



# RABBIT: A high-fidelity code to simulate the NBI fast-ion distribution in real-time

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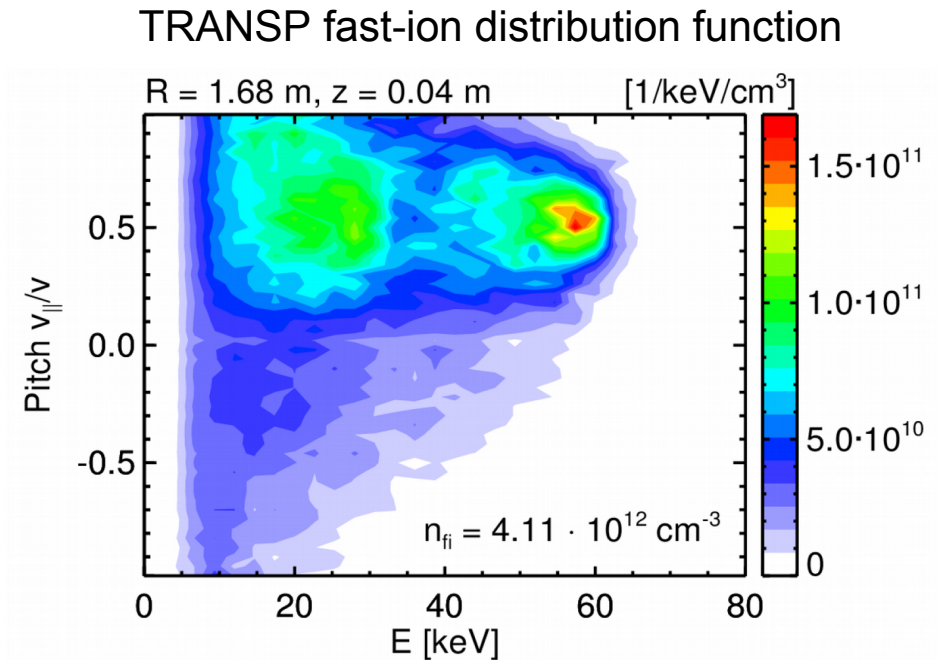
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# RABBIT: Real-time model for the NBI fast-ion distribution



## Motivation:

- Fast ion distribution function is required for instance:
  - Heating profiles for transport calculations
  - pressure and current-drive for equilibrium reconstructions
- Sophisticated simulation codes exist (e.g. TRANSP/NUBEAM based on Monte Carlo), but long computation time (  $\sim 30$  s per time-step )
- $\rightarrow$  Too slow for real-time applications (e.g. discharge control systems, real-time transport solvers like RAPTOR)
- $\rightarrow$  Develop fast model  
Rapid Analytical Based Beam Injection Tool – RABBIT [M. Weiland, NF 2018]  
(  $\sim 20$  ms per time-step )



Kinetic equation for distribution function  $f(x, v, t)$

$$\frac{\partial f}{\partial t} + \underbrace{\mathbf{v} \cdot \nabla_x f + \mathbf{a} \cdot \nabla_v f}_{\text{Orbit effects}} = \nabla_v \cdot \Gamma_c(f) + \text{Source}$$

Orbit effects

$$\mathbf{a} = \frac{q}{m} \left( \mathbf{E} + \frac{\mathbf{v}}{c} \times \mathbf{B} \right)$$

Time dependence  
(=0 for steady state  
solution)

collisions  
(e.g. slowing down,  
pitch angle scattering)

Source = NBI  
deposition

Kinetic equation for distribution function  $f(x, v, t)$

$$\frac{\partial f}{\partial t} + \underbrace{\mathbf{v} \cdot \nabla_x f + \mathbf{a} \cdot \nabla_v f}_{\text{3. Orbit effects}} = \nabla_v \cdot \Gamma_c(f) + \text{Source}$$

3. Orbit effects

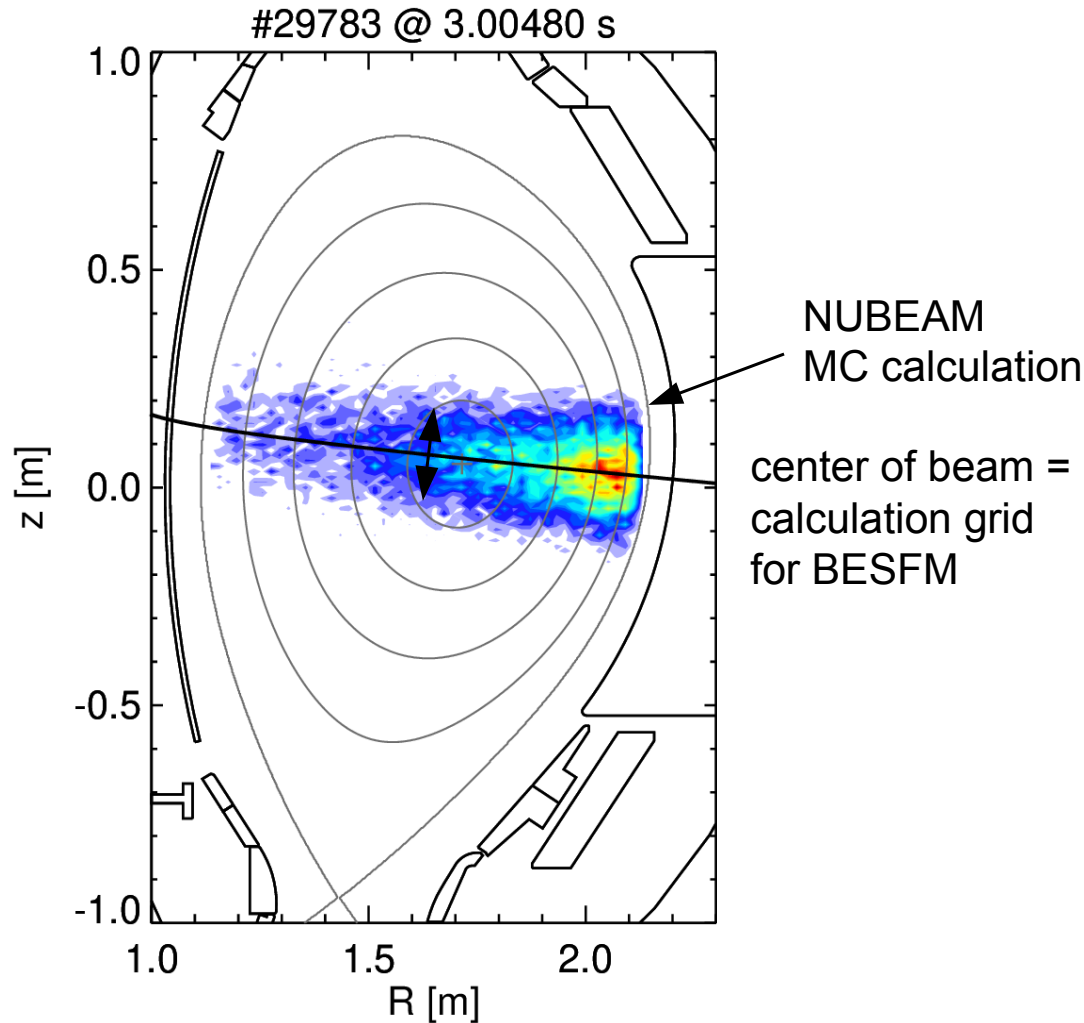
$$\mathbf{a} = \frac{q}{m} \left( \mathbf{E} + \frac{\mathbf{v}}{c} \times \mathbf{B} \right)$$

4. Time dependence  
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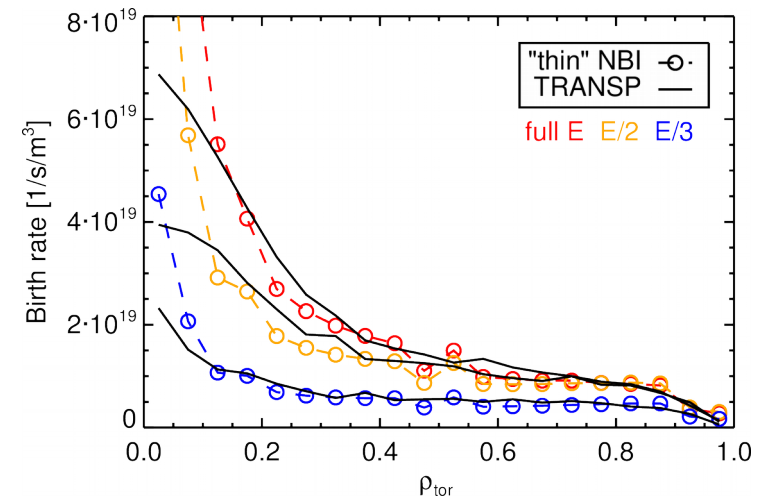
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# Beam deposition (birth profile)

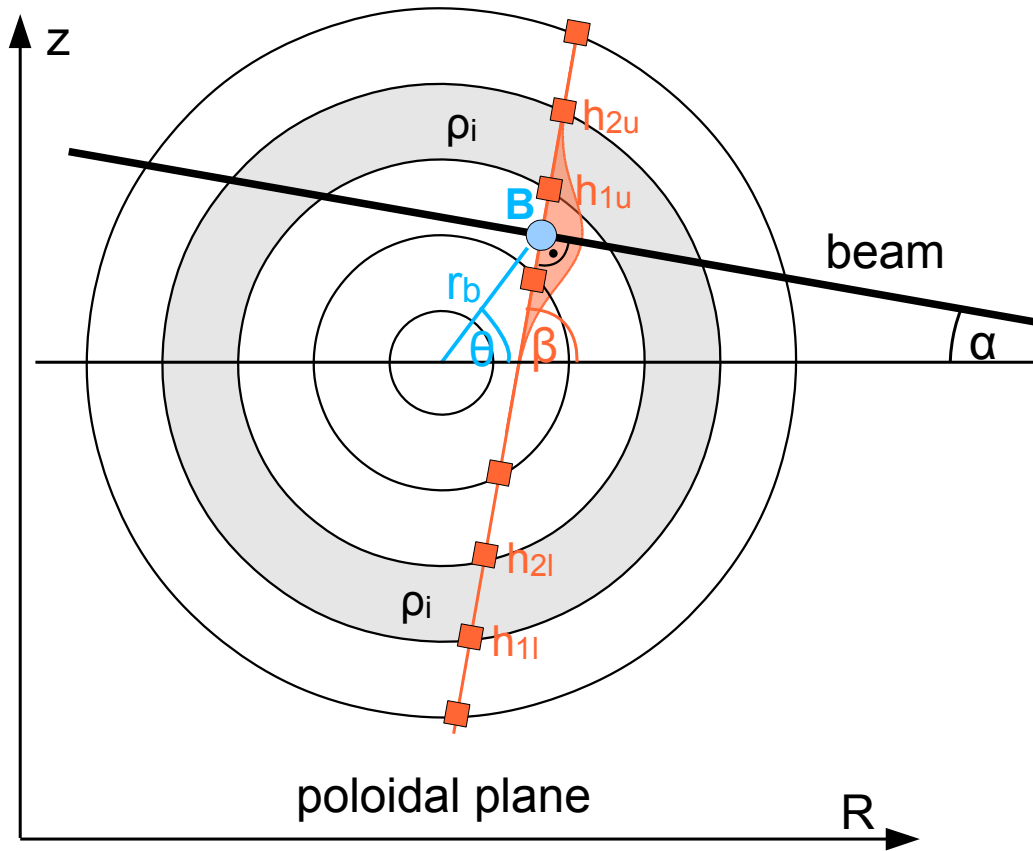


- Injection of fast neutrals into the plasma → Ionization → newly “born” fast ion
- Fast-ion birth rate = - (beam attenuation rate)
- Calculation of beam attenuation: BESFM Code by A. Lebschy, R. Dux, IPP
- We use the simplest geometry: NBI as thin line
- Good approximation for attenuation – for birth profile, we need to take into account the beam width:



