

EnR mid term report: "Operation limiting plasma instabilities in high performance tokamaks"

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INTRODUCTION





INTRODUCTION



Why is fundamental theory important?

- EUROfusion can't afford to build tokamaks and technological equipment fast enough to avoid extrapolation studies using codes
- Based on established models, these codes are becoming highly advanced. And they are being coupled to one another.
- Leaders of experiments, of laboratories and programmes, and even users and developers of codes, often do not have a broader understanding of the limitations and assumptions inherent in the models used in codes
- Our role in EnR is to challenge assumptions and approximations
- We can do this by addressing physics not covered by existing codes, sometimes at the cost of approximations and simplifications elsewhere
- It can be done with analytic treatment and with new dedicated codes
- Of course, we hope to uncover new interesting physics as we do this

Status of tasks June 1st – Dec 31st 2021



EPFL

Core resistive infernal modes	Develop and solve equations that describe infernal modes with resistive effects in the region of low magnetic shear.	\checkmark
Exfernal modes with finite magnetic shear	Develop and solve equations for exfernal modes (EHOs) with realistic q-profiles, including separatrix effects	\checkmark
Near collisionless-ion infernal modes	Derive the equations for ideal interchange and infernal modes with nearly collisionless ions (for non resonant kink modes in hybrid)	\checkmark
Non-linear ballooning in a slab	Develop a slab model of nonlinear ballooning mode to include an imposed shear flow.	\checkmark
Ideal wall RWM model definition	Derive the RWM equations in the ideal wall limit including plasma beta effects and resistive plasma effects	\checkmark
Ideal MHD n=0 modes and X-points	Develop a model for rigid-shift vertical displacement and the impact of X-point resonances for ideal MHD fluctuations	\checkmark
Separatrix effects for kink- ballooning mode	Identify the essential physics for kink-ballooning that must be captured to describe MHD stability in full separatrix geometry.	\checkmark

New theory for *RWM* beta limited plasmas

- Long wavelength **ideal plasma** modes are barely affected by external kink and wall effects when there is a separatrix. New explanation for beta limits attributed to RWMs
- rotation stabilisation explained without kinetic effects



New theory form RWM limited plasmas



β limits in a resistive plasma with rotation

$$[r^{3}Q\xi'_{m}]' - r[(m^{2} - 1)Q + \mathscr{D}_{M}]\xi_{m} + \frac{\alpha(1+m)}{2} \times \frac{r^{1+m}}{r_{0}^{2+2m}} \left(\frac{2+m+c}{m-c}\right) \int_{0}^{r_{0}} r^{1+m}\alpha\xi_{m}dr = 0$$

Dispersion
relation
$$\lambda_{H} + \frac{B}{\Delta_{R,m}} + \frac{A}{\Delta_{R,m+1} - \Delta'_{T}} = 0$$

Unstable global mode

- slowly growing (~10ms), slowly rotating
- bulk plasma rotation stabilisation
- Brunetti, Varenna 2022, subm. PRL 2022

Dynamics described by single equation with smooth matching at r_0



GGJ stabilised region



New theory form RWM limited plasmas

0



β limits in a resistive plasma with rotation

$$\begin{split} [r^{3}Q\xi'_{m}]' - r[(m^{2} - 1)Q + \mathscr{D}_{M}]\xi_{m} + \frac{\alpha(1 + m)}{2} \times \\ \times \frac{r^{1+m}}{r_{0}^{2+2m}} \left(\frac{2 + m + c}{m - c}\right) \int_{0}^{r_{0}} r^{1+m} \alpha \xi_{m} dr = 0 \end{split}$$

Unstable global mode

- slowly growing (~10ms), slowly rotating
- bulk plasma rotation stabilisation
- Brunetti, Varenna 2022, subm. PRL 2022 Future work:
 - ferromagnetic effects
 - compare with experiments, reactor predictions

Dynamics described by single equation with smooth matching at r_0



GGJ stabilised region

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Edge Harmonic Oscillations (EHOs)





- Explore ELM free regimes via EHOs
- Agreement with KINX and VMEC modes
- Analytic exfernal mode model extended to include magnetic shear effects.
- Modes continue to exist despite shear near the edge

