



ENABLING RESEARCH PROJECT ENR-MAT.01.VR

Electronic interactions of slow ions and their influence on defect formation & sputter yields for plasma facing components

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Outline



- ❑ Aim;
- ❑ Working-packages & team;
- ❑ Main results obtained & work in progress;
- ❑ Achievement of Scientific Deliverables foreseen for 2022;
- ❑ Activities foreseen for 2023.

Aim



- To investigate underlying quantities fundamental for sputtering and defect formation from plasma-wall interaction:
 - Energy deposition of plasma species in wall materials.
 - Interaction potentials with wall species.

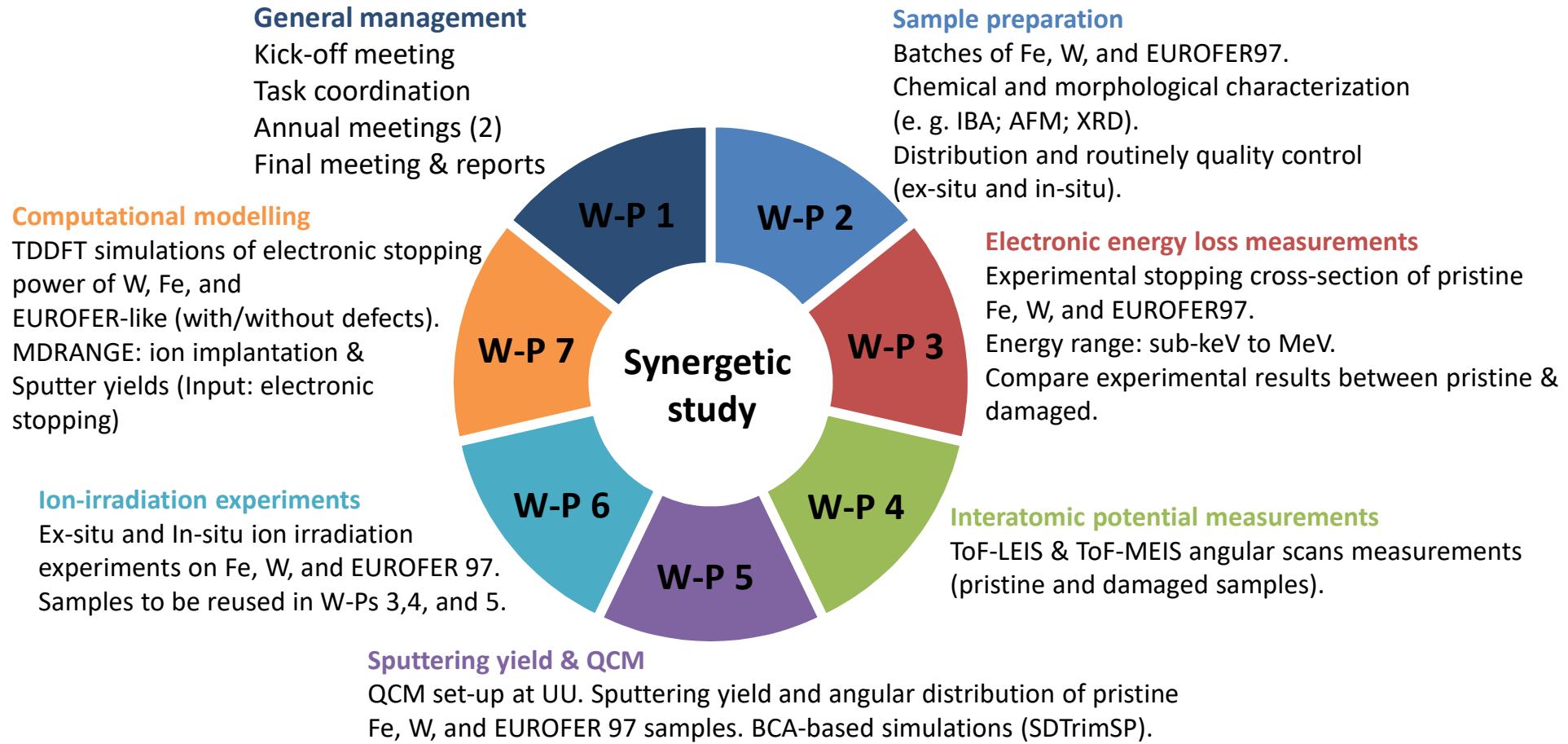
**key input variables for computer codes used to model erosion
and implantation in plasma facing components.**

Synergistic study:

- Experimental measurements with high accuracy.
- Theoretical calculation from first principles.
- To assess the sensitivity of these quantities to the presence of defects (ion irradiation).
- Benchmark the fundamental quantities by measuring sputtering yields with high accuracy.
- **Materials:**
 - ITER-grade W, Fe and EUROFER steel.



Working-packages



Team



VR
Eduardo Pitthan (PI)
Jila Shams-Latifi
Petter Ström
Per Petersson

ÖAW
Christian Cupak
Martina Fellinger
Friedrich Aumayr

VTT
Andrea Sand
Ludovico Caveglia Curtil
Tetiana Malykhina

Start: May of 2021.

VR main tasks: Sample preparation and characterization, electronic loss measurements, interatomic potential measurements, and ion irradiation experiments.

ÖAW main tasks: Sputtering yield measurements, and BCA-based simulations.

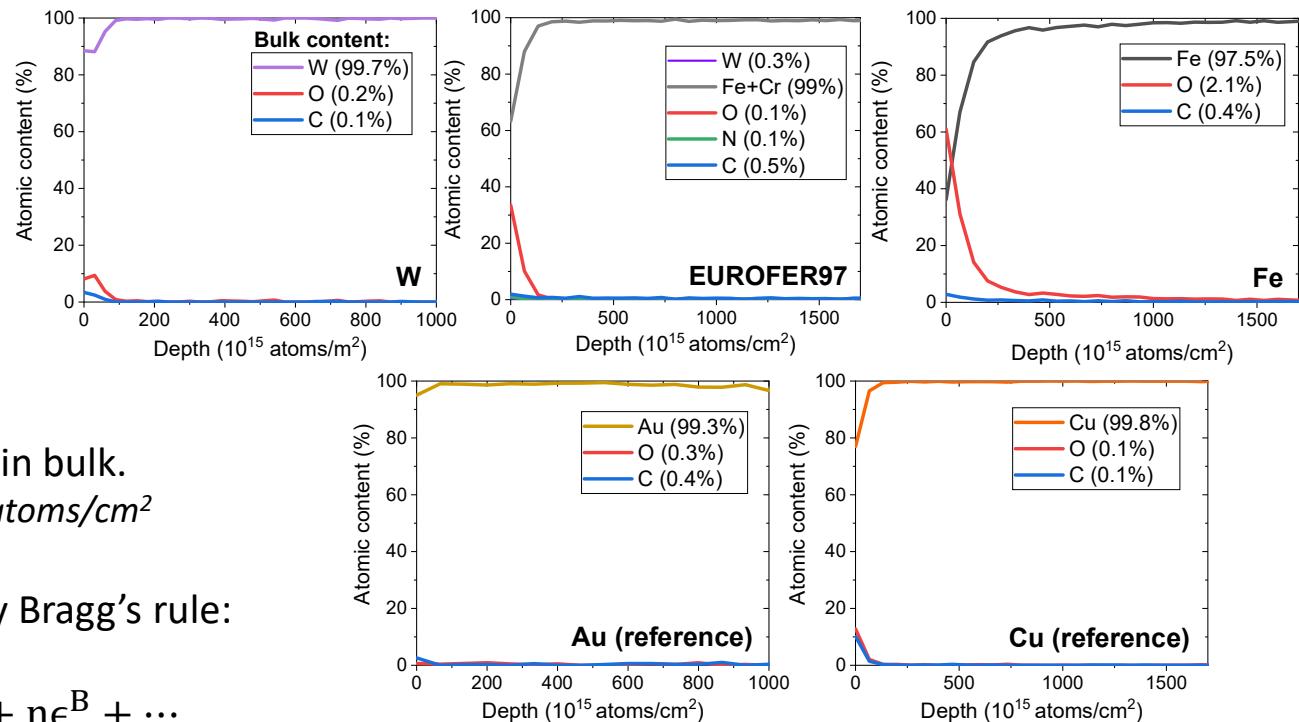
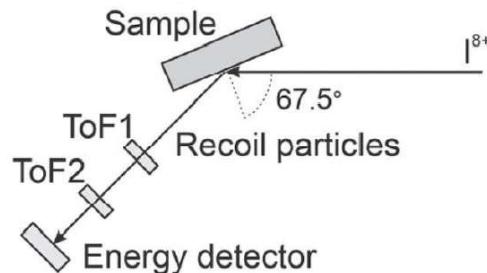
VTT main tasks: Computational modelling (simulations of electronic stopping power & ion implantation).