- Assume a Gaussian broadening with standard deviation  $\sigma(l)$ ,  $l$  = coordinate along beam,  $\sigma(l)$  defined by NBI parameters (e.g. divergence)

# Analytic model for the poloidal beam width



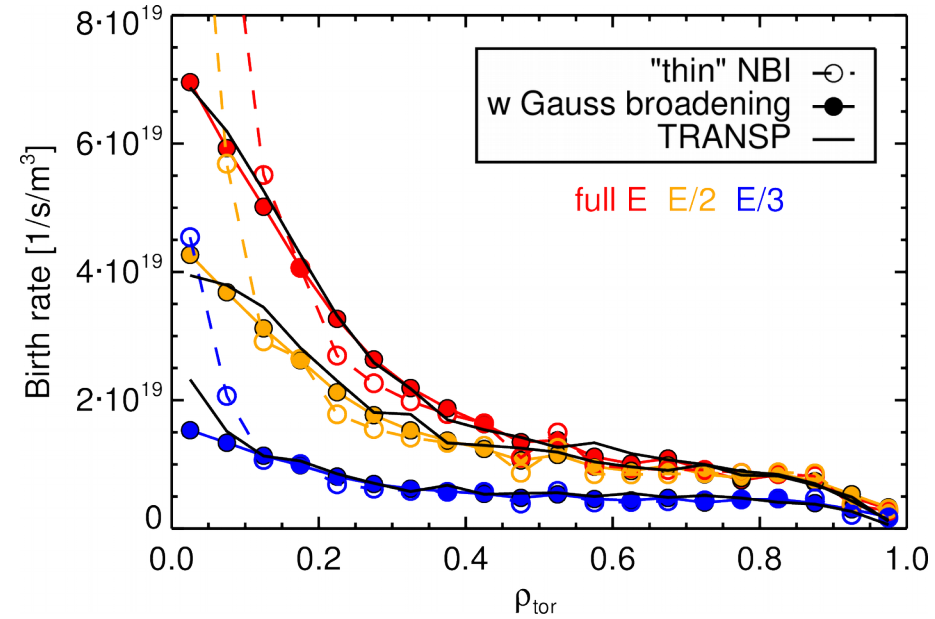
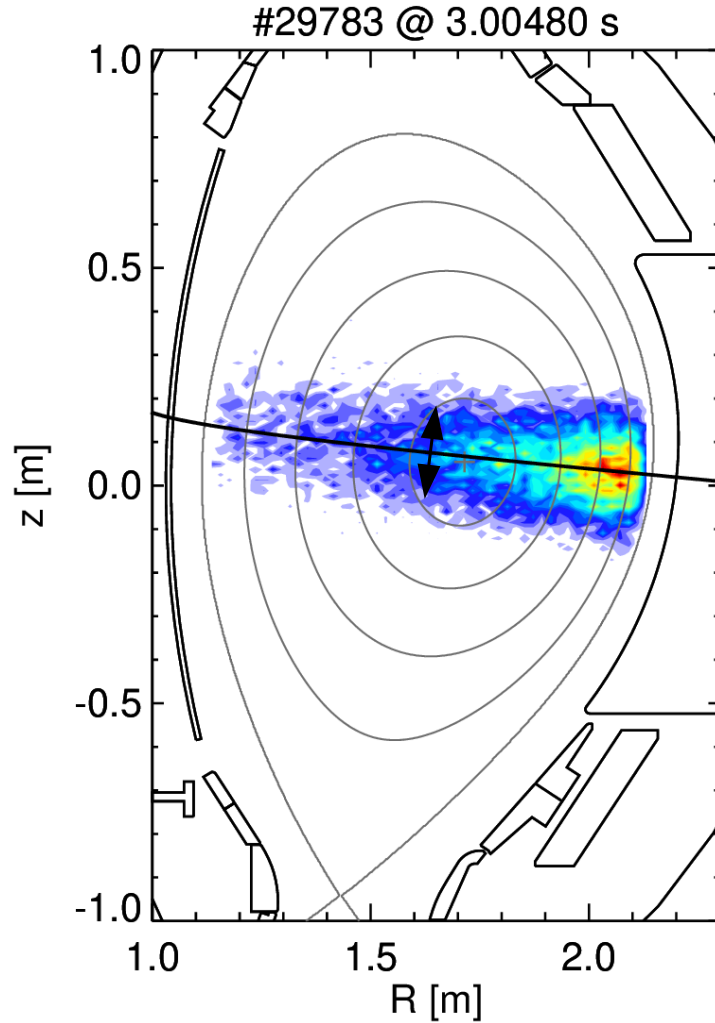
- We assume Gaussian spreading along orange line (standard deviation  $\sigma$ )
- Assume circular concentric flux surfaces
- Transformation between flux coordinate  $\rho$  and geometric radius  $r$  based on ratio at  $B$ :  
 $r(\rho) = \rho \cdot (r_b / \rho_b)$
- → Crossings  $\blacksquare$  with  $\rho$ -cells can be calculated analytically
- → Contribution into  $i$ -th cell  $\rho_i$ :

$$\frac{1}{2} \left( \operatorname{erf} \frac{h_{2u}}{\sqrt{2}\sigma} - \operatorname{erf} \frac{h_{1u}}{\sqrt{2}\sigma} \right) + \frac{1}{2} \left( \operatorname{erf} \frac{h_{2l}}{\sqrt{2}\sigma} - \operatorname{erf} \frac{h_{1l}}{\sqrt{2}\sigma} \right)$$

- Correction for plasma elongation:  
Scale beam width  $\sigma$  according to elongation  $b/a$  at  $B$ .

$$\sigma = \sigma_0 \cdot \sqrt{\frac{(a \cos \theta)^2 + (b \sin \theta)^2}{(a \cos \beta)^2 + (b \sin \beta)^2}}$$

# Beam deposition (birth profile) with beam-width correction



- Taking into account a Gaussian broadening of the beam leads to good agreement with TRANSP/NUBEAM

Kinetic equation for distribution function  $f(x, v, t)$

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3. Orbit effects

$$\mathbf{a} = \frac{q}{m} \left( \mathbf{E} + \frac{\mathbf{v}}{c} \times \mathbf{B} \right)$$

4. Time dependence  
(=0 for steady state  
solution)

2. collisions  
(e.g. slowing down,  
pitch angle scattering)

1. Source = NBI  
deposition



# Analytic solution of the Fokker-Planck equation

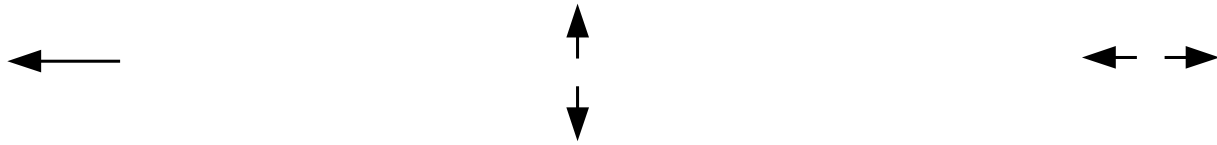
$$\frac{1}{\tau_s v^2} \frac{\partial}{\partial v} [(v^3 + v_c^3) f] + \frac{\beta v_c^3}{\tau_s v^3} \frac{\partial}{\partial \xi} (1 - \xi^2) \frac{\partial f}{\partial \xi} + \frac{1}{\tau_s v^2} \frac{\partial}{\partial v} \left[ \left( \frac{T_e}{m_{fi}} v^2 + \frac{T_i}{m_{fi}} \frac{v_c^3}{v} \right) \frac{\partial f}{\partial v} \right] = \frac{\partial f}{\partial t} - \frac{S}{2\pi v^2} \delta(v - v_0) K(\xi)$$

slowing down

pitch angle scattering

speed diffusion

source term



S: deposition, v0: injection velocity (mono-energetic)  
K(ξ): broad pitch distribution

- Solution [Cordey/Core 74]:

Legendre polynomials

$$f(v, \xi) = \frac{1}{2\pi} \frac{S \cdot \tau_s}{v^3 + v_c^3} \cdot \sum_{l=0}^{\infty} \left(l + \frac{1}{2}\right) u^{l(l+1)} P_l(\xi) K_l \cdot H(v_0 - v)$$

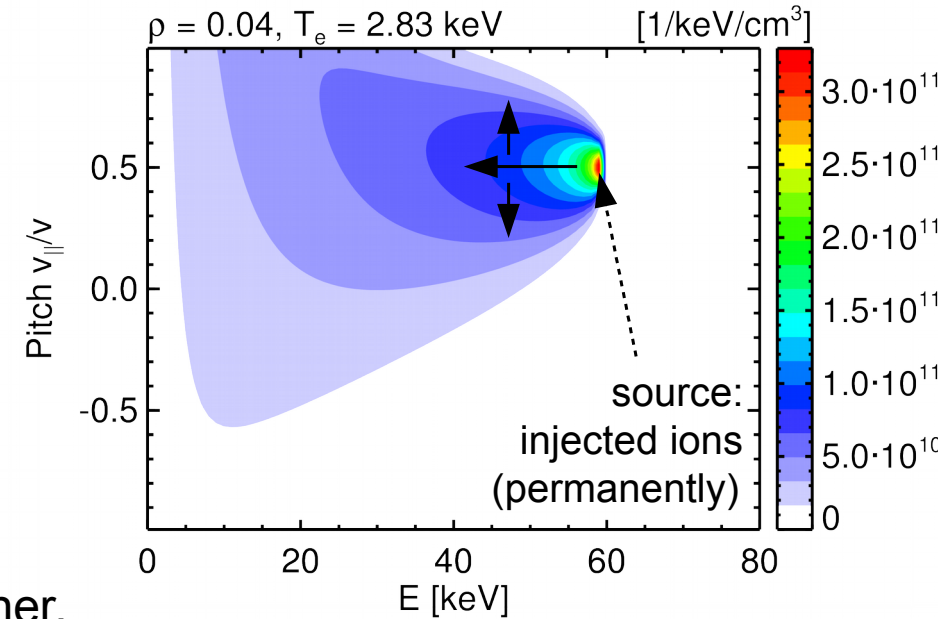
$v_c, v_0$ : critical, injection velocity

$$K_l = \int K(\xi) P_l(\xi) d\xi$$

$$\tau_s = 6.27 \cdot 10^8 \cdot \frac{A_b}{Z_b^2 \ln \Lambda_e} \cdot \frac{(T_e [\text{eV}])^{3/2}}{n_e [\text{cm}^{-3}]} \text{ s}$$

$$u = \left( \frac{v_0^3 + v_c^3}{v^3 + v_c^3} \frac{v^3}{v_0^3} \right)^{\pm \beta/3} \quad \beta = \frac{Z_{\text{eff}}}{2} \frac{m_i}{m_{\text{beam}}}$$

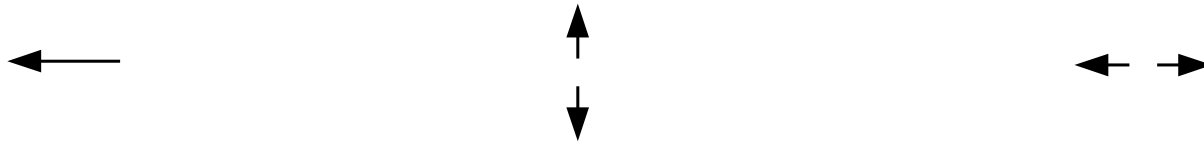
$$v_c^3 = 1.51 \cdot 10^{14} \cdot (T_e [\text{eV}])^{3/2} \cdot \frac{\sum_i n_i Z_i^2 \ln \Lambda_i / A_i}{n_e \ln \Lambda_e}$$



- Uniform plasma solution, i.e. each radial cell is independent of each other, no particle trapping etc.
- A correction for speed diffusion is applied above injection energy.

