUEL-3858
14	$p + H_2(n = 1, v = 0 - 14) \rightarrow H_2^+ + H(n = 1)$	H2VIBR (2.XI2)	31	$H_2(n = B) \rightarrow 2H(n = 1)$	JUEL-3858
15	$p + H_2(n = 1, v = 0) \rightarrow p + H_2^+ + e$	HYDHEL (3.2.5)	32	$H_2(n = C) \rightarrow 2H(n = 1)$	JUEL-3858
16	$p + H_2^+ \rightarrow 2p + H(n = 1)$	HYDHEL (3.2.6)	33	$e + H_2(n = 1, v = 0 - 14) \rightarrow e + H_2(n = c)$	SAWADA 1995
17	$e + H^- \rightarrow 2e + H(n = 1)$	HYDHEL (7.1.1)			

CRUMPET has the capability to calculate the photon intensity locally – verification undergoing

- The CRUMPET radiation intensities are calculated using

$$\mathbf{I} = \mathbf{R}_\gamma \text{diag}(\mathbf{n}_{ss})V,$$

where \mathbf{R}_γ is the masked reaction matrix \mathbf{M} containing radiative reactions only ($R_{i,j} = \delta(E_\gamma > 0) \sum_k \mathcal{R}_{i,k}(T)n_k$) and \mathbf{n}_{ss} the steady-state neutral densities

- The calculation requires the steady-state densities of all neutral species
→ Dependent on local plasma conditions, accuracy or CR approximation, etc

The local neutral steady-state densities can be solved from the ODE system but requires knowledge of sinks & sources

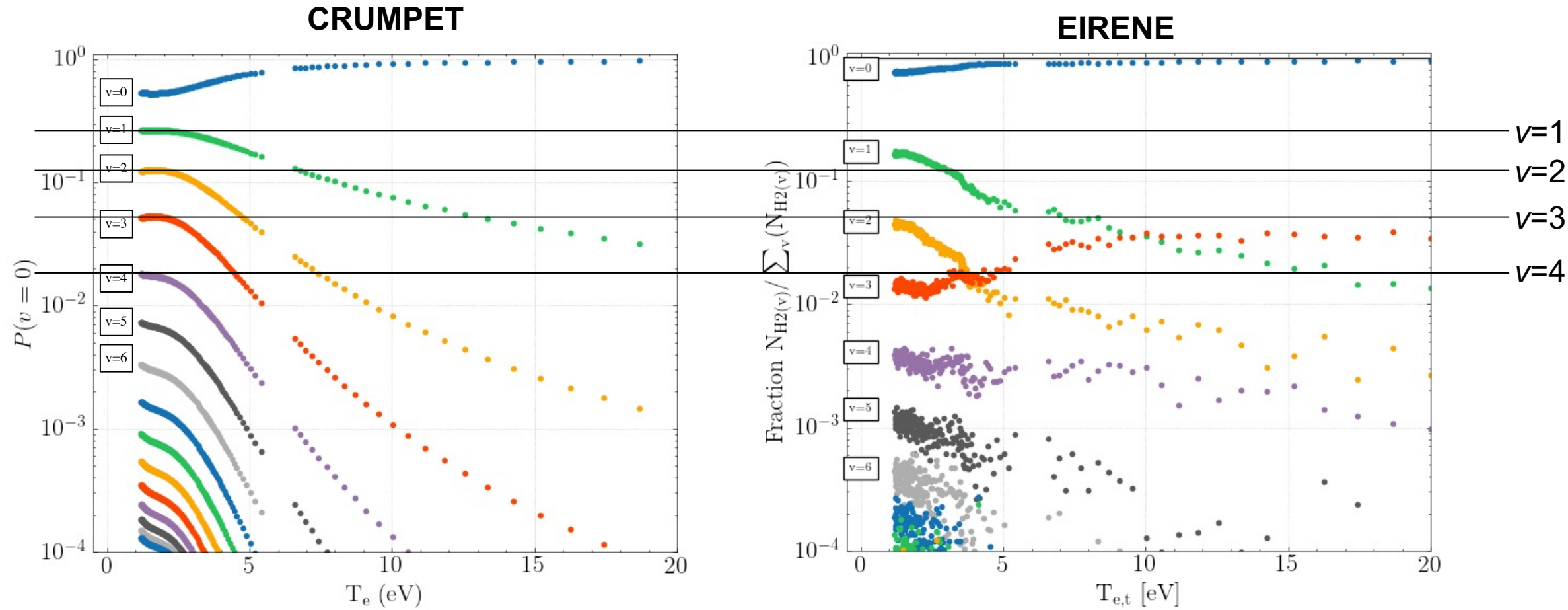
- $\dot{\mathbf{n}}_A = \mathbf{M}(n_{B1}, \dots, n_{BN})\mathbf{n}_A + \mathbf{\Gamma}_A(n_{B1}, \dots, n_{BN}) = 0$
 - Solution dependent on $\mathbf{\Gamma}_A$
- Given a steady-state distribution, species lifetimes can be calculated by introducing perturbations to the steady-state
- Lifetimes can also be calculated from the eigenvalues of \mathbf{M}
 - Not possible to associate eigenvalues with neutral species