W-P 2: Sample preparation



Characterization of the chemical composition of the pristine samples (Fe, W, EUROFER) by combined ion beam based techniques (UU), as a protocol for the standard quality control.

→Atomic concentration
depth profiles by ToF-ERDA:



→Low impurity concentrations in bulk.
Evaluation window $500-1000 \times 10^{15}$ atoms/cm 2

Final stopping data corrected by Bragg's rule:

$$\epsilon^{A_m B_n \dots} = m\epsilon^A + n\epsilon^B + \dots$$

W-P 3: Electronic energy loss measurements



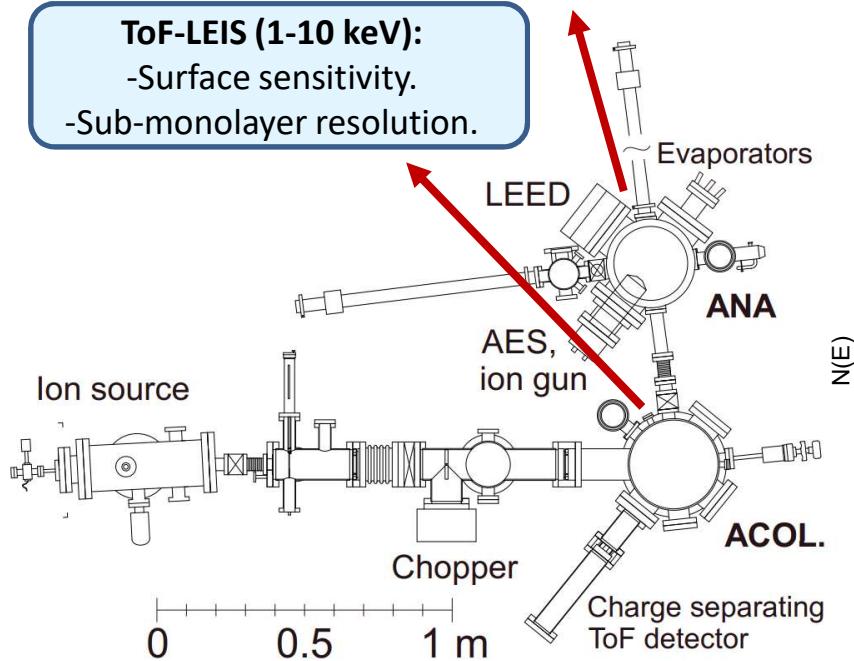
Experimental procedure for low energy regime

ACOLISSA experimental set-up:

Analytical Chamber: Sputtering cleaning, annealing, AES, e⁻beam evaporation, and LEED.

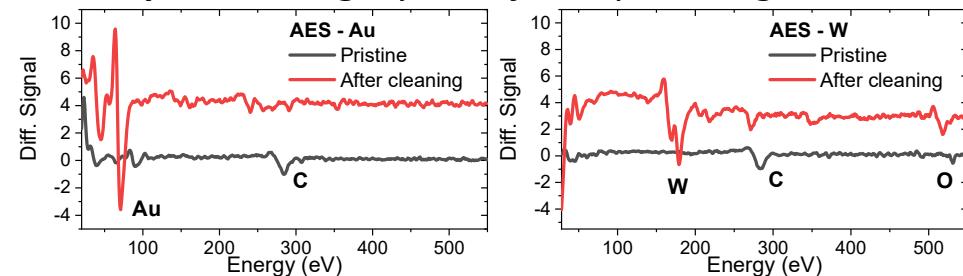
ToF-LEIS (1-10 keV):

- Surface sensitivity.
- Sub-monolayer resolution.

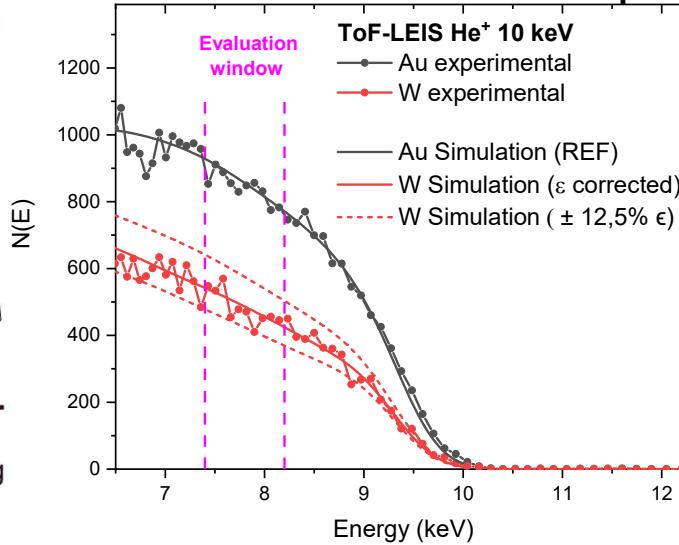


P. Ström and D. Primetzhofer 2022 JINST 17 P04011

Sample cleaning: cycles of Ar⁺ sputtering 3 keV 30°.



SCS relative measurements in comparison with MC simulations:



→ Cu/Au as reference under same experimental condition.

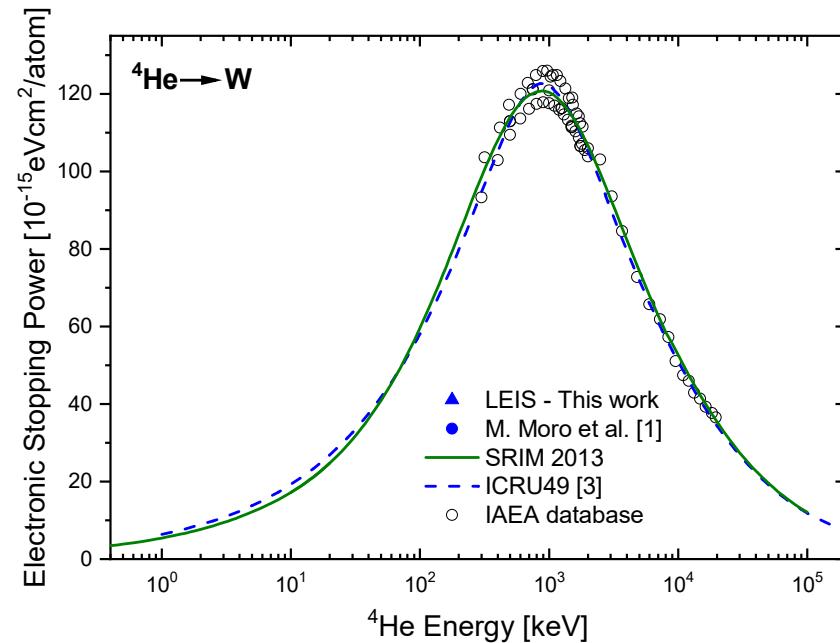
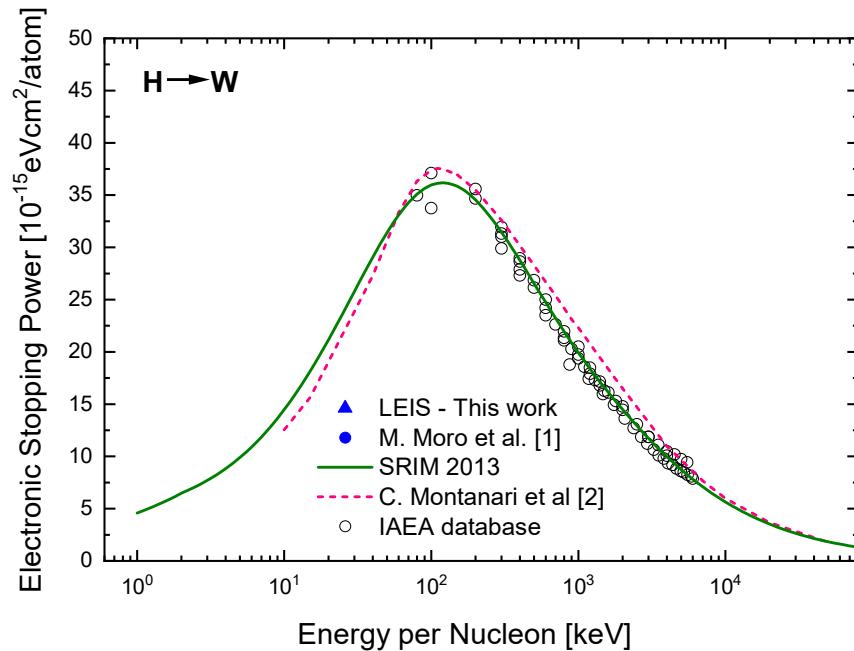
→ SCS extraction from height ratio.

→ Similar approach for MEIS and MeV energies our laboratory.