# Adding the effect of speed diffusion (above injection energy)

$$\underbrace{\frac{1}{\tau_s v^2} \frac{\partial}{\partial v} [(v^3 + v_c^3) f]}_{\text{slowing down}} + \underbrace{\frac{\beta v_c^3}{\tau_s v^3} \frac{\partial}{\partial \xi} (1 - \xi^2) \frac{\partial f}{\partial \xi}}_{\text{pitch angle scattering}} + \underbrace{\frac{1}{\tau_s v^2} \frac{\partial}{\partial v} \left[ \left( \frac{T_e}{m_{fi}} v^2 + \frac{T_i}{m_{fi}} \frac{v_c^3}{v} \right) \frac{\partial f}{\partial v} \right]}_{\text{speed diffusion}} = \underbrace{\frac{\partial f}{\partial t}}_{\text{source term}} - \frac{S}{2\pi v^2} \delta(v - v_0) K(\xi)$$



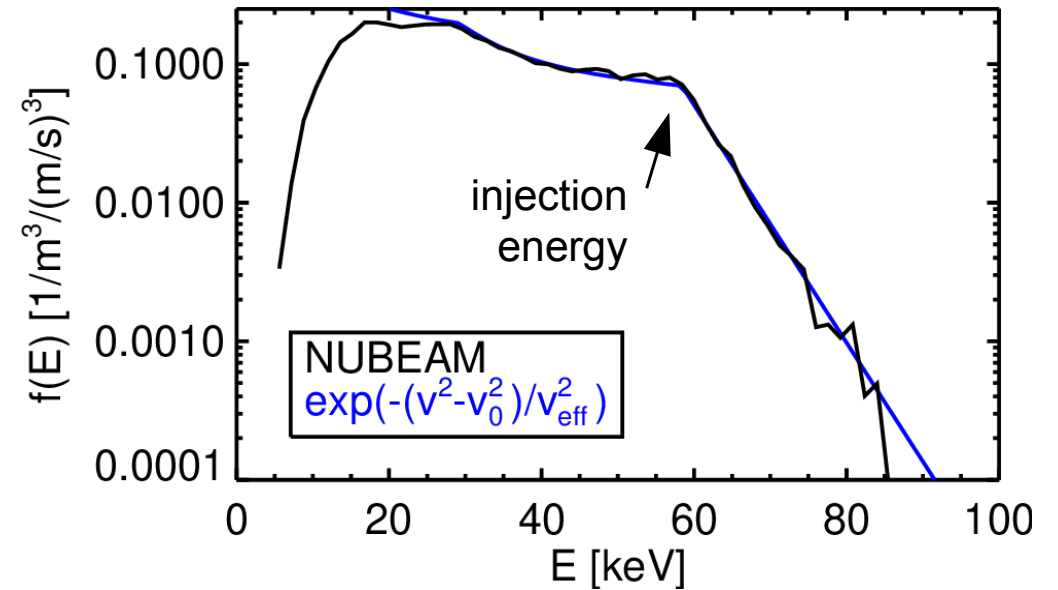
S: deposition,  $v_0$ : injection velocity (mono-energetic)  
 $K(\xi)$ : broad pitch distribution

- Speed diffusion creates high energy tail above the injection energy
- assuming  $v \approx v_0$ :

$$f(v) = \frac{1}{2\pi} \frac{S \tau_s}{v_0^3 + v_c^3} \exp \frac{-(v^2 - v_0^2)}{v_{\text{eff}}^2}$$

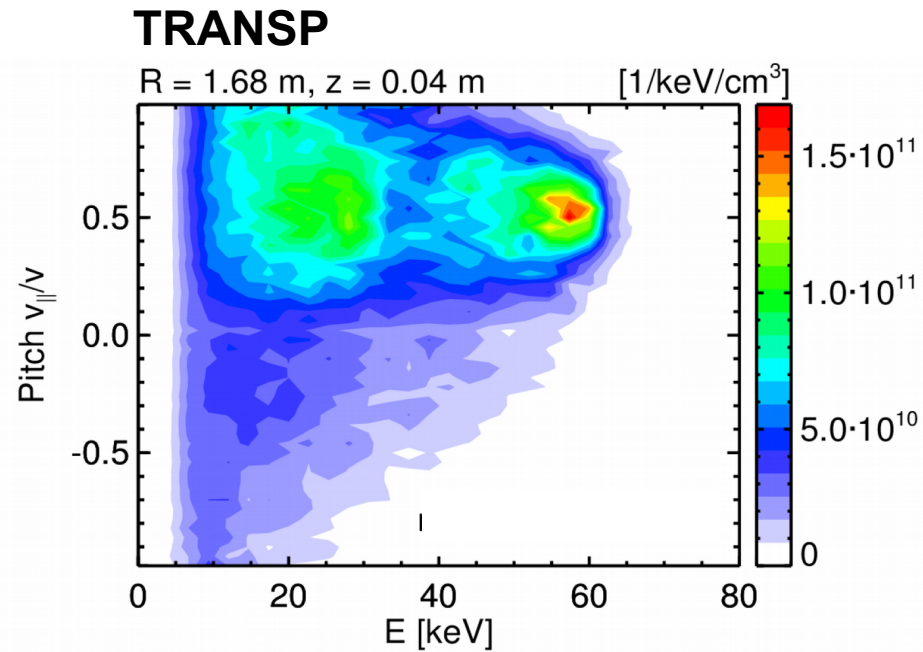
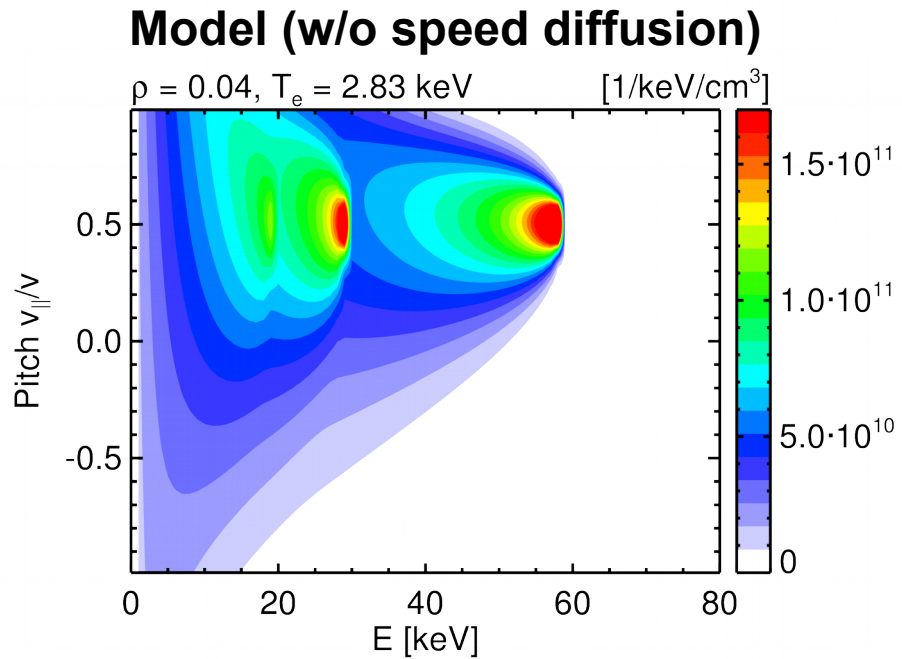
$$v_{\text{eff}}^2 = \frac{2}{m_{fi}} \frac{T_e v_0^3 + T_i v_c^3}{v_0^3 + v_c^3} \equiv \frac{2T_{\text{eff}}}{m_{fi}}$$

- Only few particles, but high energies → relevant for e.g. fast-ion pressure and neutron rates



# The full distribution function in the plasma center

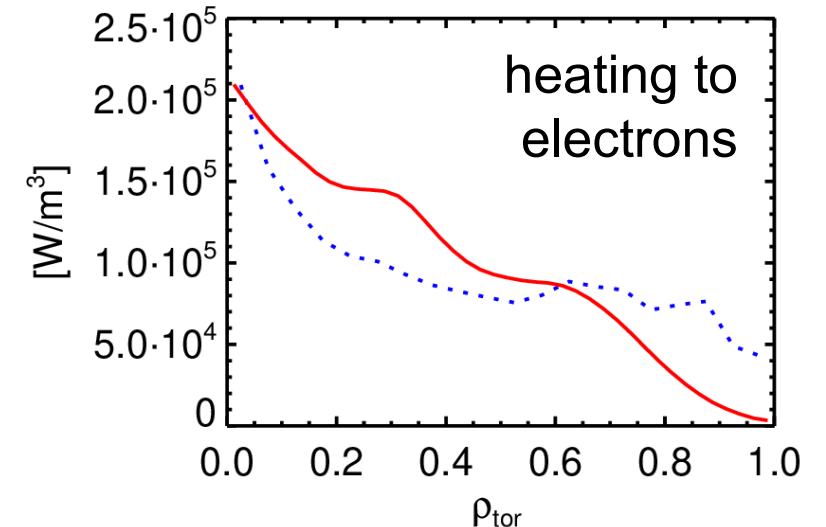
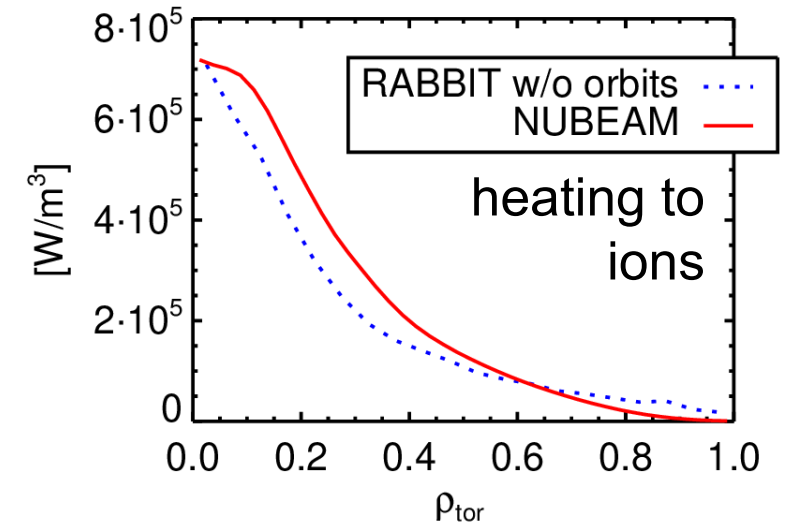
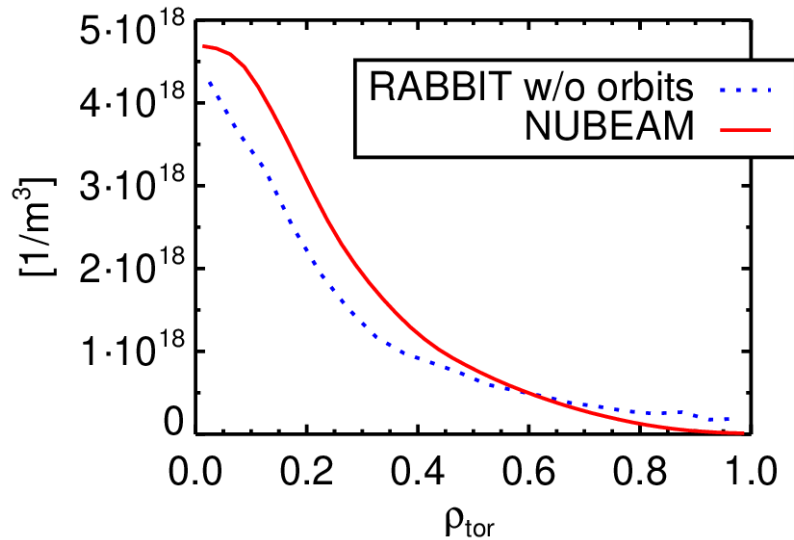
- For full distribution function, add up all 3 energy components of NBI
- Comparison to TRANSP shows good agreement!