[Bustos Ramirez, Graves, Brunetti, PPCF 2021]



Extending EHO existence with EFCC coils





Further EHO understanding



Current driven EHOs enjoy good agreement between codes:



[Ramasamy, Bustos, Graves PoP 2022]

But these current driven modes are essentially ideal external kink modes

Current driven modes disappear for realistic diverter-like q-profile

Pressure driven cases don't agree well between codes. JOREK can't run with ideal MHD at the edge. VMEC can't recover JOREK results. Neither are optimal

Future work: undertake fundamental physics studies:

- treat pressure driven instabilities with more realistic q-profiles (divertor)
- include resistivity and correctly treat ideal limit and weak resistivity.
- include weak shear flow effects

Nonlinear ballooning filaments



Filamentary disruptions MAST shot 29978 [Ham, IAEA TM 2022]. Seen also JET, TFTR



0s

10 micro s

20 micro s

30 micro s

In addition to disruptions, such non-linear filaments are relevant to ELMs, especially type-II ELMs which will need to be avoided in a reactor



Nonlinear ballooning filaments



- Early phase of nonlinear filaments showed narrow finger like structures and a finite time singularity – explosive growth [Wilson & Cowley]
- Full nonlinear phase in analytic tokamak geometry assuming ideal MHD showed the filaments were metastable – the whole profile could be linearly stable to ballooning modes but filaments could still grow if displaced by a finite amount
- Magnetic shear twists and narrows the filament

[Ham *et al.* Phys Rev Lett **116** 235001 (2016)]



Non-linear ballooning Filaments

- Investigating conditions under which filaments can break off and either become ELMs or disruptions [IAEA technical meeting on disruptions 2022]
- Linear and quasi-linear studies have been reviewed. Shear flows rotate the filaments. Resistivity allows the filaments to break off

Future work:

- treat resistivity and rotation in a slab
- verify timescale against experiment
- expect scaling with resistivity similar to resistive interchange
 - investigate EM braking by filament



[Zhang, PoP 27, 020701 (2020)





The fundamental challenge:

- MHD and gyrokinetics predict structures that are field aligned (variation along *B* is $\sim R$, and $\sim \rho_i$ across)
- Linearly, we adopt toroidal dependence $\sim e^{in\phi}$, with n>>1
- At X-point, field lines are purely toroidal, so mode has $e^{in\phi}$ behaviour along *B* – **inconsistent with field-alignment**

a=7

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q=8

The fundamental challenge:

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As one approaches the separatrix:

- Field lines linger poloidally near X-point
- Many toroidal turns as field line migrates across
 X-point region
- In going from one rational surface to the next, field lines do one additional toroidal turn in Xpoint region (for n=1)
- Meanwhile, nothing special occurs away from Xpoint – field lines on one rational surface align
- **15** with those on next J. P. Graves: EnR mid-term report

Analytic and ELITE code MHD approaches

MHD hypothesis I

- Many poloidal Fourier harmonics (in straight field line poloidal angle), m,
 each centred on its respective rational surface where safety factor q=m/n
- They couple to form a radially extended ballooning mode that can be described by (modified?) ballooning theory
- Mode envelope decays to zero before reaching separatrix
- Then not clear how external kink drives peeling modes

MHD hypothesis II:

As above but...

- Fourier modes combine destructively at X-point \rightarrow no perturbation there
- Minimises field line bending
- Mode does extend beyond separatrix, coupling to external kink

Future work: distinguish between these models. Extend ELITE to accurately capture separatrix physics



Kinetic ballooning – analytic and Monte-Carlo approaches

- In kinetic theories, field-aligned structures are a consequence of dominant parallel transport
- Gyro-kinetic codes have limited experience with coupling to the vacuum region, and associated coupling to free energy of external kink modes.
- Kinetic theories with separatrix effects in infancy
- Future work:
- Investigate trajectories of particles with Larmor radius larger than the local shear scale length in the vicinity of the separatrix
- Consider consequences of modified parallel transport on field aligned mode structures.



