

# Upgrade of GENE-3D to an electromagnetic turbulence code

Felix Wilms, Alejandro Bañon Navarro, et al. Meeting TSVV group 13







• "Development of an electromagnetic version of GENE-3D and implementation of methods that allow to use larger time steps"



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D2.1: "Study the turbulent transport of the main ions and electrons, including the nonlinear interplay between ITG and TEM turbulence in W7-X. This will allow to anticipate fundamental features of turbulence in W7-X OP2 plasmas."



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- Due date: Dec. 2021; Finished date: June 2021
- GENE-3D is now able to run simulations with kinetic electrons with reasonable amounts of computational effort
- $\bullet$  Furthermore, it is capable of including electromagnetic effects coming from magnetic flutter (perturbed  $A_{||})$



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- GENE-3D is a  $\delta f$  code: Split full distribution function into local Maxwellian and first-order perturbation:

$$F_{\sigma}\left(\mathbf{X}, v_{||}, \mu, t\right) = F_{M,\sigma}\left(\mathbf{X}, v_{||}, \mu\right) + F_{1,\sigma}\left(\mathbf{X}, v_{||}, \mu, t\right)$$



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• Uses a field-aligned coordinate system:

$$x = \rho_{tor} = \sqrt{\Phi_{tor}/\Phi_{edge}};$$
  $\Phi_{tor}:$  Toroidal flux  $y = \sigma_{B_p} C_y \alpha;$   $\alpha:$  Field line label  $z = \sigma_{B_p} \theta^*;$   $\theta^*:$  Toroidal PEST angle



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• Can perfom simulations of flux-tubes, single flux-surfaces and radially global domains



$$\frac{\partial F_{1,\sigma}}{\partial t} = -\left[v_{||}\mathbf{b}_{0} + (\mathbf{v}_{\nabla B} + \mathbf{v}_{c})\right] \cdot \left(\nabla F_{1,\sigma} + \frac{q_{\sigma}F_{M,\sigma}}{T_{0,\sigma}}\nabla\mathcal{G}\{\phi_{1}\}\right) + \frac{\mu}{m_{\sigma}}\mathbf{b}_{0} \cdot \nabla B_{0}\frac{\partial F_{1,\sigma}}{\partial v_{||}} \\
-\mathbf{v}_{E_{1}} \cdot \left[\nabla \ln(n_{0,\sigma}) + \nabla \ln(T_{0,\sigma})\left(\frac{m_{\sigma}v_{||}^{2}/2 + \mu B_{0}}{T_{0,\sigma}} - \frac{3}{2}\right)\right]F_{M,\sigma} \\
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-\mathbf{v}_{E_{0}} \cdot \nabla F_{1,\sigma} + C[F_{1,\sigma}]$$

#### Gyroaverage operators:

#### Particle drifts:

$$\mathcal{G}\{\}: \text{Push-forward} \qquad \mathbf{v}_{\nabla B} \equiv \frac{\mu c}{q_{\sigma} B_{0}^{2}} \mathbf{B}_{0} \times \nabla B_{0}, \quad \mathbf{v}_{c} \equiv \frac{v_{||}^{2}}{\Omega_{\sigma}} (\nabla \times \mathbf{b}_{0})_{\perp}$$

$$\mathcal{K}\{\}: \text{Pull-back} \qquad \mathbf{v}_{E_{0}} \equiv \frac{c}{B_{0}^{2}} \mathbf{B}_{0} \times \nabla \phi_{0}, \quad \mathbf{v}_{E_{1}} \equiv \frac{c}{B_{0}^{2}} \mathbf{B}_{0} \times \nabla \mathcal{G} \{\phi_{1}\}$$