W-P 3: Electronic energy loss measurements



Experimental stopping cross-section of pristine Fe, W, and EUROFER97



[1] M. V. Moro et al., Nucl. Instrum. Meth B **498** (2021).

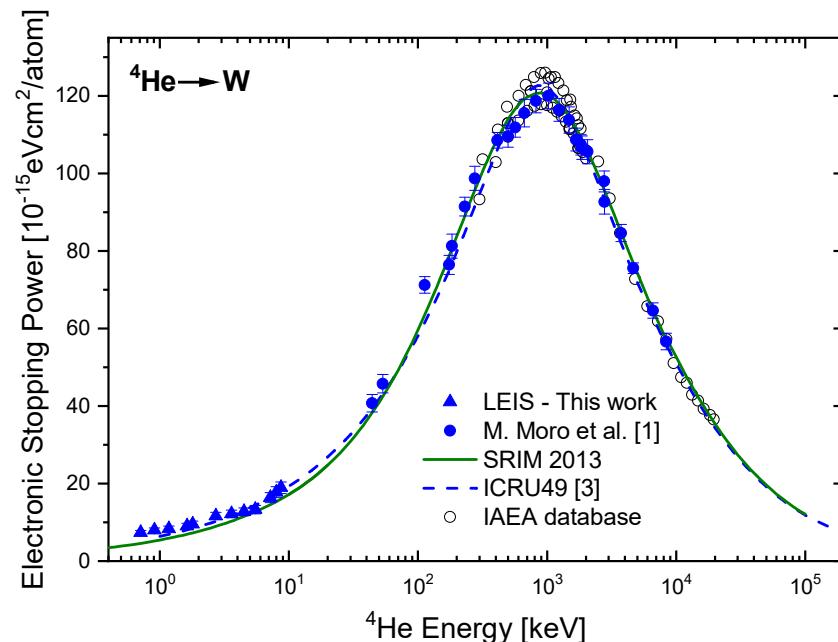
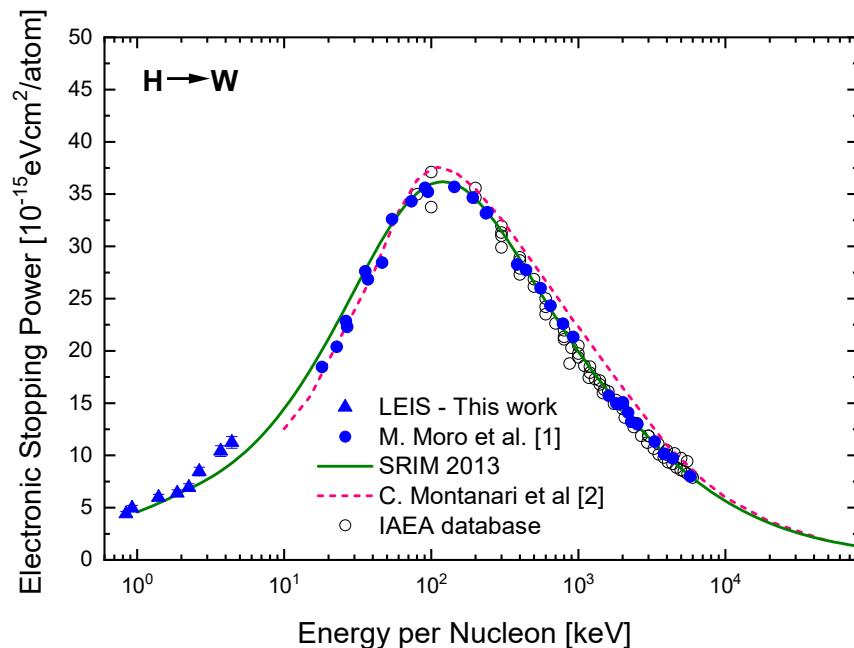
[2] C. C. Montanari et al., Phys. Rev. A **80**, 012901 (2009).

[3] M. J. Berger, et al., Report 49, Oxford Academic (1993).

W-P 3: Electronic energy loss measurements



Experimental stopping cross-section of pristine Fe, W, and EUROFER97



MEIS and MeV Range [1]: Good agreement with experimental and SRIM 2013 (up to 3.5% for protons and 4.0% for He).

LEIS: Discrepancies from SRIM-2013 up to 20% for protons and 60% for He (good agreement with ICRU49).

[1] M. V. Moro et al., Nucl. Instrum. Meth B **498** (2021).

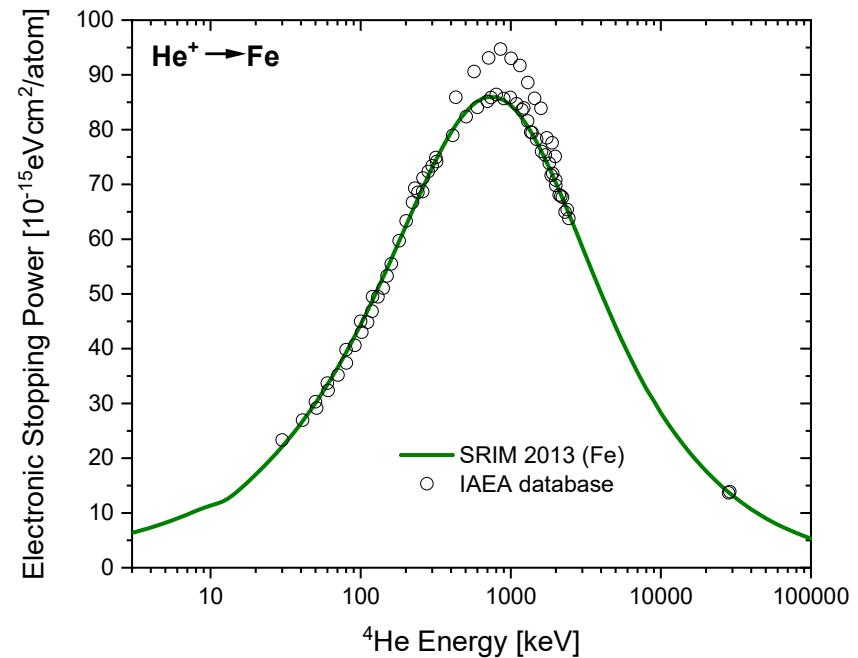
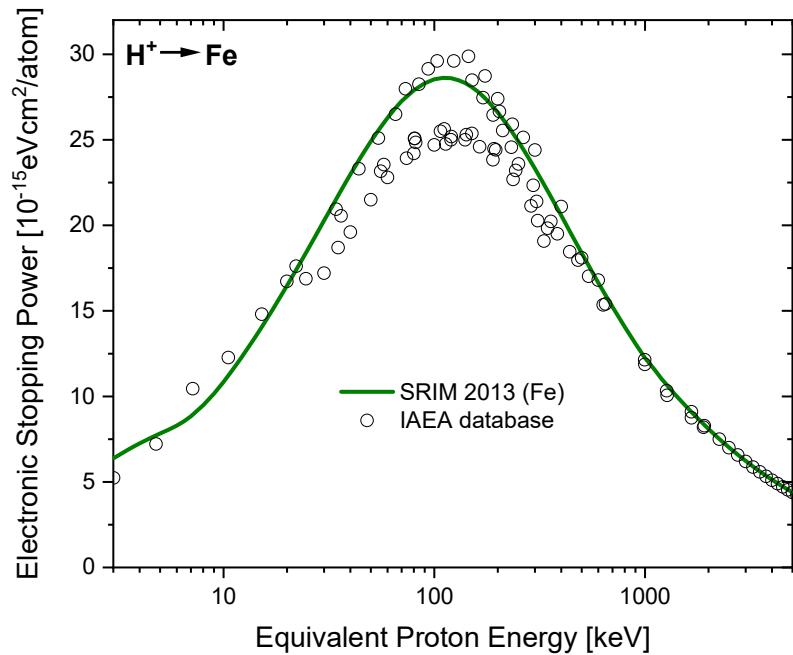
[2] C. C. Montanari et al., Phys. Rev. A **80**, 012901 (2009).

[3] M. J. Berger, et al., Report 49, Oxford Academic (1993).