Vertical Displacement oscillations with X-point 🔘

- Investigate n=0 oscillations that are resonant with magnetic X-point
- Modes are weakly continuum damped
- Potential to impact vertical stability control loop
- n=0 modes with discrete oscillation frequency just below poloidal Alfven frequency [A. Yolbarsop, F. Porcelli, R. Fitzpatrick, 2021 Nucl. Fusion Letters 61 114003]:

$$\omega = \pm \omega_0 - i \frac{1}{2\tau_\eta} \frac{1 - \hat{e}_0}{(D - 1)(1 - \hat{e}_0 D)}$$
$$\omega_0 = \hat{e}_0^{1/2} \tau_A^{-1} \sqrt{D - 1}$$

 \hat{e}_0 : ellipticty parameter

 τ_{η} : resistive wall time

D>1 is a geometric parameter

For JET experiments $\omega_0\sim 300~{\rm kHz}$

VDOM structure: rigid vertical shift from axis to separatrix. n = 0, m = 1



Vertical Displacement oscillations with X-point 🔘

Vertical modes have been driven in JET by fast ions [Kiptily, NF 2021]

Recently this has been understood to be due to resonance of vertical mode with trapped particle bounce frequency [Porcelli, Barbaris et al Varenna 2022]. Also, Barberis, Porcelli NF letter 2022

Discrete unstable mode is a solution of dispersion relation [Porcelli PoP 1994]: $\omega^2 = \omega_0^2 - 2i\omega_0/\tau_\eta + i\omega_0^2\lambda_h; \quad \lambda_H = \Im\{\delta W_h\}$ $\delta W_h = \sum_{\sigma} \int dP_{\phi} \, d\mathcal{E} \, d\mu \frac{\omega}{\omega_b} \frac{\partial F}{\partial \mathcal{E}} \sum_{p=-\infty}^{\infty} \frac{\mathcal{L}_p^2}{\omega + p\sigma\omega_b}$ Drive for instability requires $\frac{\partial F}{\partial \mathcal{E}} > 0$, i.e. bump on tail, possible via auxiliary heating, or post sawtooth plasma conditions

Future work:

- Numerical simulations with MISHKA (S. Sharapov) for reproducing JET experiments
- Simulations with NIMROD (C. Kim) with realistic divertor (X-point) conditions



Necessary conditions for toroidal stability 🔘

A light linear eigenvalue code has been developed based on the global toroidal resistive equations of Ref. [Graves, Coste-Sarguet, Wahlberg, PPCF 2022]

For example necessary conditions have been re-visited for tokamak flux surfaces with average poor curvature and conventional monotonic q-profiles.



M. Coste-Sarguet, Varenna 2022: showing growth rate of n=10, m=9. Destabilising effects of weak ballooning cause strong correction to Mercier criterion even for q<1.

Future work:

- Investigate toroidally coupled resistive instabilities in advanced scenarios [PPCF, in prep]
- Addition of shear plasma flows and vacuum physics to new light global resistive code
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Sawtooth avoidance: discrete unstable spectra 🔘

It is important to investigate the stability properties of hybrid scenarios which manage to avoid sawteeth. Spectra of unstable discrete resistive modes have been identified [M. Coste-Sarguet, Varenna 2022]



Future work:

- Compare results with analytic description for cases with marginal and bad average curvature
- Investigate connection with magnetic flux pump for maintaining hybrid plasma conditions
 [Jardin et al] via quasi-linear extension of the new light code



New eigenvalue code for kinetic MHD



Novel kinetic-MHD code is required for implementation of global fully electromagnetic equations with strong flows [Lanthaler, Graves]. Inspired by GENE code Van Kampen approach:

Standard Landau approach

• The perturbed distribution function is eliminated in favour of field variables, by harmonic expansion and truncation, e.g.:

$$-\rho\omega^2\boldsymbol{\xi}_\perp - \boldsymbol{j}\times\boldsymbol{\delta}\boldsymbol{B} - \boldsymbol{\delta}\boldsymbol{j}\times\boldsymbol{B} + \boldsymbol{\nabla}(\boldsymbol{\xi}_\perp\cdot\boldsymbol{\nabla}\boldsymbol{P})$$

$$+2\pi \boldsymbol{\nabla} \int dv_{\parallel} d\mu \mu \frac{B^2}{m} \left(\frac{-iq(\boldsymbol{\xi}_{\perp} \times \boldsymbol{B}) \cdot \boldsymbol{v}_{\boldsymbol{g}} \left(\omega \frac{\partial F}{\partial \mathcal{E}} - n \frac{\partial F}{\partial P_{\phi}} \right)}{(-i\omega + \langle v_g \cdot \boldsymbol{\nabla} \rangle)} \right) \approx 0$$

- Involves solving nonlinear eigenvalue problem.
- Resonances need to be treated carefully, often analytic simplifications have to be made, especially near passing-trapped boundary
- Usually small orbit widths assumed. This means kinetic enhanced inertia can't be captured together with global kinetic effects [Graves, PPCF 2000]

Van Kampen approach

- The perturbed distribution function δf_k itself becomes the eigenvector, the problem can be written as a standard, i.e. **linear** eigenvalue problem
- No approximation made on underlying characteristic equations, i.e. full particle trajectories retained

$$\begin{pmatrix} 0 & 1 & 0 \\ A_{21} & 0 & A_{23} \\ q(. \times \boldsymbol{B}) \cdot \boldsymbol{v_g} in \frac{\partial F}{\partial P_{\phi}} & 0 & -\boldsymbol{v_g} \cdot \boldsymbol{\nabla} \end{pmatrix} \begin{pmatrix} \boldsymbol{\xi}_{\perp} \\ \boldsymbol{u}_{\perp} \\ \delta f_k \end{pmatrix} = \\ -i\omega \begin{pmatrix} 1 & 0 & 0 \\ 0 & \rho & 0 \\ -q(. \times \boldsymbol{B}) \cdot \boldsymbol{v_g} \frac{\partial F}{\partial \mathcal{E}} & 0 & 1 \end{pmatrix} \begin{pmatrix} \boldsymbol{\xi}_{\perp} \\ \boldsymbol{u}_{\perp} \\ \delta f_k \end{pmatrix}$$

 The spectrum contains unphysical stable modes => accessing physical stable modes is challenging

New eigenvalue code for kinetic MHD



Kinetic description of bump on tail Vlasov-Poisson problem has been verified [F. Jeanquartier, Brunner, Graves, SPS conference 2022]:

Standard Landau Approach

The nonlinear eigenvalue problem is

$$\left(1 - \frac{1}{k^2} \int_L \frac{1}{v' - \omega/k} \frac{\partial F}{\partial v'} dv'\right) \delta E = 0$$

All physical modes obtained

Van Kampen Approach

The linear eigenvalue problem is

$$kv\delta f - \frac{1}{k}\frac{\partial F}{\partial v}\int_{-\infty}^{\infty}\delta f dv' + i\nu\left(v\delta f + \frac{\partial\delta f}{\partial v}\right) = \omega\delta f$$

The unstable mode obtained. With collisions the barely stable modes also obtained



Future work:

- Test toroidally relevant cases, starting with non-resonant kinetic effects of Kruskal-Oberman
- Integration into VENUS-MHD global code (full MHD code with flows)
- Inclusion of parallel electric field effects, resolution of continuum modes (e.g. GAMs)

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Tearing mode seeding in reactors



Development of **generalised linear -> non-linear** tearing evolution with bootstrap current, toroidal effects and potential for seeding from kink-infernal modes



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Width δ for the electric variable $\delta \Phi$ is the resistive layer width during linear phase, a constant value governed by growth rate

w measures the magnetic field island width and is connected with flux variable $\delta\psi$. Starts arbitrarily small and grows linearly