$$\begin{split} \frac{\partial F_{1,\sigma}}{\partial t} &= -\left[v_{||}\mathbf{b}_0 + (\mathbf{v}_{\nabla B} + \mathbf{v}_c)\right] \cdot \left(\nabla F_{1,\sigma} + \frac{q_{\sigma}F_{M,\sigma}}{T_{0,\sigma}}\nabla\mathcal{G}\{\phi_1\}\right) + \frac{\mu}{m_{\sigma}}\mathbf{b}_0 \cdot \nabla B_0 \frac{\partial F_{1,\sigma}}{\partial v_{||}} \\ &- \mathbf{v}_{E_1} \cdot \left[\nabla \ln(n_{0,\sigma}) + \nabla \ln(T_{0,\sigma}) \left(\frac{m_{\sigma}v_{||}^2/2 + \mu B_0}{T_{0,\sigma}} - \frac{3}{2}\right)\right] F_{M,\sigma} \\ &- \mathbf{v}_{E_1} \cdot \left(\nabla F_{1,\sigma} + \frac{q_{\sigma}F_{M,\sigma}}{T_{0,\sigma}}\nabla\mathcal{G}\{\phi_1\}\right) \\ &- \mathbf{v}_{E_0} \cdot \nabla F_{1,\sigma} + C[F_{1,\sigma}] \end{split}$$
 Linearised Landau-Boltzmann collision operator

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$$\sum_{\sigma} q_{\sigma}^{2} \int \left( \frac{F_{M,\sigma}}{T_{0,\sigma}} \phi_{1} - \mathcal{K} \left\{ \frac{F_{M,\sigma}}{T_{0,\sigma}} \mathcal{G} \left\{ \phi_{1} \right\} \right\} \right) d^{3}v = \sum_{\sigma} q_{\sigma} \int \mathcal{K} \left\{ F_{1,\sigma} \right\} d^{3}v$$



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- Works well for adiabatic electron simulations
- Kinetic electron simulations with  $\beta = 0$  have severe time step restrictions due to  $\omega_H$  mode [\*]



$$\begin{split} \frac{\partial F_{1,\sigma}}{\partial t} &= -\left[v_{||}\mathbf{b}_0 + (\mathbf{v}_{\nabla B} + \mathbf{v}_c)\right] \cdot \left(\nabla F_{1,\sigma} + \frac{q_\sigma F_{M,\sigma}}{T_{0,\sigma}} \nabla \mathcal{G}\{\phi_1\}\right) + \frac{\mu}{m_\sigma}\mathbf{b}_0 \cdot \nabla B_0 \frac{\partial F_{1,\sigma}}{\partial v_{||}} \\ &- \mathbf{v}_{E_1} \cdot \left[\nabla \ln(n_{0,\sigma}) + \nabla \ln(T_{0,\sigma}) \left(\frac{m_\sigma v_{||}^2/2 + \mu B_0}{T_{0,\sigma}} - \frac{3}{2}\right)\right] F_{M,\sigma} \\ \text{Radial electric field} & - \mathbf{v}_{E_1} \cdot \left(\nabla F_{1,\sigma} + \frac{q_\sigma F_{M,\sigma}}{T_{0,\sigma}} \nabla \mathcal{G}\{\phi_1\}\right) \\ &- \mathbf{v}_{E_0} \cdot \nabla F_{1,\sigma} + C[F_{1,\sigma}] \end{split} \qquad \qquad \text{Linearised Landau-Boltzmann collision operator} \end{split}$$

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- Kinetic electron simulations with  $\beta = 0$  have severe time step restrictions due to  $\omega_H$  mode [\*]
- Cannot account for electromagnetic effects

### Upgrade to an electromagnetic code



$$\frac{\partial F_{1,\sigma}}{\partial t} = -\left[v_{||}\mathbf{b}_{0} + (\mathbf{v}_{\nabla B} + \mathbf{v}_{c})\right] \cdot \left(\nabla F_{1,\sigma} + \frac{q_{\sigma}F_{M,\sigma}}{T_{0,\sigma}}\nabla\mathcal{G}\{\phi_{1}\}\right) + \frac{\mu}{m_{\sigma}}\mathbf{b}_{0} \cdot \nabla B_{0}\frac{\partial F_{1,\sigma}}{\partial v_{||}} \\
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• Explicit time derivative in the RHS makes finite difference scheme unstable