# Density and heating profiles

$$f(v, \xi) = \frac{1}{2\pi} \frac{S \cdot \tau_S}{v^3 + v_C^3} \cdot \sum_{l=0}^{\infty} \left(l + \frac{1}{2}\right) u^{l(l+1)} P_l(\xi) K_l \cdot H(v_0 - v)$$

- In the end, we are interested in integrals of  $f$ , e.g.:  
Heating power (to electrons and ions), fast-ion pressure and current drive
- These integrals can also be solved analytically. Due to orthogonality of the Legendre polynomials, only first few moments are necessary ( $l=0, 1$ )
- E.g. fast-ion density:  $n_{fi} = \iint 2\pi v^2 \cdot f(v, \xi) dv d\xi = \frac{S\tau_S}{3} \ln\left(\frac{v_0^3 + v_C^3}{v_C^3}\right)$



- Profile shapes do not (yet) agree well, due to missing orbit-effects

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(=0 for steady state  
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2. collisions  
(e.g. slowing down,  
pitch angle scattering)

1. Source = NBI  
deposition

- In MC codes (e.g. NUBEAM)
  - MC representation of source
  - Calculate orbits for each MC marker
  - Apply collision operator during orbit steps
- For real-time: Only ad-hoc treatment of orbit effects possible

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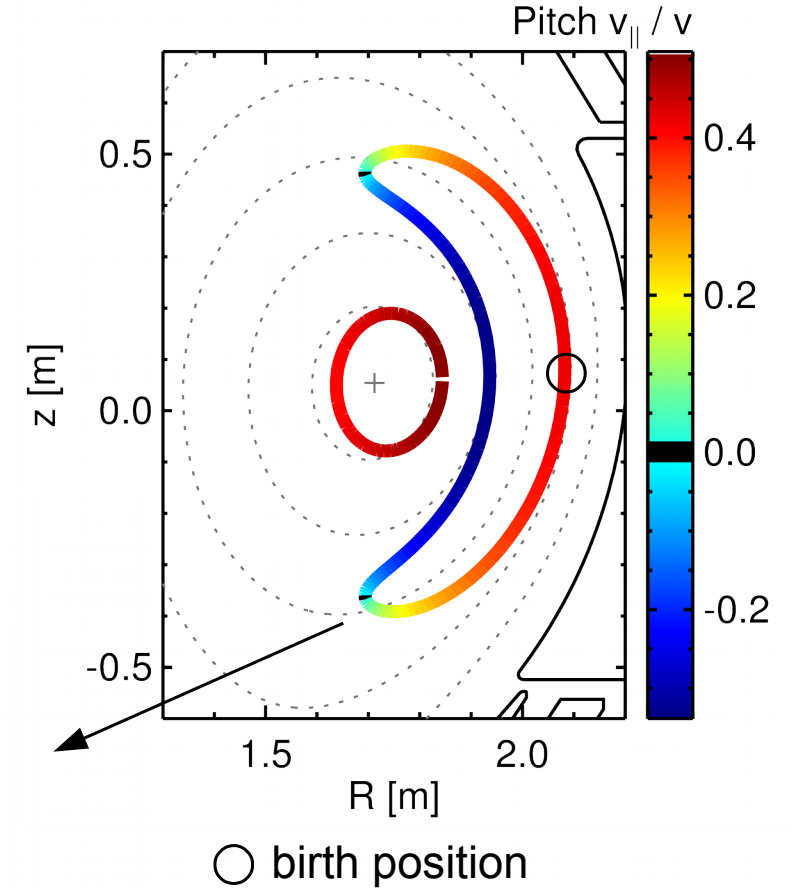
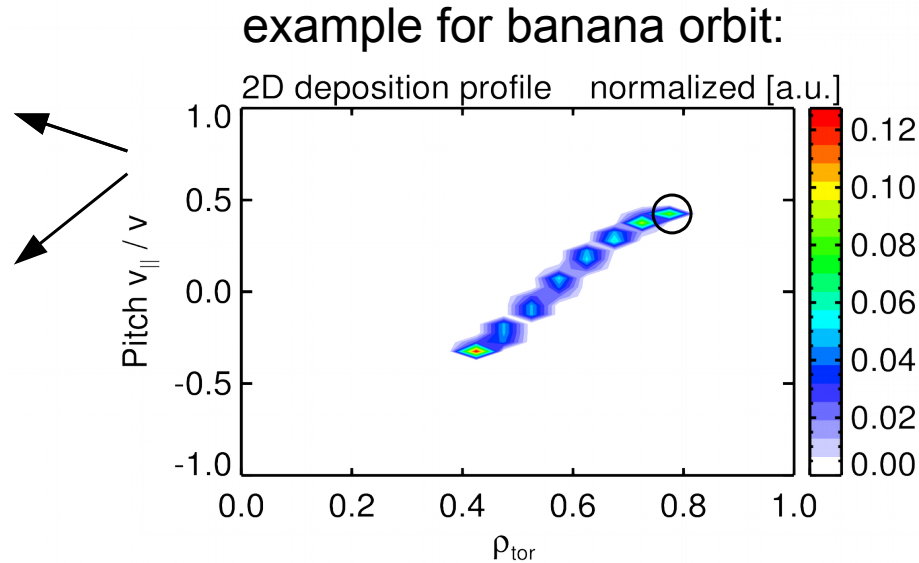
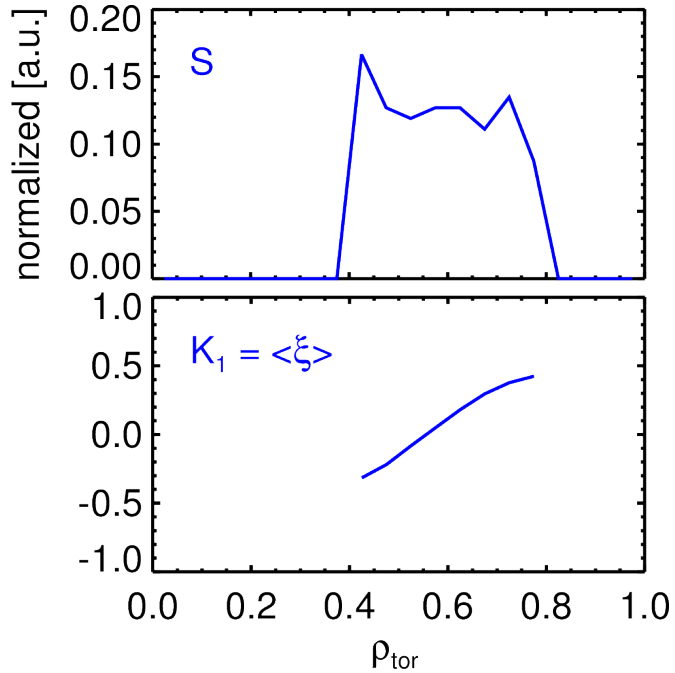
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- For real-time: Only ad-hoc treatment of orbit effects possible

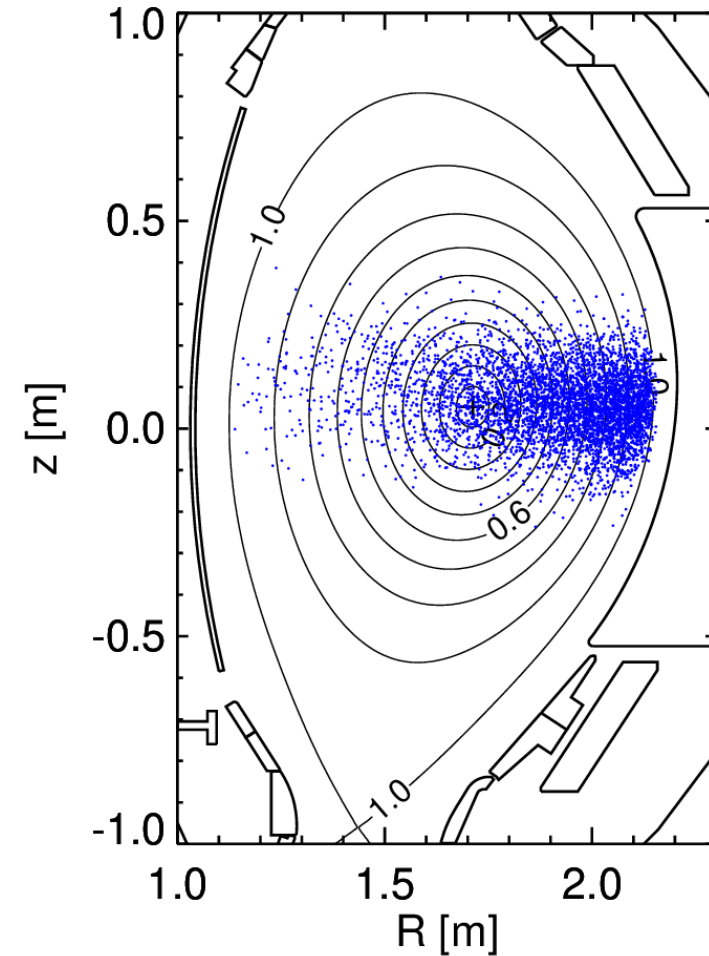
# How to include the effect of first fast-ion orbit

- Orbit effects lead to a broadened deposition (towards the plasma center) and to changes of the pitch-distribution in the velocity space
- They can be taken into account, by averaging the deposition over the first orbit:
  - assume that slowing-down process starts on random position of first orbit
  - neglect orbit effects during slowing down



# Monte-Carlo orbit-average is too slow for real-time applications

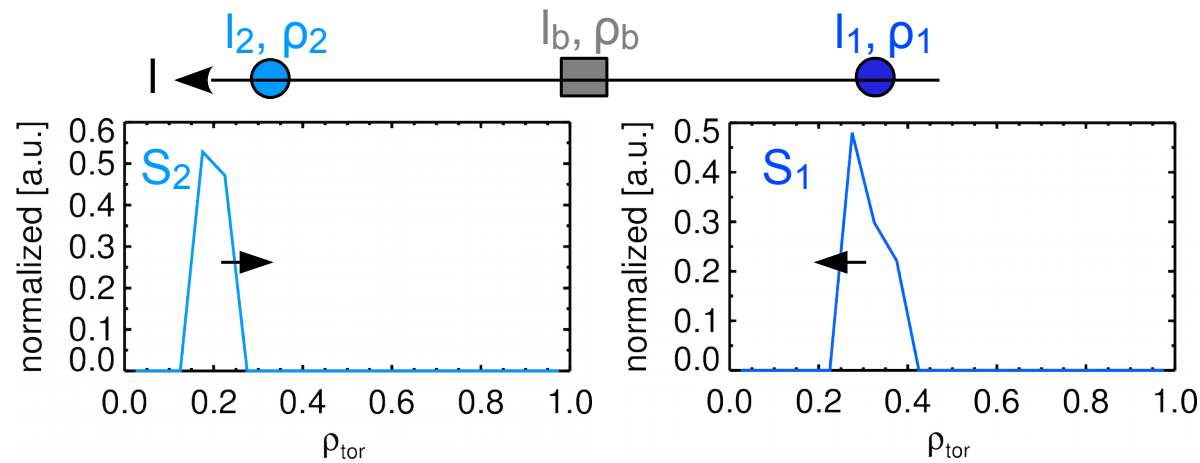
- Monte-Carlo orbit-average:
  - Take MC representation of birth distribution
  - Calculate orbit for each MC marker (e.g. ~5000)
  - → too slow for real-time purposes (takes ~1s)
- Possible solutions:
  - Either: Use approximation formulas for the orbits
  - Or: Reduce number of orbits (strongly)