Paradox concerning toroidal corrections and singularities solved [Graves, Varenna 2022]

$$\begin{split} \Delta_{global}'(t) &= 2.12 \frac{S\delta(t)}{r_s^2 \omega_A \delta\psi(t)} \frac{d\delta\psi(t)}{dt} - 1.67 \frac{D_R}{\delta(t)} - \frac{J_{BS}w(t)}{\delta\psi(t)} \left[1 - 0.7g(t)\right] \left[\frac{w(t)^2}{w(t)^2 + w_c^2}\right] \\ \mathbf{g}(t) &= 0.31 \left(\frac{\pi w(t)}{2^{13/4} \delta(t)}\right) + 0.69 \left(\frac{\pi w(t)}{2^{13/4} \delta(t)}\right)^2 \\ \delta(t) &= \left\{ \left(\frac{d\delta\psi(t)}{dt}\right)^{-1} \frac{1}{(ns)^2} \left[\frac{\tilde{m}^2 \delta\psi^2}{2} + \frac{r_s^4(1 + 2q^2)}{S\omega_A} \frac{d}{dt}\right] \left(\frac{d\delta\psi(t)}{dt}\right) \right\}^{1/4}, \ w(t) &= \frac{2^3}{\pi} \left(\frac{\tilde{m}\delta\psi(t)}{ns}\right)^{1/2} \end{split}$$

Future work: investigate effect of weaker rotation shear in reactors and ease of seeding. Examine potential avoidance by pre-emptive current drive and kinetic effects

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Journal Publications



A. Yolbarsop, F. Porcelli, and R. Fitzpatrick, "Impact of magnetic X-points on the vertical stability of tokamak plasmas," published NF 2021

<u>G. Bustos Ramirez, J. P. Graves and D. Brunetti "Effect of edge magnetic shear on Edge Harmonic Oscillations in</u> plasmas with separatrix," published PPCF 2021

E. Neto, J. P. Graves, M. Raghunathan, C. Sommariva and D. Pfefferle "Heavy impurity transport in tokamaks subject to plasma rotation, NTV and the influence of saturated ideal MHD perturbations," published PPCF 2021

J. P. Graves, M. Coste-Sarguet, C. Wahlberg "Pressure driven long wavelength MHD instabilities in an axisymmetric toroidal resistive plasma," published PPCF 2021

D. Brunetti, C. J. Ham, J. P. Graves, et al "Understanding JET-C quiescent phases with edge harmonic magnetohydrodynamic activity and comparison with behaviour under ITER-like wall conditioning," published PPCF 2021]

D. Brunetti, C. J. Ham, S. Saarelma, J. P. Graves et al "Finite magnetic well effects on resistive and drift-resistive ballooning modes in a shaped tokamak," published Nucl. Fusion 2021

<u>T. Barberis, F. Porcelli, and A. Yolbarsop, "Fast-ion-driven axisymmetric modes in magnetically confined toroidal plasmas," published. Nuclear Fusion (Letter)</u>

<u>A Yolbarsop, F Porcelli, Wandong Liu, and R Fitzpatrick, "Analytic theory of ideal-MHD vertical displacements in</u> tokamak plasmas," publ. Plasma Phys. Control. Fusion



Conference contributions



F. Porcelli, "Impact of divertor X-points on axisymmetric modes in tokamaks," Abstract for 19th European Fusion Theory Conference

<u>E. Neto, J. P. Graves, "Heavy impurity transport in the presence of 3D MHD ideal saturated modes,</u> rotation and ambipolar electric field," Abstract and Invited oral for 10th International workshop on <u>Stochasticity in Fusion Plasmas</u>

<u>T Barberis, F. Porcelli, "Resonant interaction between feedback stabilized axisymmetric modes and energetic ions," Abstract for 17th IAEA Technical Meeting on Energetic Particles and Theory of Plasma Instabilities in Magnetic Confinement Fusion</u>

<u>G Bustos Ramirez, J. P. Graves, "The operating space of Edge Harmonic Oscillations in static plasmas,"</u> <u>oral and abstract for 10th International workshop on Stochasticity in Fusion Plasmas (SFP)</u>

A Yolbarsop, F Porcelli, Wandong Liu, and R Fitzpatrick, "Analytic theory of ideal-MHD vertical displacements in tokamak plasmas," subm. Plasma Phys. Control. Fusion

<u>T Barberis, "Fast particles resonance with axisymmetric modes in shaped plasmas," Abstract (poster)</u> for THEORY OF FUSION PLASMAS JOINT VARENNA - LAUSANNE INTERNATIONAL WORKSHOP 2022