W-P 3: Electronic energy loss measurements



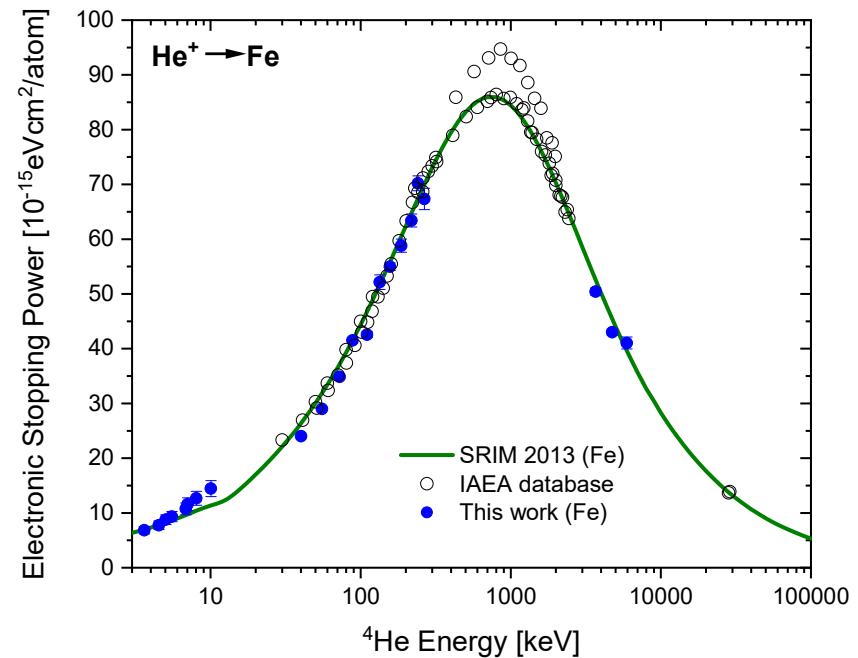
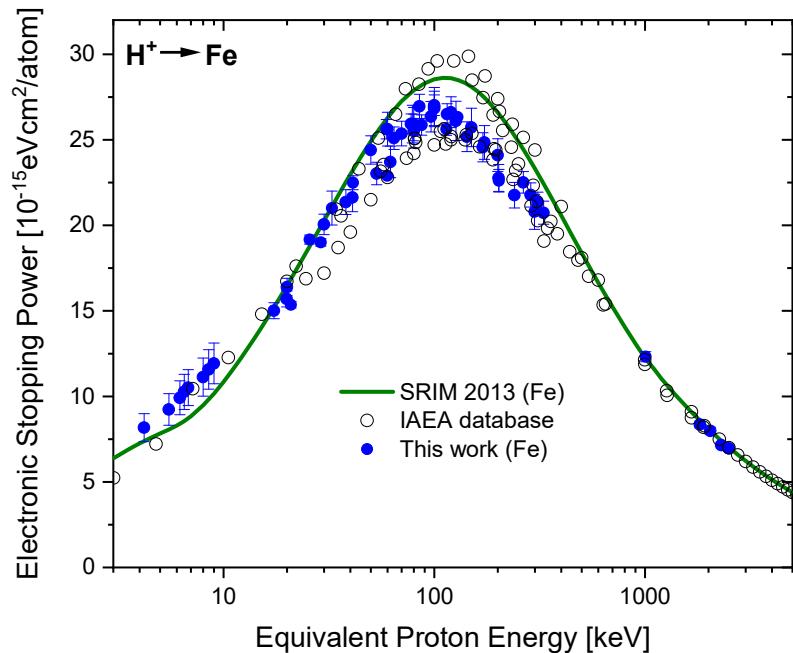
Experimental stopping cross-section of pristine Fe, W, and EUROFER97



W-P 3: Electronic energy loss measurements



Experimental stopping cross-section of pristine Fe, W, and EUROFER97



$H^+ \rightarrow Fe$

- Good agreement at MeV range.
- Large discrepancy with SRIM around maximum (up to 11%).
- Large discrepancy with SRIM at low energy range (up to 20%).

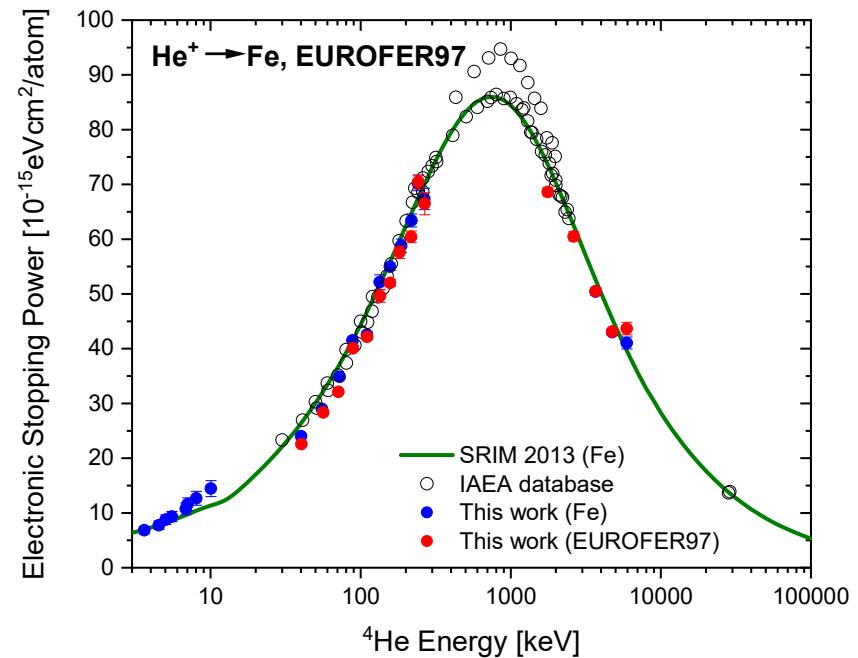
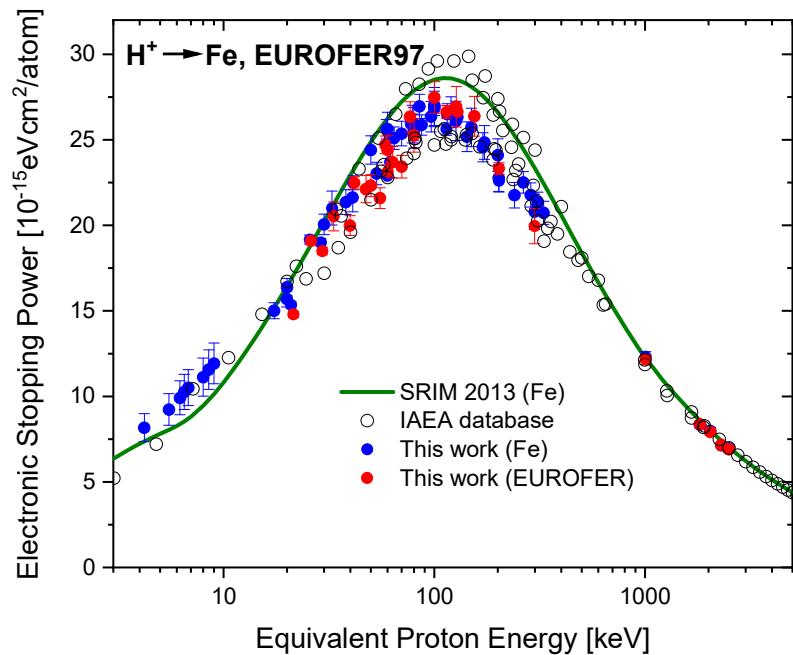
$He^+ \rightarrow Fe$

- Good agreement at keV/MeV range within 6%.
- LEIS: discrepancy up to 26%.

W-P 3: Electronic energy loss measurements



Experimental stopping cross-section of pristine Fe, W, and EUROFER97



$H^+, He^+ \rightarrow$ EUROFER97

- EUROFER97: similar SCS to Fe.
- No clear deviation from Bragg's rule

In progress (this year):

- EUROFER97 SCS at LEIS (absolute and relative approach).

W-P 4: Interatomic potential measurements



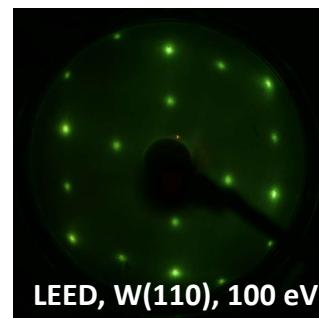
Crystal samples received (March 2022):

W(110), Fe(100), Cr(100)

- In the LEIS regime (1-10 keV):
- Scattering potential (Thomas-Fermi-Molierè):

$$V(r) = \frac{Z_1 Z_2 e^2}{r} \cdot \Phi\left(\frac{r}{a}\right)$$

↑
Screening function
↑
 $a = c_a a_f$ Screening lenght
↑
Screening correction factor (empirical)



LEED, W(110), 100 eV

For a given θ :

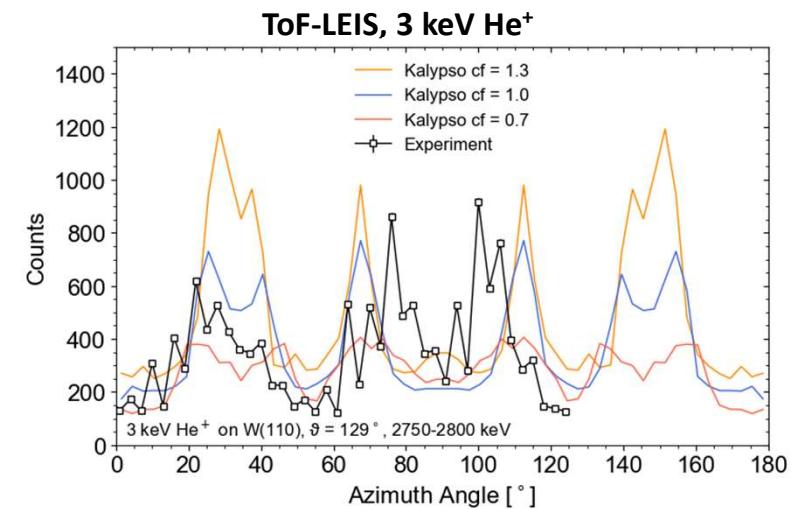
$c_a < 1 \rightarrow$ smaller scattering cross-section.