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• Previous attemps of GENE by solving GK equation for  $g_{\sigma} = F_{1,\sigma} + \frac{q_{\sigma}v_{||}}{c} \frac{F_{M,\sigma}}{T_{0,\sigma}} \mathcal{G}\{A_{1,||}\}$  become unstable nonlinearly at high  $\beta$ 

#### Gyroaverage operators: Particle drifts:

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• Define 
$$E_{||}^{ind} = -\frac{1}{c} \frac{\partial A_{1,||}}{\partial t}$$



- Define  $E_{||}^{ind} = -\frac{1}{c} \frac{\partial A_{1,||}}{\partial t}$
- Write GK equation as  $\frac{\partial F_{1,\sigma}}{\partial t} = R_{\sigma} + q_{\sigma} v_{||} \frac{F_{M,\sigma}}{T_{0,\sigma}} \mathcal{G} \left\{ E_{||}^{ind} \right\}$



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- Take derivative of Ampere's law wrt time:

$$-\frac{1}{c}\nabla_{\perp}^{2}\frac{\partial A_{1,||}}{\partial t} = \nabla_{\perp}^{2}E_{||}^{ind} = \frac{4\pi}{c^{2}}\sum_{\sigma}q_{\sigma}\int v_{||}\mathcal{K}\left\{\frac{\partial F_{1,\sigma}}{\partial t}\right\}d^{3}v.$$



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• Final equation:

$$\nabla_{\perp}^{2} E_{||}^{ind} - \frac{4\pi}{c^{2}} \sum_{\sigma} q_{\sigma}^{2} \int v_{||}^{2} \mathcal{K} \left\{ \frac{F_{M,\sigma}}{T_{0,\sigma}} \mathcal{G} \left\{ E_{||}^{ind} \right\} \right\} d^{3}v = \frac{4\pi}{c^{2}} \sum_{\sigma} q_{\sigma} \int v_{||} \mathcal{K} \{ R_{\sigma} \} d^{3}v$$

### Final set of equations



$$\begin{split} \frac{\partial F_{1,\sigma}}{\partial t} &= R_{\sigma} + q_{\sigma} v_{||} \frac{F_{M,\sigma}}{T_{0,\sigma}} \mathcal{G} \left\{ E_{||}^{ind} \right\} \\ R_{\sigma} &= -\left[ v_{||} \mathbf{b}_{0} + (\mathbf{v}_{\nabla B} + \mathbf{v}_{c}) \right] \cdot \left( \nabla F_{1,\sigma} + \frac{q_{\sigma} F_{M,\sigma}}{T_{0,\sigma}} \nabla \mathcal{G} \{\phi_{1}\} \right) + \frac{\mu}{m_{\sigma}} \mathbf{b}_{0} \cdot \nabla B_{0} \frac{\partial F_{1,\sigma}}{\partial v_{||}} \\ &- \mathbf{v}_{\chi} \cdot \left[ \nabla \ln(n_{0,\sigma}) + \nabla \ln(T_{0,\sigma}) \left( \frac{m_{\sigma} v_{||}^{2} / 2 + \mu B_{0}}{T_{0,\sigma}} - \frac{3}{2} \right) \right] F_{M,\sigma} \\ &- \mathbf{v}_{\chi} \cdot \left( \nabla F_{1,\sigma} + \frac{q_{\sigma} F_{M,\sigma}}{T_{0,\sigma}} \nabla \mathcal{G} \{\phi_{1}\} \right) \\ &- \mathbf{v}_{E_{0}} \cdot \nabla F_{1,\sigma} + C[F_{1,\sigma}] \end{split}$$