CRUMPET was used to solve a set of ODE's containing the same vibrationally dependent reactions as EIRENE

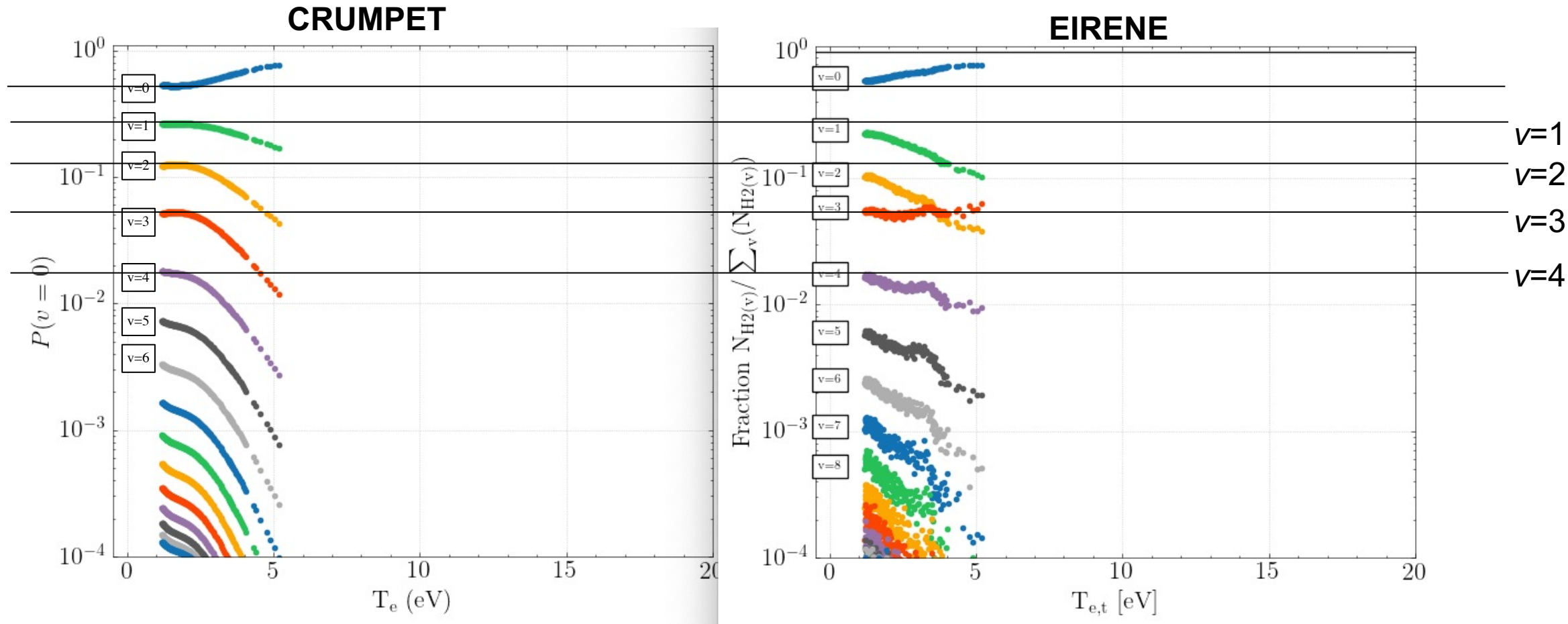
Database	Rate	Reaction
AMJUEL	2.2.10	$e + H_2 \rightarrow 2e + H + H^+$
H2VIBR	2.2.Xv(X-1)	$e + H_2 (v=X) \rightarrow e + H_2 (v=X-1)$
H2VIBR	2.2.Xv(X+1)	$e + H_2 (v=X) \rightarrow e + H_2 (v=X+1)$
H2VIBR	2.2XI1	$e + H_2 (v=X) \rightarrow e + 2H$
H2VIBR	2.2XI2	$p + H_2 (v=X) \rightarrow H + H_2^+$
H2VIBR	2.2XI4	$e + H_2 (v=X) \rightarrow 2e + H_2^+$

- Reaction rates taken from AMJUEL and H2VIBR
- 0-dimensional (transport-free) simulations
- Particle balance conserved by source of $H_2(v=0)$