The plan:

Measuring the interatomic potential of W, Cr, and Fe by comparing angular LEIS scans with KALYPSO simulations.

Experiment:

- W(110) sample
- Multiple cycles of sputter cleaning and annealing
- Azimuth scan from 0° to 132° using 3 keV He⁺



Experiments and simulations are in progress.

Simulations:

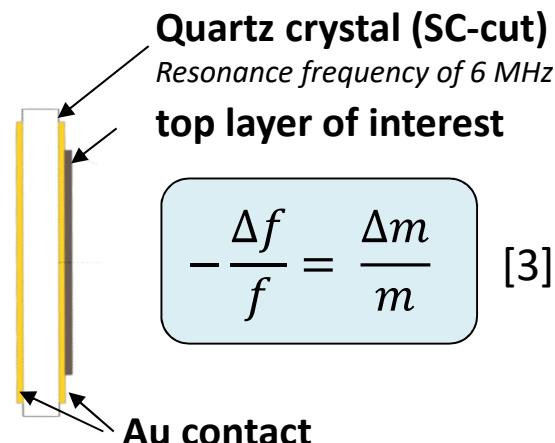
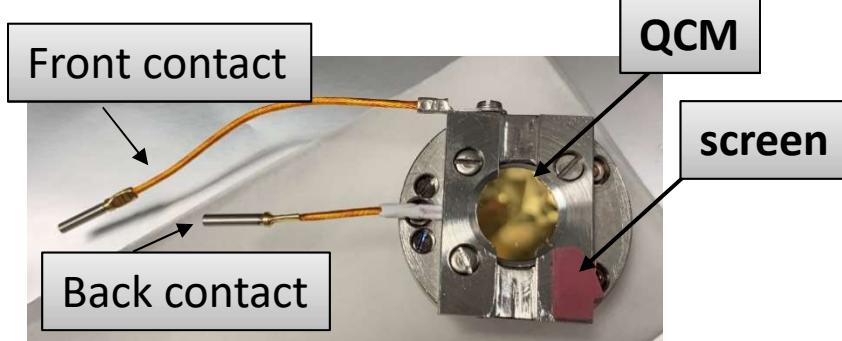
- Using KALYPSO, a software for molecular dynamics simulation of atomic collisions in solids.
- Using Thomas-Fermi-Moliere potential under different corrections.

W-P 5: Sputtering yields and BCA simulation



QCM (Quartz Crystal Microbalance) set-up with low noise and high sensitivity for mass changes ($\approx 90 \text{ pg/cm}^2/\text{s}$). [1,2]

QCM holder designed for UU setup:



- Preparation:** At TU Wien, new electronics and hardware components constructed.
- Installation:** TU Wien campaign by C. Cupak and M. Fellinger (October 2021).
- Features:** *In-situ* mass-change measurements and subsequent IBA (RBS, ERD).
- Tests:** Investigation of the formation of photochromic films (33104 at EUROFusion Pinboard).

[1] G. Hayderer et al., Rev. Sci. Instrum. **70**, 3696 (1999)

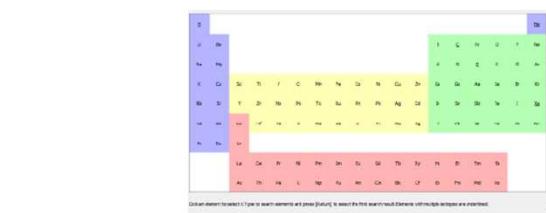
[2] R. Stadlmayr et al. Rev. Sci. Instrum. **91**, 125104 (2020)

[3] G. Sauerbrey, Z. Physik **155**, 206-222 (1959)

W-P 5: Sputtering yields and BCA simulation



special settings
(dynamics, statistics, potentials, extras)



Development of a SDTrimSP-GUI

beam settings

target elements

target composition

Simulation setup File preview SDTrimSP log Output files Simulation results

Beam Settings

Kinetic energy: constant (eV) Angle of incidence: constant (°)

Scan composition

#	element	mass [amu]	abundance	kin. Energy [eV]	angle [°]	max target conc.	inel loss model
1	Ar	39.95	1.00	2000.00	50.00	0.0000	3) 1) and 2)

Simulation Settings

Simulation site: 2key_D_Fe203_50deg
Calculation method: dynamic
Histories: 1000
Histories between outputs: 3000
Projects per history: 100
Huence [atoms/Å]: 1.00
Interaction potential: 1: KrC (default)
Integration method: 2: Gauss-Legendre (default)
Surface binding model: 1

Output options:
 Distributions of reflected projectiles
 Distributions of sputtered recoil atoms
 Matrix files (pre-sorted secondary particle distributions)

Additional Settings
Add additional settings here which will be appended to the input file, e.g.
lenergy_dstr = .true.

Element order: Ar(1), Fe(2), O(3)

- easy-use (like SRIM)
- live visualisations
- Quick parameter sweep
- (e.g, potentials, binding energies,...)
- Other BCA codes soon integrated (TRIDYN, IMSIL)

<https://doi.org/10.1016/j.nimb.2022.04.008>

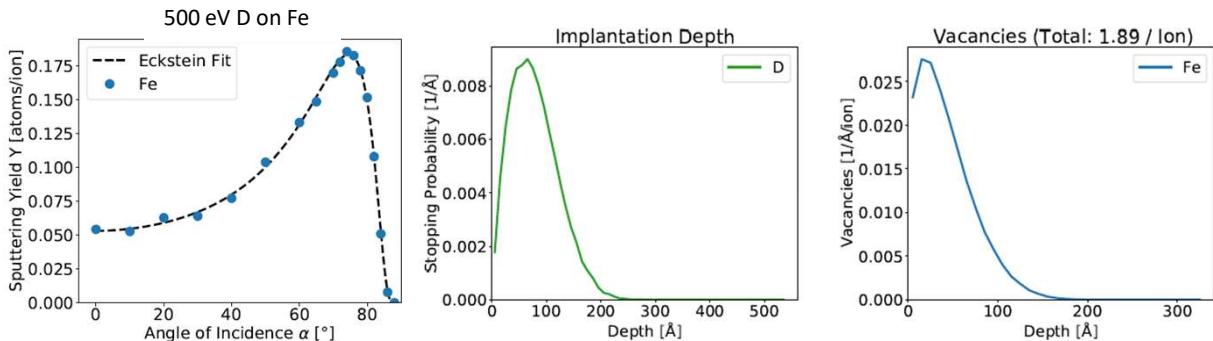
W-P 5: Sputtering yields and BCA simulation



SDTrimSP-GUI

Static calculations:

- Incidence angle sweeps
- Ranges and vacancies
- Particle emission vectors

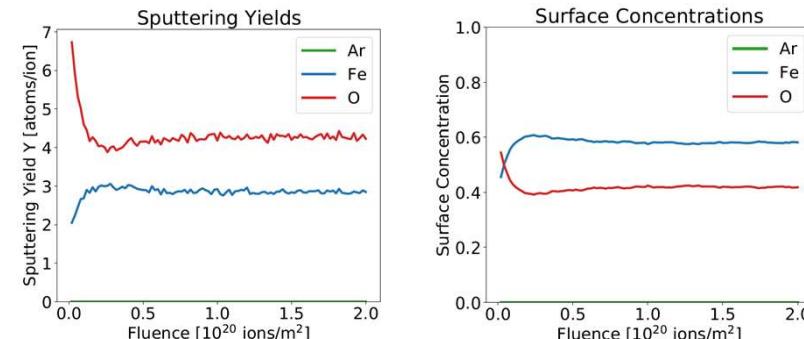


Dynamic calculations:

- Sputter yields, composition,...

Large benefit for ENR project
(parameter tuning,...)