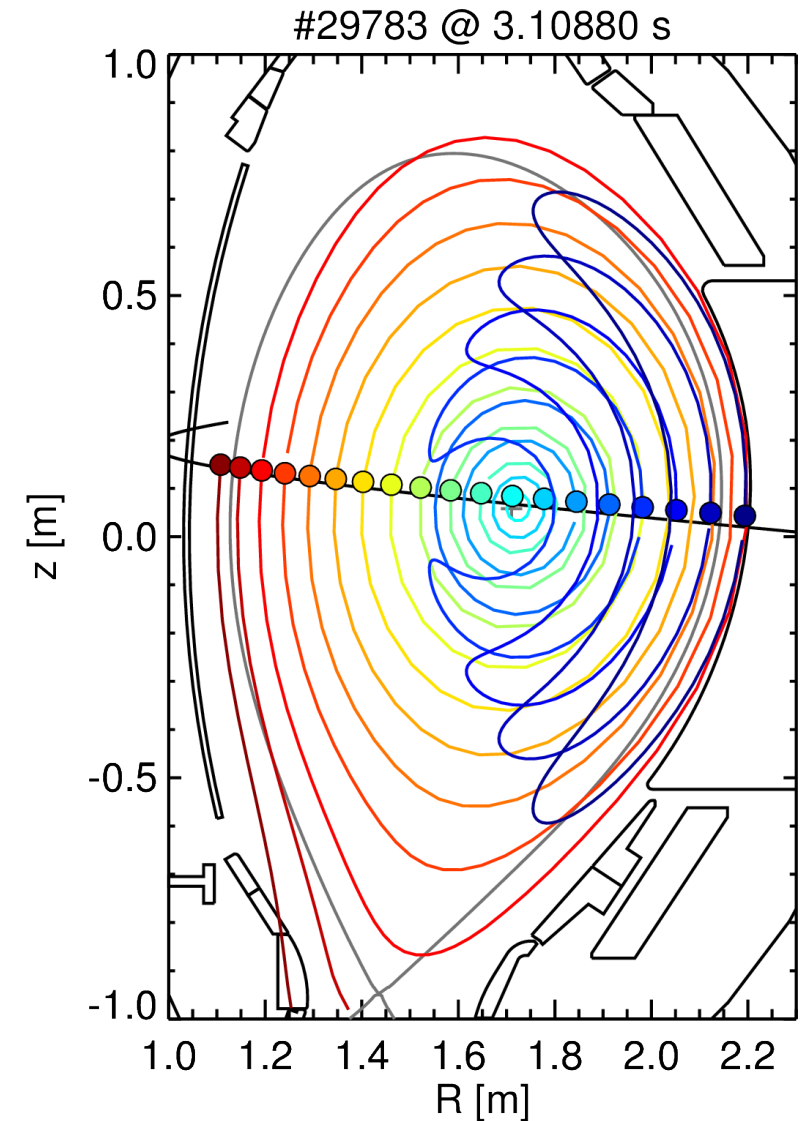


# Orbit average in RABBIT

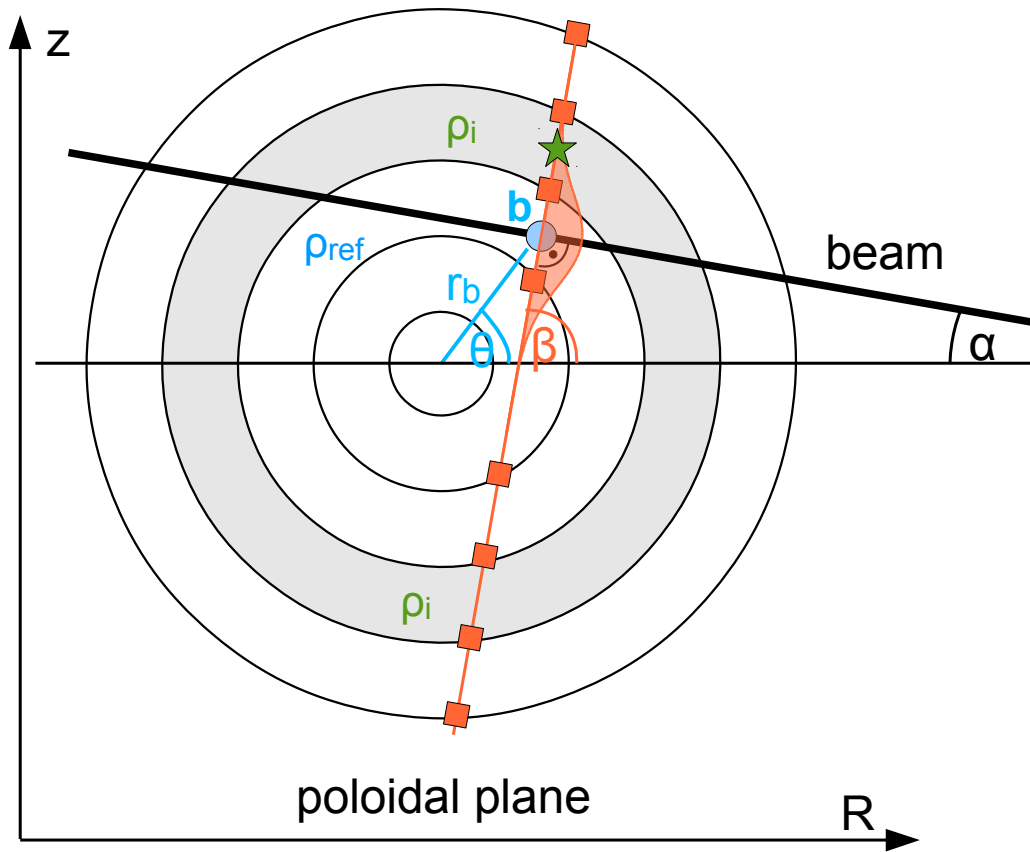
- Calculate orbit only every n-th grid point (4<sup>th</sup> order Runge-Kutta guiding center integrator)  
Right: All calculated orbits for full energy component
- Here: 19 orbits x 3 energy components  
→ possible within ~10 ms.
- In between: Shift neighboring profiles and interpolate linearly



$$S_b(\rho) = S_1(\rho - (\rho_b - \rho_1)) \cdot \frac{l_2 - l_b}{l_2 - l_1} + S_2(\rho - (\rho_b - \rho_2)) \cdot \frac{l_b - l_1}{l_2 - l_1}$$

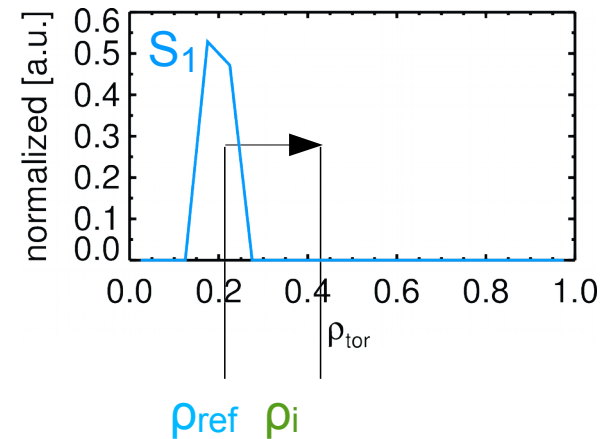


# Orbit-average: compatible with beam-width model



- Up to now, we have calculated the orbit-average along the beam (at  $b$ ).
- For the beam-width correction, we need to extrapolate from the  $\rho$ -cell containing  $b$  ( $\rho_{\text{ref}}$ ) along the orange line to other radial cells
- E.g. from  $\rho_{\text{ref}}$  to  $\star$  ( $\rho_i$ ):  

$$S_i(\rho) = S_b(\rho - (\rho_i - \rho_{\text{ref}}))$$
 (similar to the interpolation method)

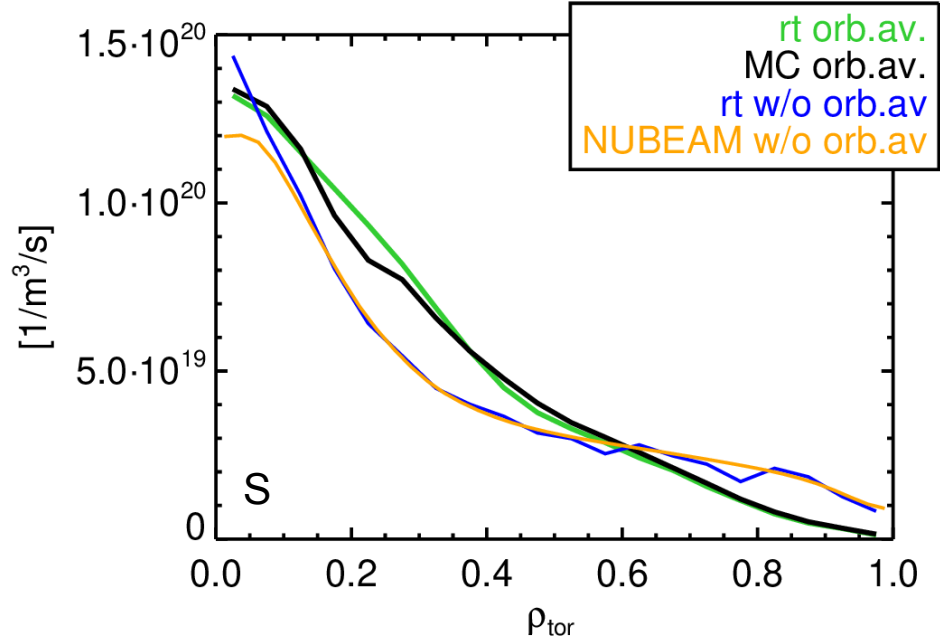


# Results of „RABBIT orbit average“ in good agreement with MC orbit average

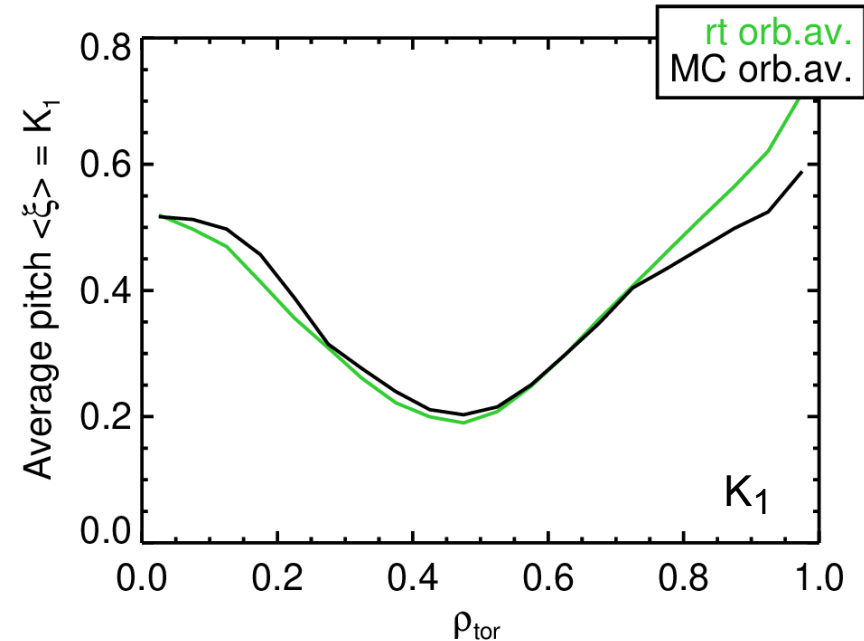


- Test accuracy of the RABBIT rt orbit average:  
Compare it to Monte-Carlo orbit average (including fully realistic NBI geometry)
- Very good agreement is found, despite orders of magnitude difference in calculation time (~5000 orbits vs. ~60 orbits)