#### Gyroaverage operators: Particle drifts:

$$\mathcal{G}\{\}: \text{Push-forward} \qquad \mathbf{v}_{\nabla B} \equiv \frac{\mu c}{q_{\sigma} B_{0}^{2}} \mathbf{B}_{0} \times \nabla B_{0}, \quad \mathbf{v}_{c} \equiv \frac{v_{||}^{2}}{\Omega_{\sigma}} (\nabla \times \mathbf{b}_{0})_{\perp}$$

$$\mathcal{K}\{\}: \text{Pull-back} \qquad \mathbf{v}_{E_{0}} \equiv \frac{c}{B_{0}^{2}} \mathbf{B}_{0} \times \nabla \phi_{0}, \quad \mathbf{v}_{E_{1}} \equiv \frac{c}{B_{0}^{2}} \mathbf{B}_{0} \times \nabla \mathcal{G} \{\phi_{1}\} \rightarrow \mathbf{v}_{\chi} \equiv \frac{c}{B_{0}^{2}} \mathbf{B}_{0} \times \nabla \mathcal{G} \{\phi_{1} - \frac{v_{||}}{c} A_{1,||}\}$$

#### Field equations:

$$\begin{split} & \sum_{\sigma} q_{\sigma}^{2} \int \left( \frac{F_{M,\sigma}}{T_{0,\sigma}} \phi_{1} - \mathcal{K} \left\{ \frac{F_{M,\sigma}}{T_{0,\sigma}} \mathcal{G} \left\{ \phi_{1} \right\} \right\} \right) d^{3}v = \sum_{\sigma} q_{\sigma} \int \mathcal{K} \{F_{1,\sigma}\} d^{3}v \\ & \nabla_{\perp}^{2} A_{1,||} = -\frac{4\pi}{c} \sum_{\sigma} j_{1,||,\sigma} = -\frac{4\pi}{c} \sum_{\sigma} \int v_{||} \mathcal{K} \{F_{1,\sigma}\} d^{3}v \\ & \nabla_{\perp}^{2} E_{||}^{ind} - \frac{4\pi}{c^{2}} \sum_{\sigma} q_{\sigma}^{2} \int v_{||}^{2} \mathcal{K} \left\{ \frac{F_{M,\sigma}}{T_{0,\sigma}} \mathcal{G} \left\{ E_{||}^{ind} \right\} \right\} d^{3}v = \frac{4\pi}{c^{2}} \sum_{\sigma} q_{\sigma} \int v_{||} \mathcal{K} \{R_{\sigma}\} d^{3}v \end{split}$$

### Linear electromagnetic tokamak benchmark against GENE

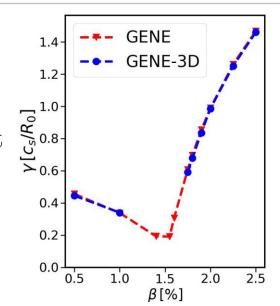


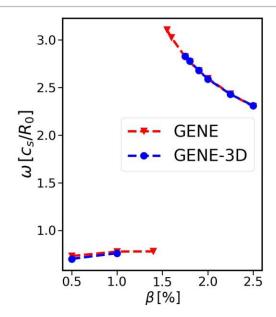
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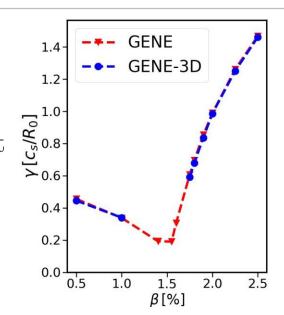