Paper published in NIMB [1]



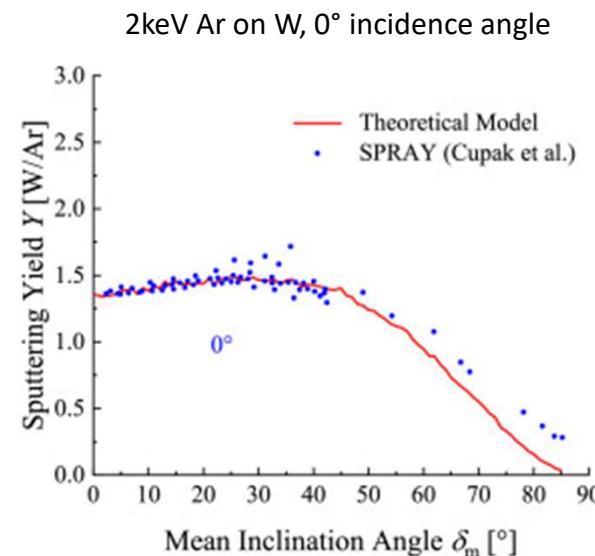
[1] <https://doi.org/10.1016/j.nimb.2022.04.008>

W-P 5: Sputtering yields and BCA simulation



Analytical model for roughness

- nm-roughness can affect sputtering
- Relevant when comparing numerical and experimental results
- Analytical model established to predict sputtering effects for (Gaussian) rough surfaces
- Paper published in Surf. Interfaces [2]



→ can be used to consider effects for our data, based on surface roughness parameter δ_m

[2] <https://doi.org/10.1016/j.surfin.2022.101924>

W-P 5: Sputtering yields and BCA simulation

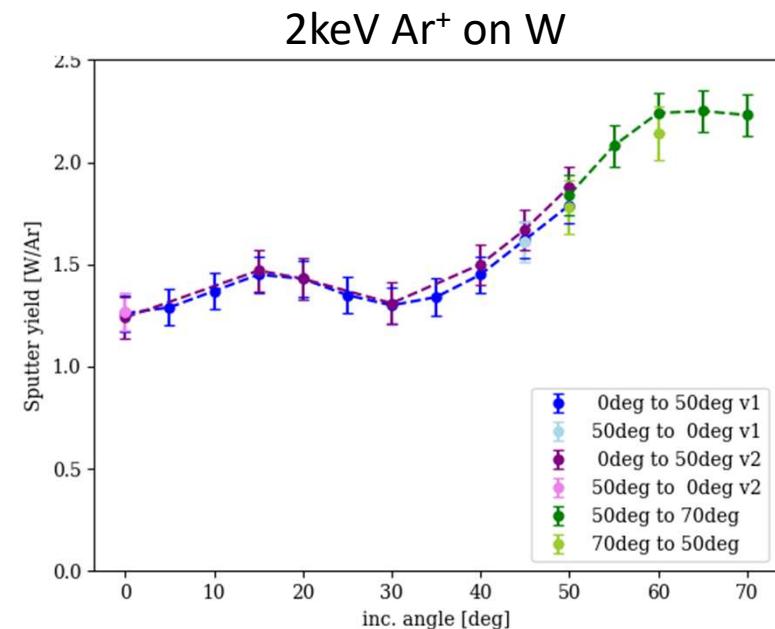


QCM experimental data on W sputtering

- Flat W, sputtered by 2 keV Ar⁺
- Local minimum at 30° observed
- Channeling effects in a (poly) crystalline sample suggested
- Also observed for D⁺ irradiation
- XRD and EBSD measurements ongoing

Outlook:

- QCM experiments with EUROFER-97
- IMSIL simulations (BCA sputter code with crystallography features)
- MD simulations (A. Sand)

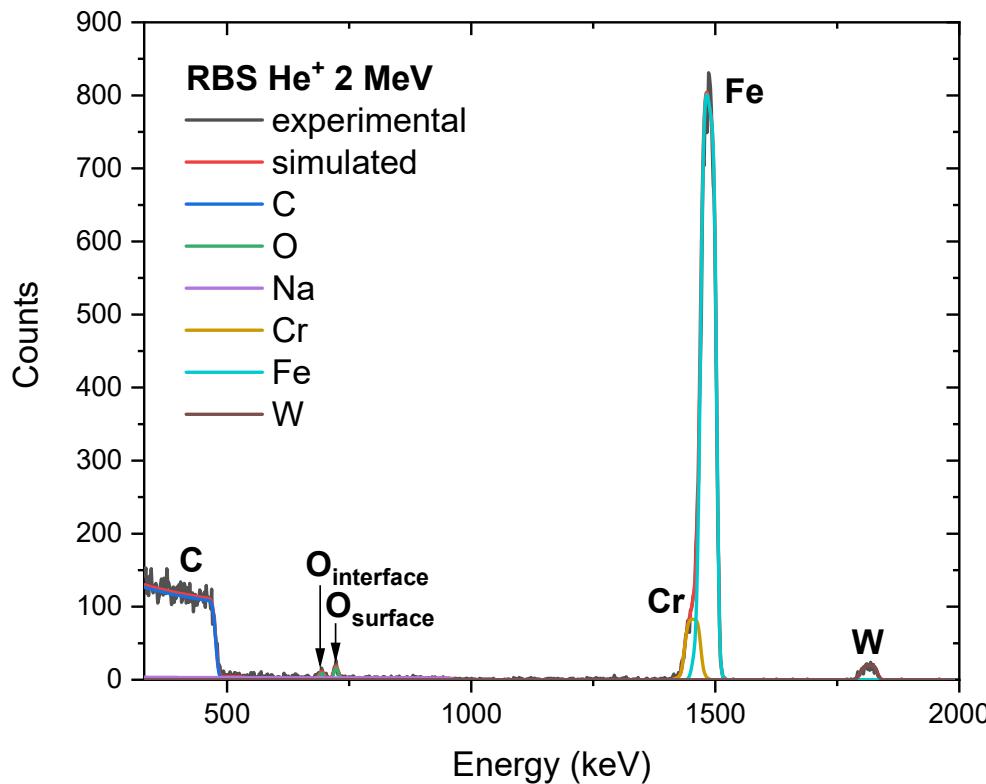


W-P 5: Sputtering yields and BCA simulation



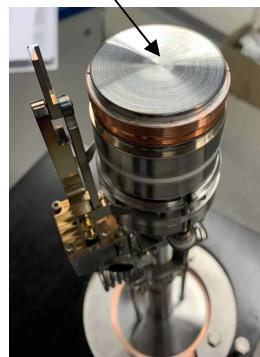
Formation and characterization of thin films from EUROFER97 target

In collaboration with P. Petersson and M. Rubel [1]



Sputtering target (2 inches):

EUROFER97



Sputtering conditions:

$P_{\text{base}} = 7.1 \times 10^{-8}$ mbar
 $P_{\text{Ar}} = 5.61 \times 10^{-3}$ mbar
 $f_{\text{Ar}} = 10$ sccm
 $P = 25$ W
 $\text{Rate}_{\text{QCM}} = 4.91$ nm/min

From SIMNRA: Similar composition to bulk.