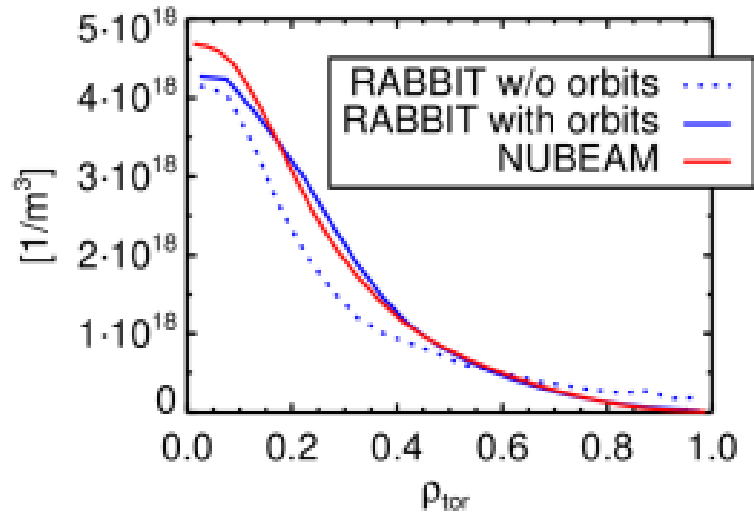
Birth profile (sum over all E components):



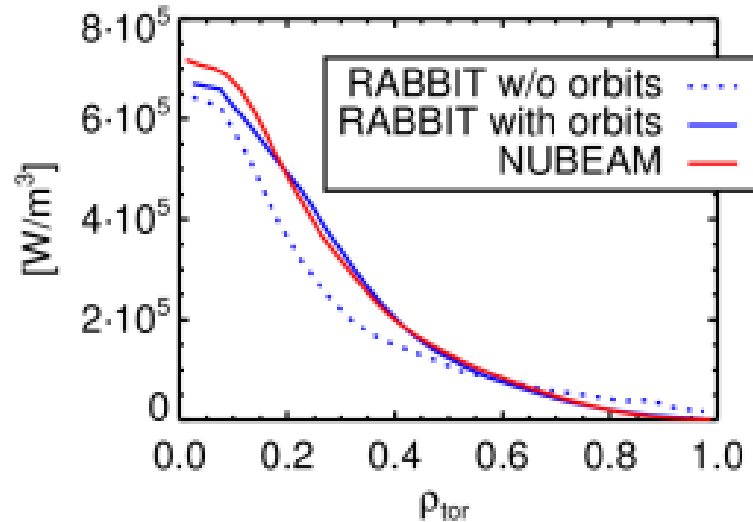
Average pitch  $v_{||}/v$  of full-E component:



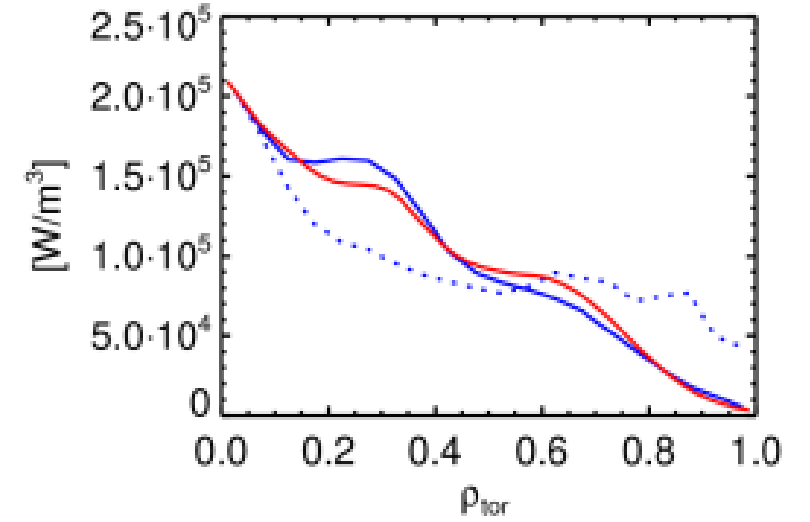
# Comparison to NUBEAM



Fast-ion density

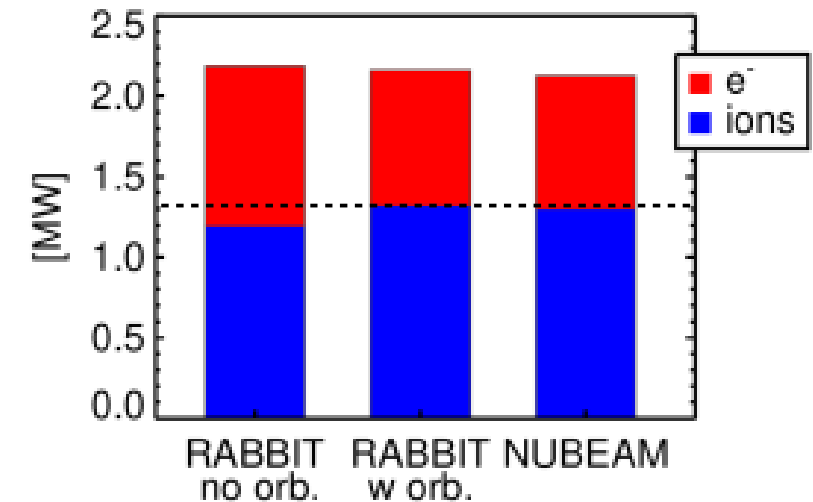
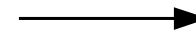


Heating to ions



Heating to electrons

- Orbit-average leads to good agreement in profile shape
- Orbit-average has also an impact on volume-integrated heating distribution to electrons/ions and improves agreement



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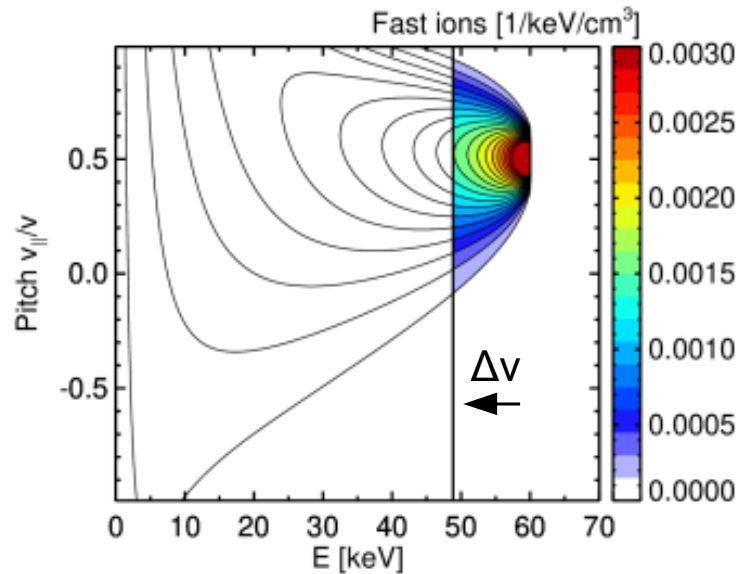
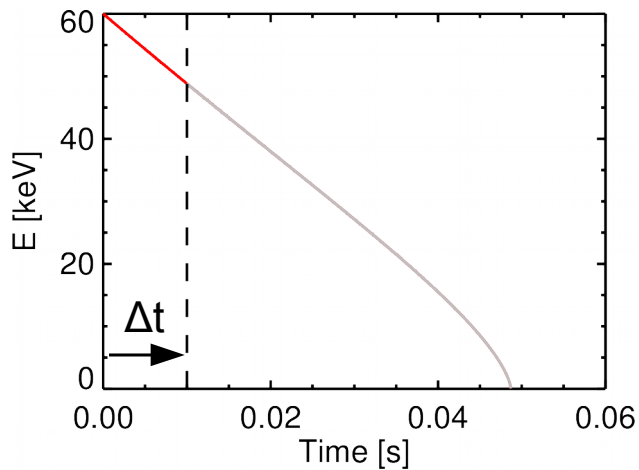
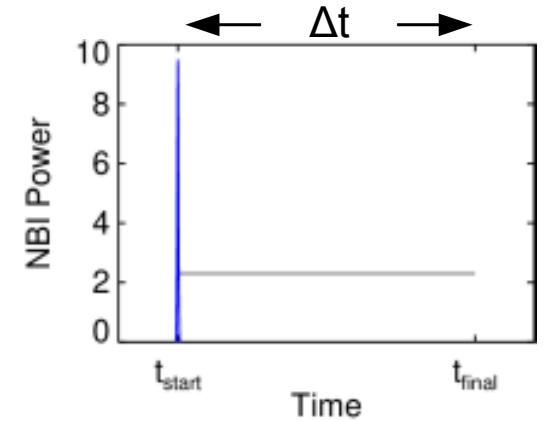
# Time dependence

- Up to now: steady-state solution of Fokker-Planck equation  $f_{ss}$
- For time-dependent simulation: Discrete time steps  $\Delta t$ , assume inputs are constant during each time-step.
- Model NBI with a  $\delta$ -function-like pulse at the beginning of each time step
- Calculate how far the fast-ion pulse slows down during time-step:

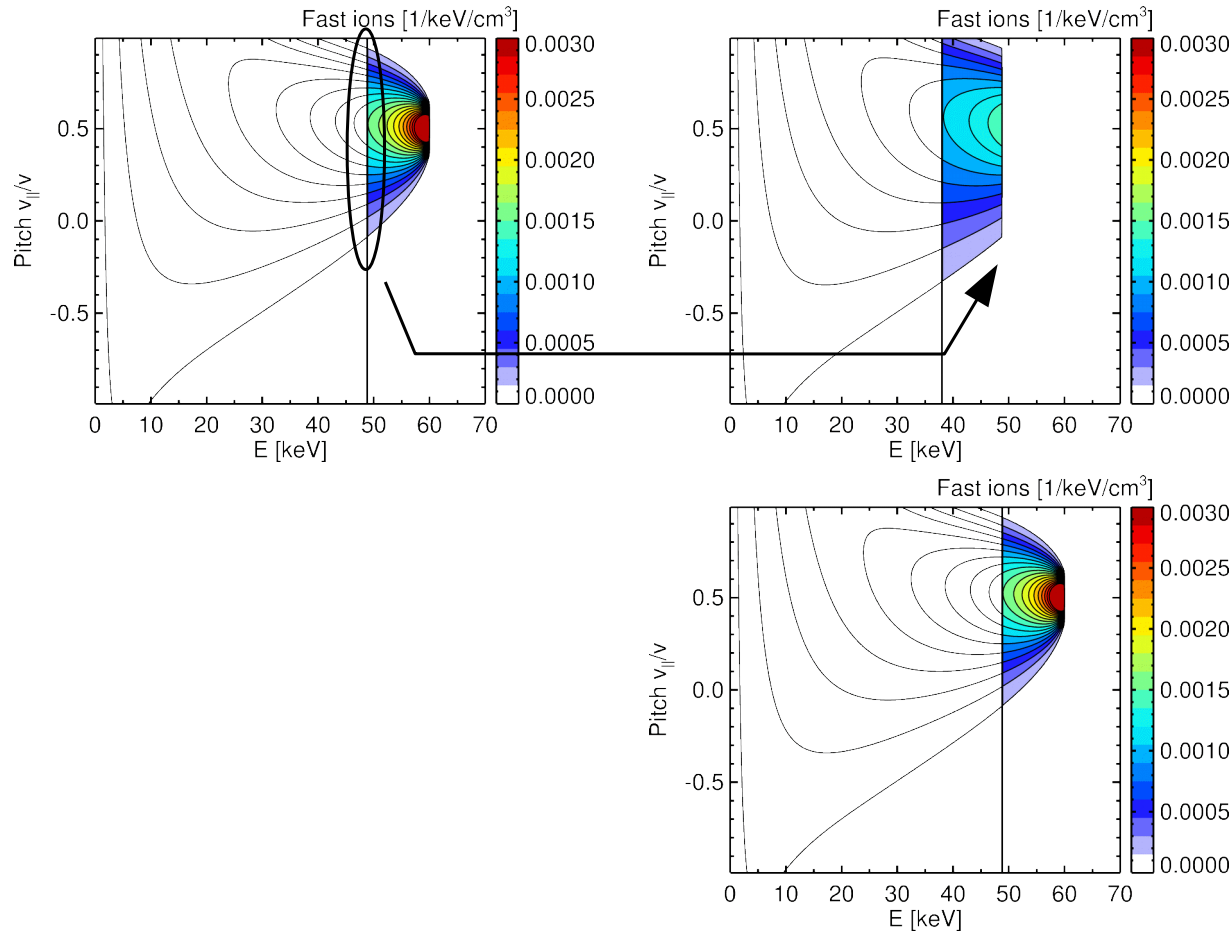
$$v_{\text{final}}^3 = (v_{\text{start}}^3 + v_c^3) \cdot \exp\left(\frac{-3 \cdot \Delta t}{\tau_s}\right) - v_c^3$$

→ multiply  $f_{ss}$  with box function

$$\int_{t_{\text{start}}}^{t_{\text{final}}} dt \rightarrow f(v, \xi) = f_{ss}(v, \xi) \cdot H(v - v_{\text{final}})$$



# Time dependence via train of fast-ion pulses



• Final state of „step 1“ is starting point of „step 2“

...

• If beam is still turned on in „step 2“, add a new pulse at nominal injection energy

...

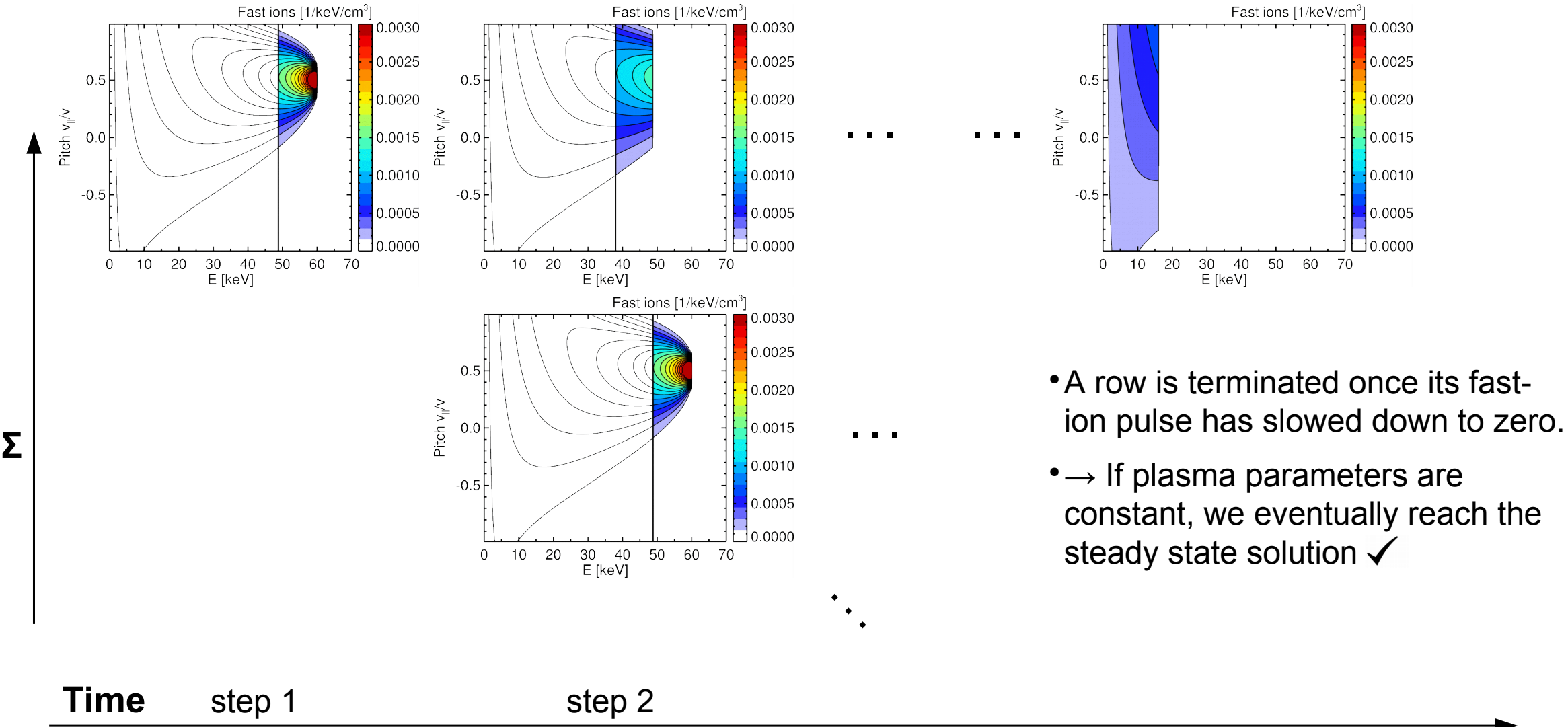
• continue ...  
(add new rows each time-step, sum over rows)

...

Time step 1

step 2

# Time dependence



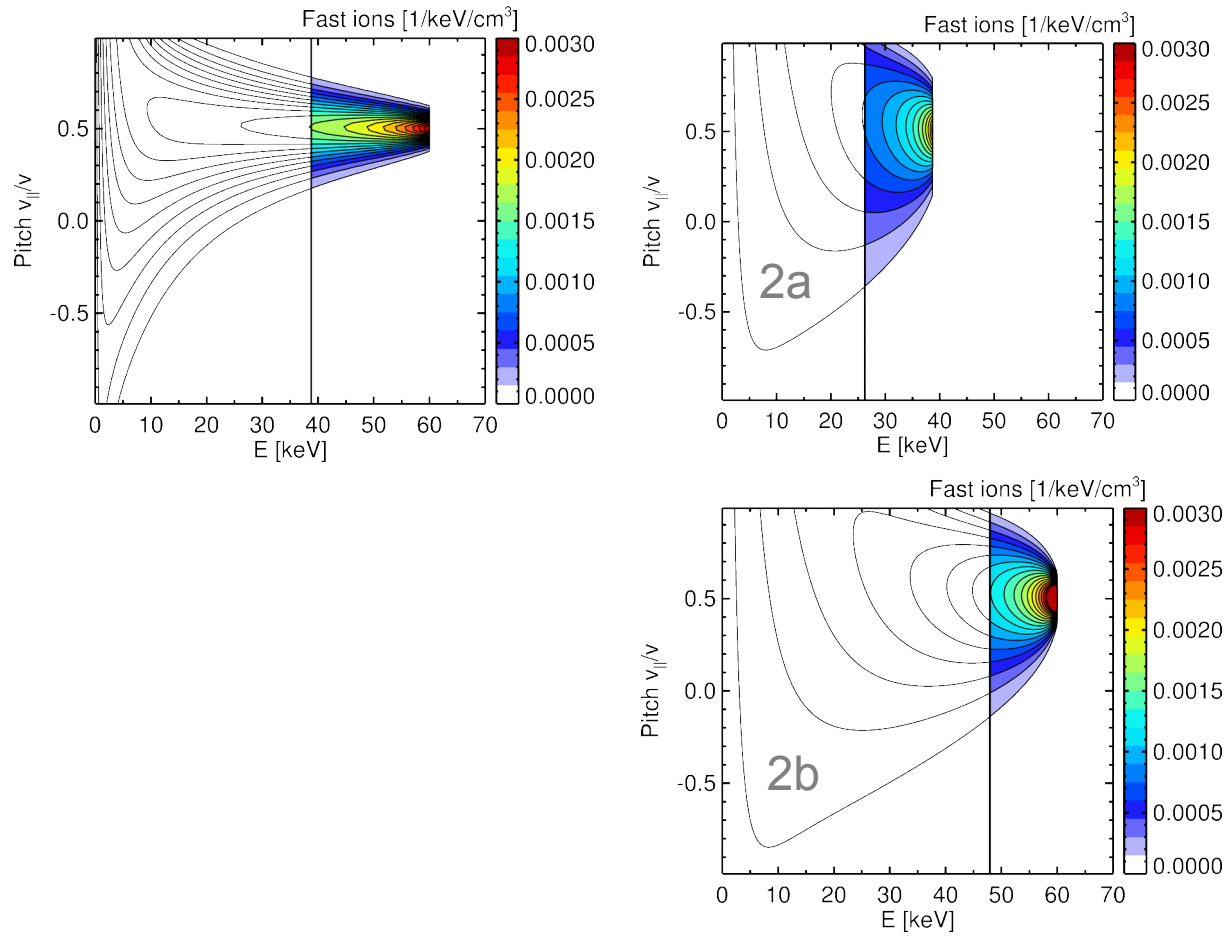
- A row is terminated once its fast-ion pulse has slowed down to zero.
- → If plasma parameters are constant, we eventually reach the steady state solution ✓