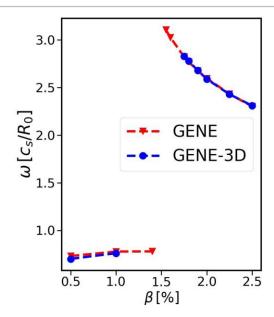


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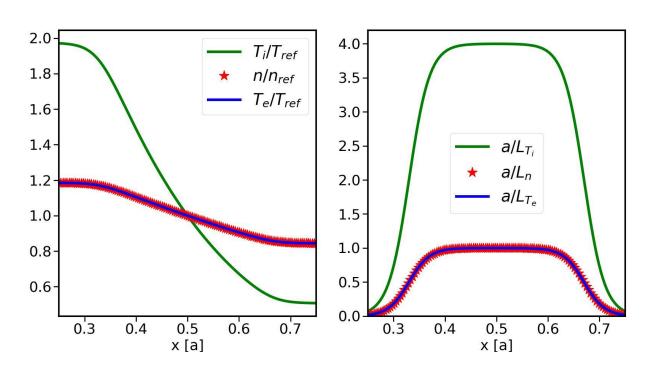
- Verify EM upgrade against x-global version of GENE, performing  $\beta$ -scan presented in [\*]
- GENE-3D and GENE show excellent agreement for both, growth rates and frequencies, in ITG as well as KBM regime
- Nonlinear benchmark was also conducted, but is omitted here for simplicity





### **Preliminary: EM ITG turbulence in W7-X**

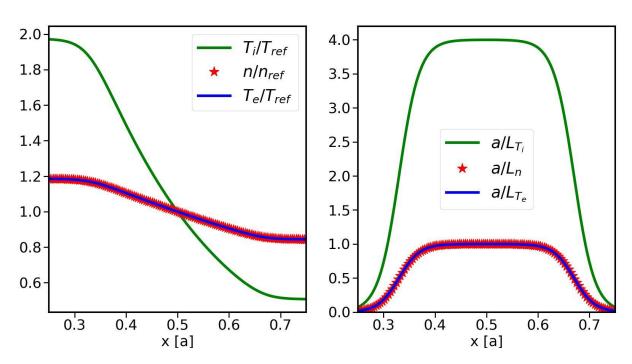




- Use flattop profiles with strong ITG drive
- Standard configuration, geometry consistent with profiles
- Realistic electron mass
- $\rho_{ref}^* = 1/184$
- Smaller  $\rho_{ref}^*$  makes the simulation cheaper [c.f.  $\rho_{\rm realistic}^* \sim 1/350$ ]; realistic  $\rho^*$  is still doable

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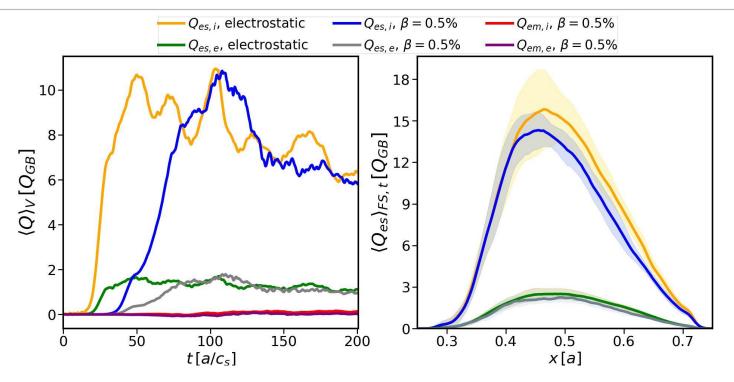


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Compare:

- 1. Kinetic electrons in the "electrostatic limit"  $\beta_e(x/a=0.5)=10^{-4}$
- 2. Kinetic electrons with  $\beta_e(x/a=0.5)=0.5\%$





- Reduction of ion transport channel: Peak value decreases from  $(15.8 \pm 2.8) \, \mathrm{Q_{GB}} \rightarrow (14.3 \pm 1.3) \, \mathrm{Q_{GB}}$  through electromagnetic effects
- Electron channel reduced from  $(2.5 \pm 0.4) \, \mathrm{Q_{GB}} \rightarrow (2.3 \pm 0.3) \, \mathrm{Q_{GB}}$
- $\Rightarrow$  Slight reduction ( $\sim 10\%$ ) in nonlinear heat flux



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### Thank you for your attention!