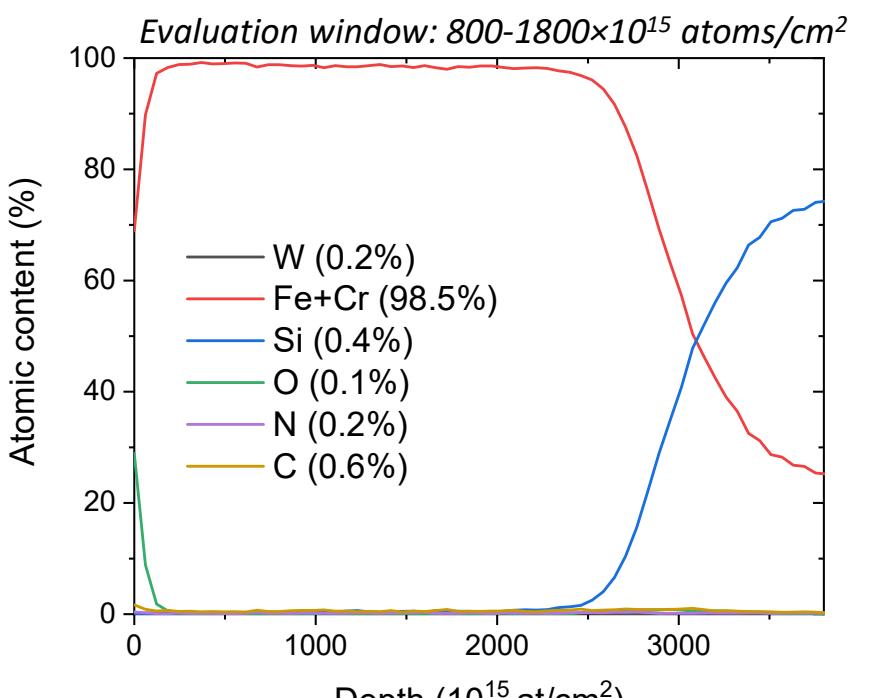
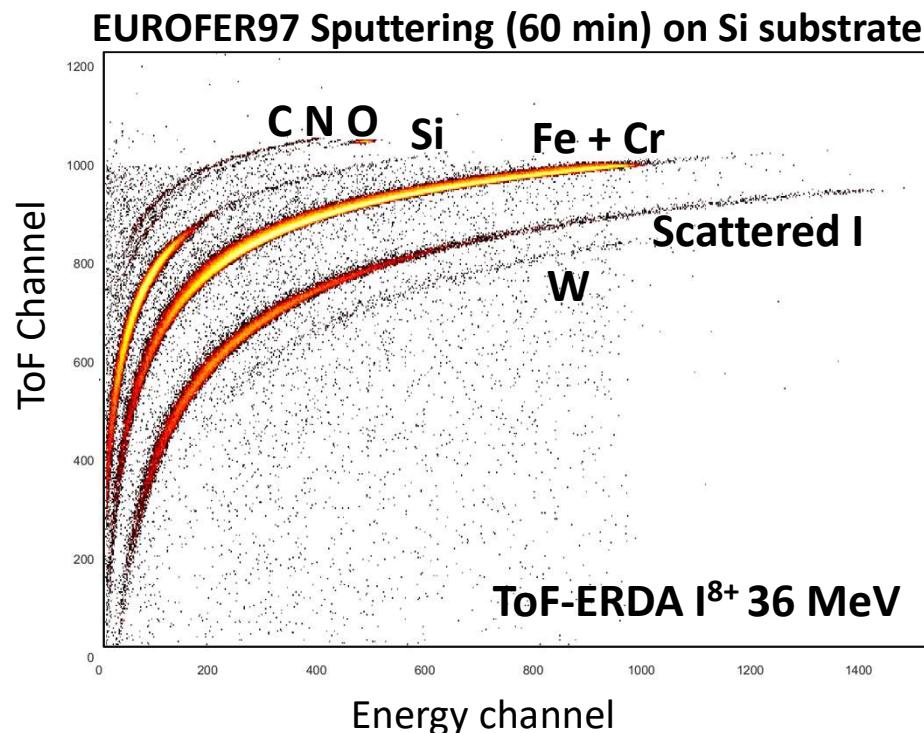
	At. content (%)	
	Sputtering	Bulk (nominal)
Fe	88.7	88.9
Cr	11.0	9.5
W	0.3	0.3

W-P 5: Sputtering yields and BCA simulation



Formation and characterization of thin films from EUROFER97 target

In collaboration with P. Petersson and M. Rubel [1]



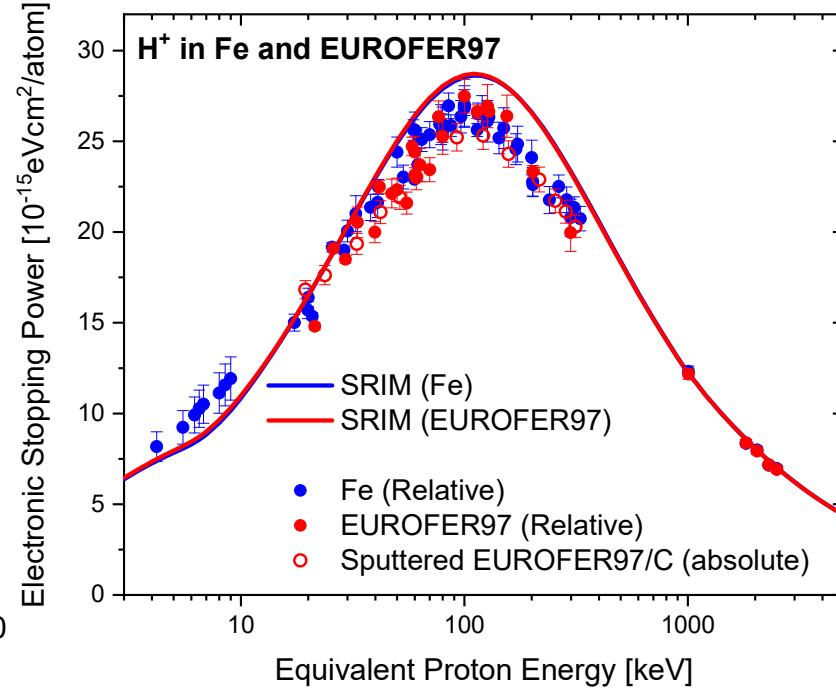
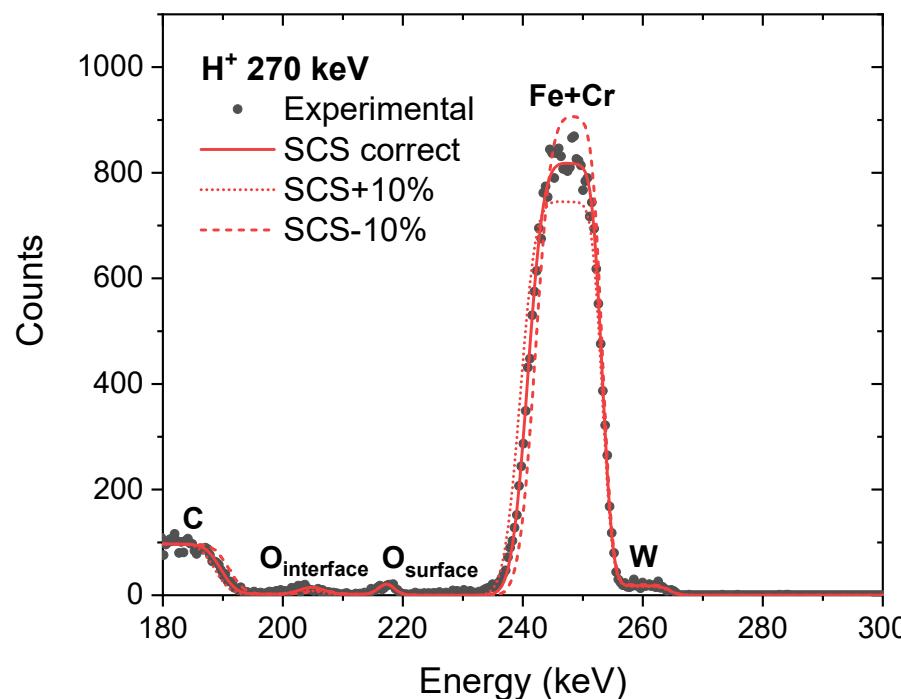
→ Homogeneous with low presence of contaminations.

W-P 3: Electronic energy loss measurements



Electronic SCS of thin films from EUROFER97 target

→ SCS based on the width of spectra in comparison to SIMNRA simulations (absolute approach).