<u>F. Porcelli, "Vertical displacements resonant at magnetic divertor X-points," Abstract for 48th EPS</u> <u>Conference on Plasma Physics (EPS)</u>

Conference contributions



T. Barberis, "Linear drive of Fast-ion-driven vertical modes," Abstract for 48th EPS Conference on Plasma Physics (EPS)

D. Brunetti, "Free boundary pressure driven instabilities in a resistive plasma," Abstract (poster) for THEORY OF FUSION PLASMAS JOINT VARENNA - LAUSANNE INTERNATIONAL WORKSHOP 2022

J. P. Graves, "Unified linear and nonlinear treatment of tearing modes driven by infernal modes and bootstrap current," Abstract (poster) for THEORY OF FUSION PLASMAS JOINT VARENNA - LAUSANNE INTERNATIONAL WORKSHOP 2022

<u>G Bustos Ramirez, "Advanced modelling and existence conditions of Edge Harmonic Oscillations,"</u> Abstract (invited oral) for THEORY OF FUSION PLASMAS JOINT VARENNA - LAUSANNE INTERNATIONAL WORKSHOP 2022

F. Jeanquartier, "Towards a hybrid kinetic-MHD spectral code to study instabilities of tokamak plasmas," Abstract (poster) for Annual Meeting of the Swiss Physical Society

<u>M Coste-Sarguet, "Fundamental and exotic features of global resistive MHD instabilities in advanced</u> tokamak regimes," Abstract (poster) for THEORY OF FUSION PLASMAS JOINT VARENNA - LAUSANNE INTERNATIONAL WORKSHOP 2022



Tasks for 2022



Core resistive infernal modes and	Develop equations that describe resistive infernal modes with strong rotation shear (annex to VENUS-	
rotation	MHD)	
Exfernal modes with strong rotation Extension of VENUS-MHD code for exfernal modes with vacuum perturbations and flows		
Near collisionless-ion infernal modes	Solve the equations for ideal interchange and infernal modes with nearly collisionless ions, also allowing	
	for rotation shear and resistive effects on sidebands	
Basic spectral code development	Develop drift kinetic solver using main harmonic eigenfunction and eigenvalue from VENUS-MHD code	
	(low n mode)	
Non-linear ballooning in a slab	Observe how flow shear rotates the filament of a ballooning mode in a slab and establish timescale of	
	resistive motion of filament.	
Ideal wall RWM model definition	Solve the equations in the ideal wall limit for RWMs including plasma beta effects and resistivity,	
	including some separatrix effects	
Fast particle interaction with n=0	Develop model for interaction between fast ions and n=0 modes, with linear hybrid kinetic-MHD model.	
modes		
Separatrix effects for kink-ballooning	Identify the consequences of the new ideal MHD theoretical insight for gyrokinetic micro-instabilities in	
mode	separatrix geometry	



EPFL

Deliverables for 2022



Topic 2022	Detail	Expected status end of 2022
Ideal infernal and exfernal	Extend infernal and exfernal modes for the application of EHOs by	Expected to be completed by
code with strong rotation	extending VENUS-MHD to handle vacuum perturbations and flows	end of 2022
Necessary conditions for	Inclusion of kinetic inertia for a consistent treatment of collisonless thermal	Completed
stability in burning plasma	ion effects on pressure driven MHD instabilities	
Non-linear ballooning in a slab	Report on how flow shear rotates the filament of a ballooning mode in a	Unfinished, expected complete
	slab and establish timescale of resistive motion of filament.	by March 2023
Ideal wall RWM model with	Report on the ideal wall limit for RWMs including plasma beta effects and	Completed
toroidal effects	resistivity, including some separatrix effects	
Fast particle interaction with	Determine stability thresholds for the resonant excitation of these modes	Completed
n=0 modes	by fast ions, and comparison with relevant experimental results from JET.	
Separatrix effects for kink-	Make recommendations for the development of tokamak edge plasma	Unfinished, likely completed end
ballooning mode	gyrokinetic codes to capture the effects of full separatrix geometry	of 2023



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