Time step 1

step 2



# Time dependence

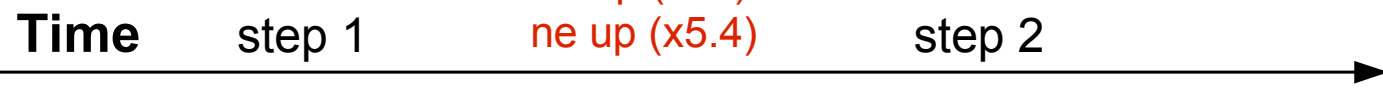


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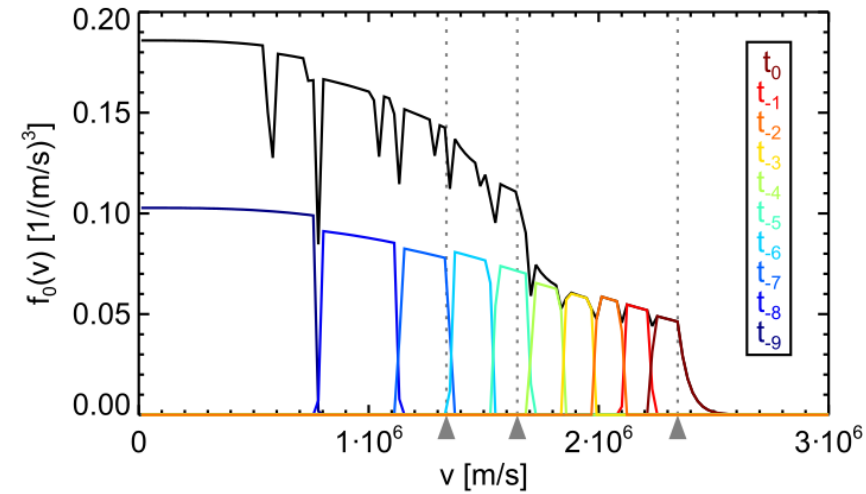
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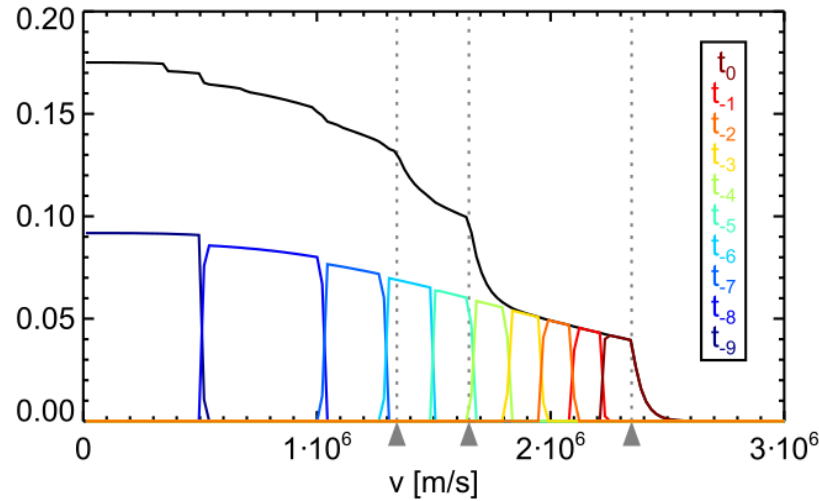
- Changes of plasma parameters are treated consistently
- E.g. different  $f_{SS}$  in 2a and 2b, because the fast-ions in 2a have had a different „past history“ - they had different plasma parameters in „step 1“



# Steady-state solution provides well-behaved gradients

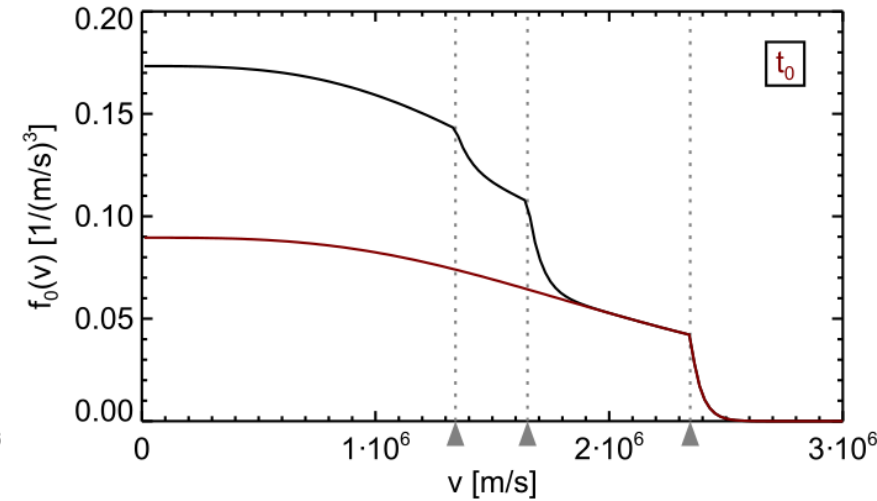


Time-dependent solution,  
background plasma changes  
over time



Time-dependent solution,  
constant background plasma

→ still some discontinuities  
between individual pulses:  
due to (weak)  $v$ -dependence  
of FP-coefficients

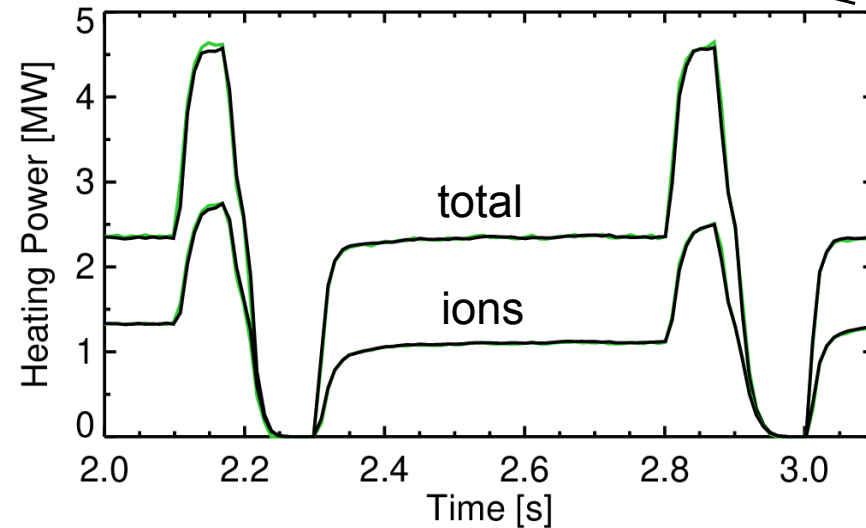
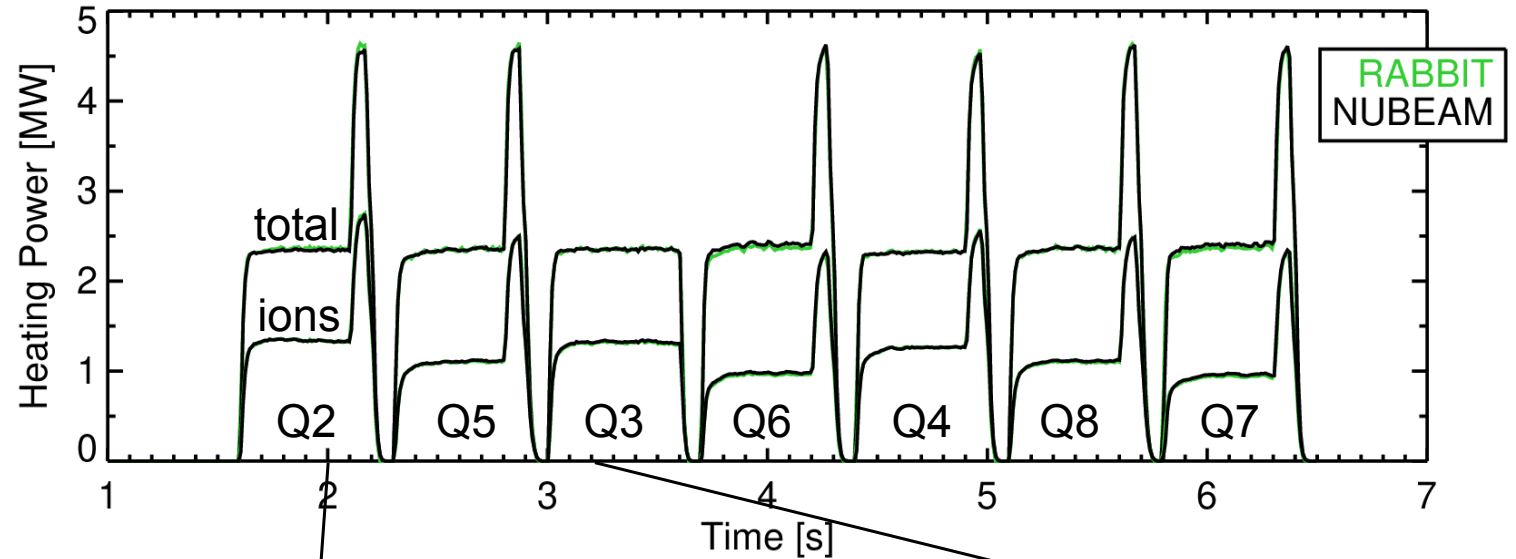
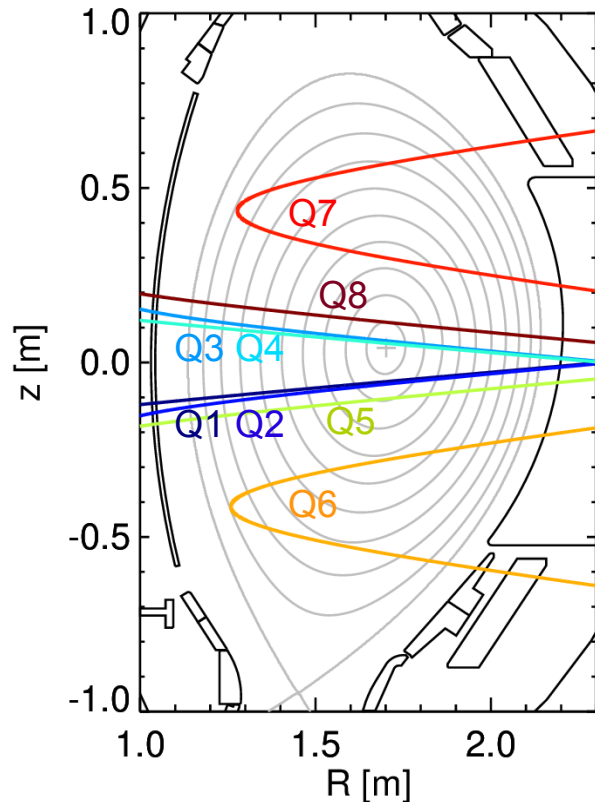


Steady-state solution, well-  
behaved gradients

Technically this is done by  
setting the RABBIT time-step  
to a value larger than the  
slowing down time.

# Comparison of time evolution with NUBEAM

- Analyze discharge where different NBI sources (Q#) are interchanged
- Good agreement of temporal evolution



# Summary & Conclusion



- For  $f$ , Rabbit solves  $\frac{\partial f}{\partial t} = -\nabla_{\vec{v}} \cdot \vec{\Gamma}_c(f) + \langle \sigma \rangle(\rho, v, \xi)$ , where  $\langle \sigma \rangle$  is birth profile averaged over first orbit
- $f$  can be interpreted as flux-surface averaged fast ion (NBI) distribution function,  $f(\rho_{\text{tor}}, v, \xi)$
- For pitch  $\xi$ , Legendre decomposition is used, such that the actual output is:  
 $f_{\text{I}}(\rho_{\text{tor}}, v)$  with  $f(\rho_{\text{tor}}, v, \xi) = \text{Sum}_{\text{I}}(f_{\text{I}}(\rho_{\text{tor}}, v) P_{\text{I}}(\xi))$

- Potential issues: (?)

- Numerical problems with Legendre Polynomials:

- When NBI birth distribution is narrow in pitch  $\xi$ , the Legendre series shows oscillations (that get worse with higher  $L$ 's).

- Also: negative values of  $f$

- Mapping of  $f(\rho_{\text{tor}}, v, \xi)$  to constants of motion (COM)

- No unique map to  $P_{\varphi} = mR \frac{B_{\text{tor}}}{B} v_{\parallel} + q\Psi$  and  $\mu \left( \frac{m}{q} \cdot \frac{1}{2} \frac{mv_{\perp}^2}{B} \equiv \frac{m}{q} \cdot \mu \right)$