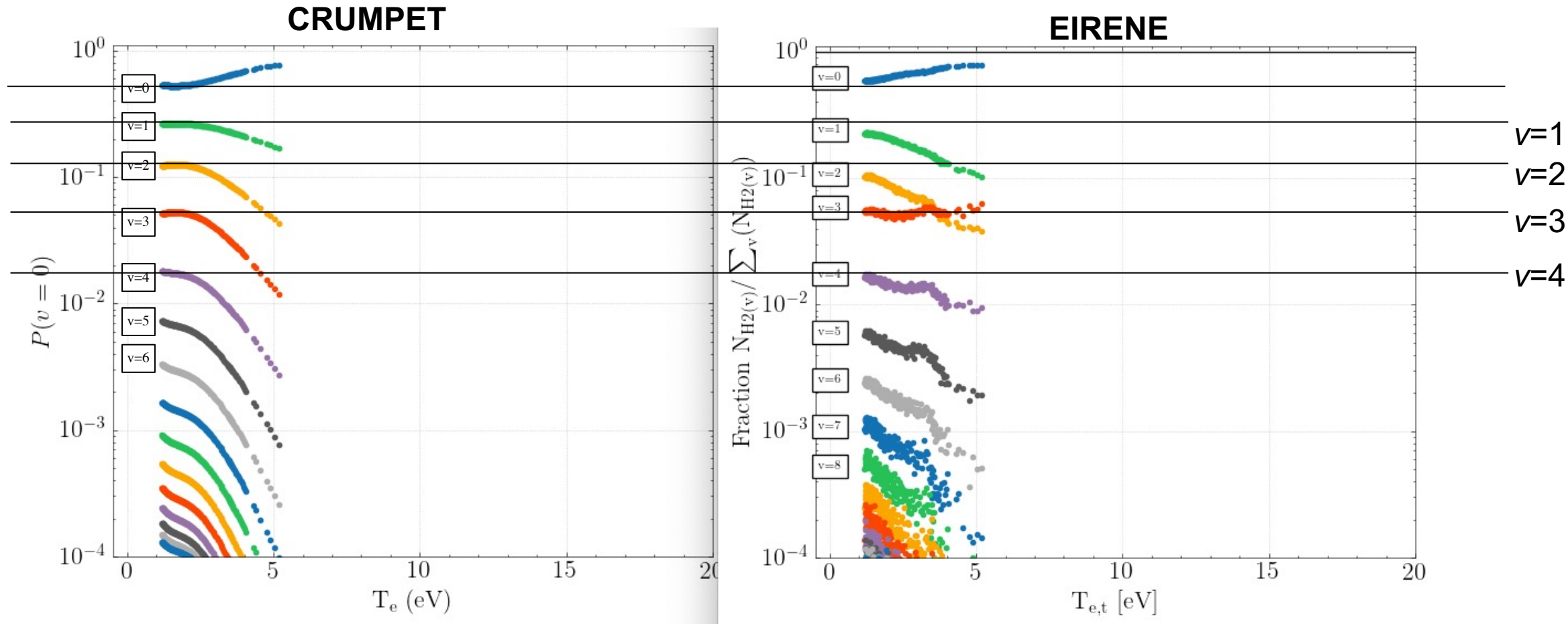
The distribution predicted by EIRENE differs significantly from CRUMPET predictions with same molecular rates



The effect is significantly reduced when the FT width is increased from 1cm to 10cm: $v=3$ still shows discrepancy



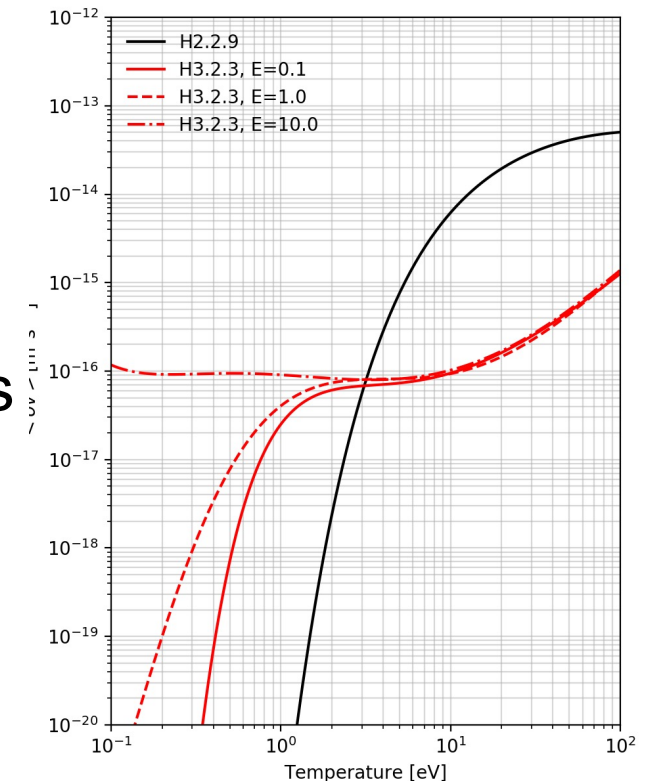
The effect is significantly reduced when the FT width is increased from 1cm to 10cm: $v=3$ still shows discrepancy



The difference between the effective rates and the vibrationally resolved rates are likely due to $P(v)$

H2+ creation rate

- H2VIBR vibrational rates, including vibrational excitation, derived by rescaling original rates
- Transport of vibrationally excited molecules, which is not considered by CR modelling, likely to be the cause of the difference



The initial state of the molecules play a significant role for the neutral content: $v=2$ agrees well with vib unresolved H_2

- The behavior supports the theory of the H_2^+ channel being the driver