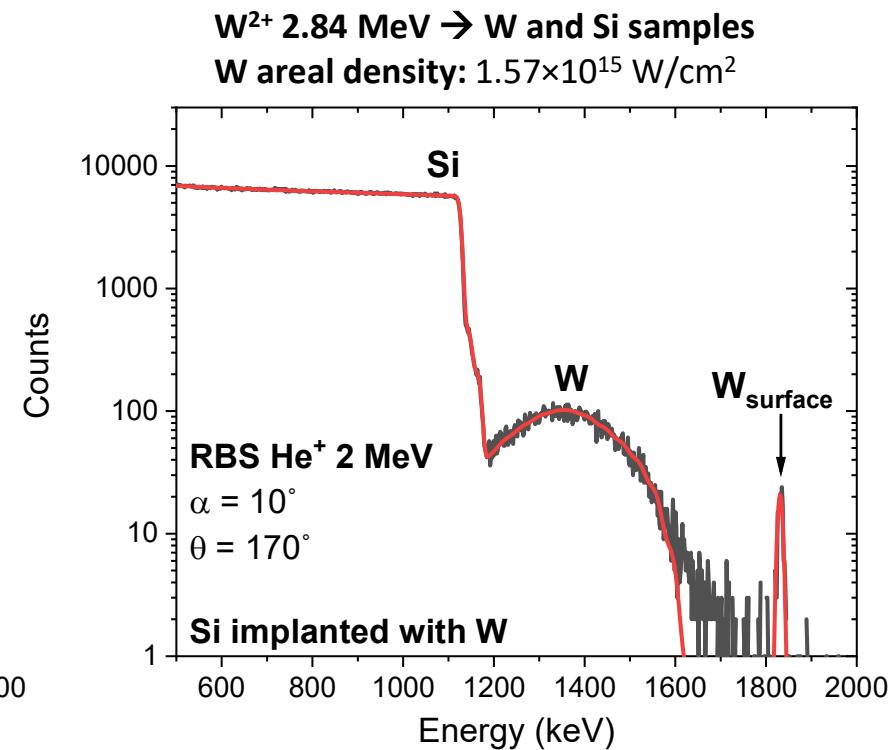
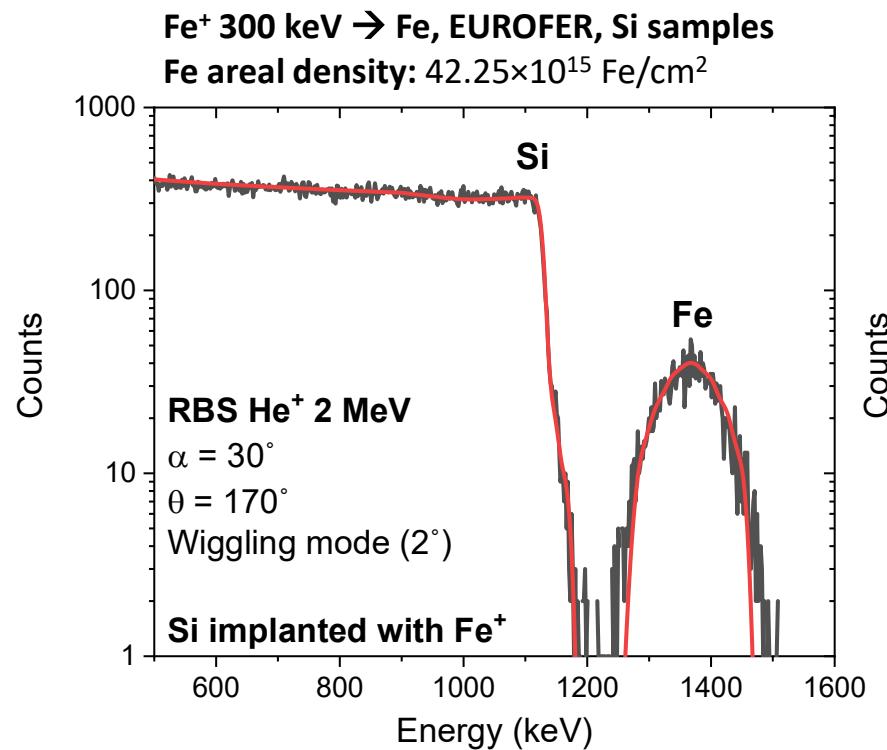
- Similar behaviour in comparison to relative approach (within 5%).
- Similar SCS trend between bulk and redeposited EUROFER97.

W-P 6: Ion-irradiation experiments



self-irradiation → High levels of displacement damage without impurities.

Ion Energy → High levels of displacement damage within depth from SCS evaluation window.



W-P 6: Ion-irradiation experiments

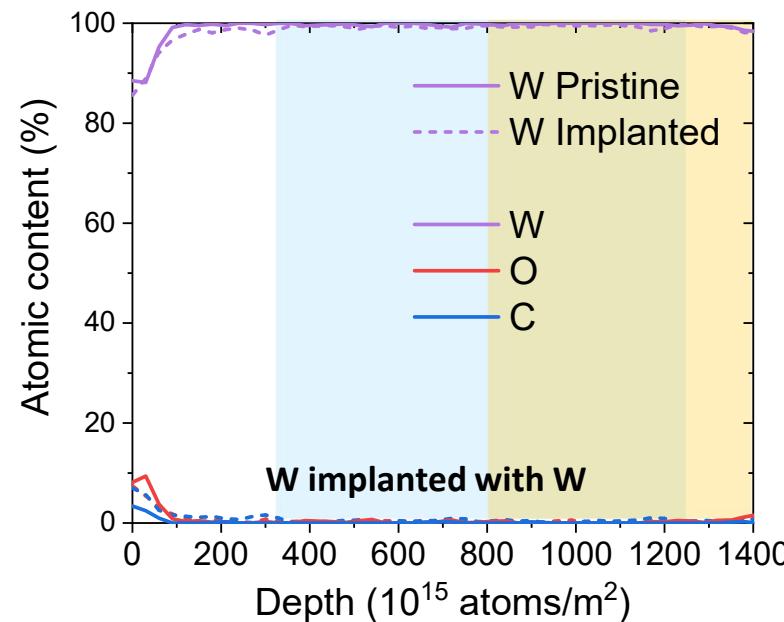
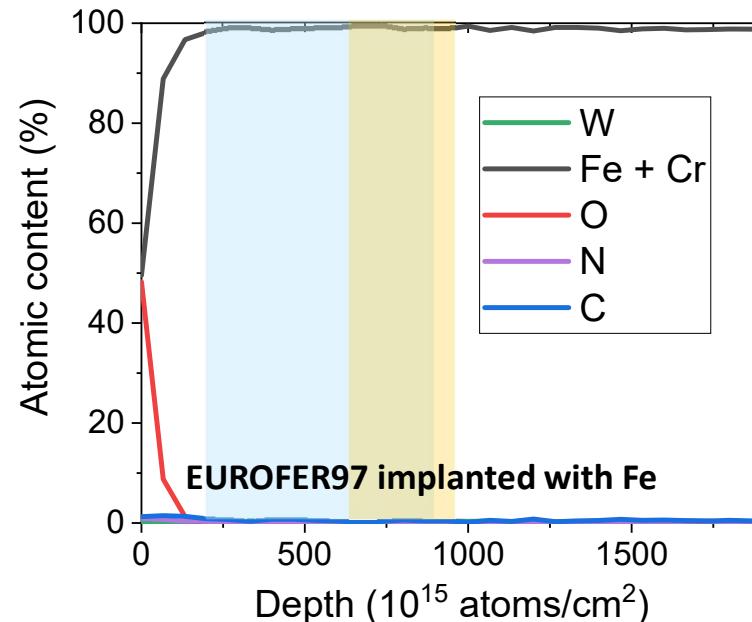


TRIM simulation:

→ Maximum damaged region

→ Ion range

ToF-ERDA (I^{8+} 36 MeV):



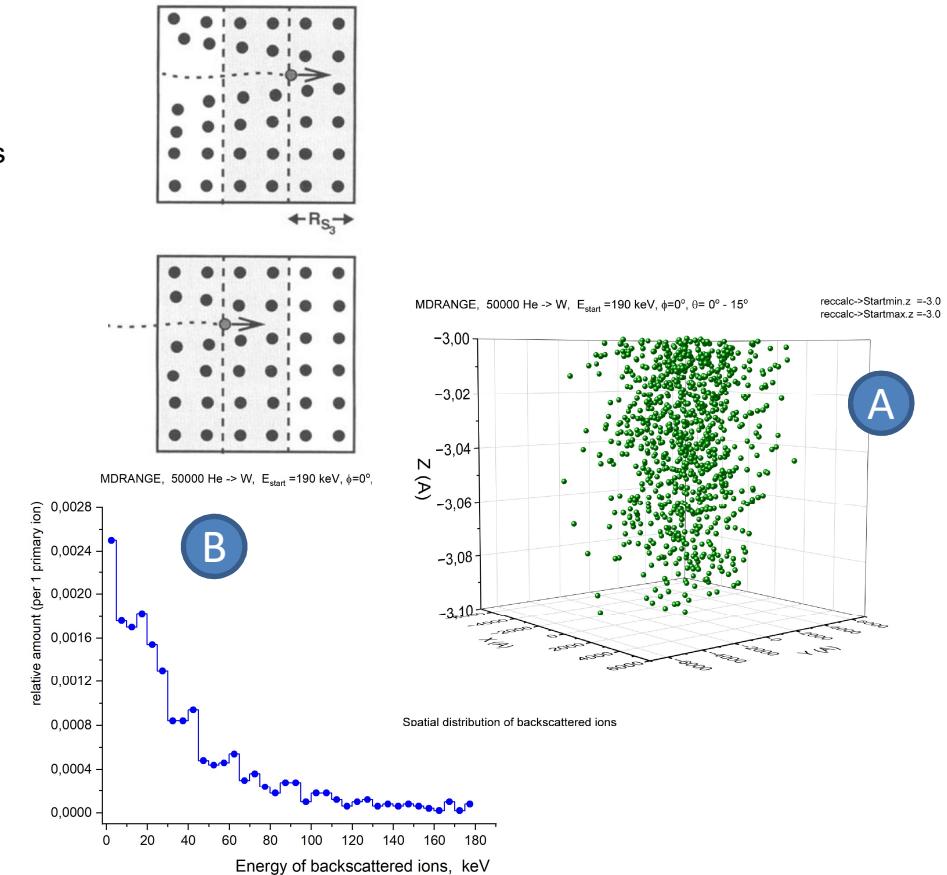
No significant modification on composition on self-irradiated samples.

W-P 7: Computational modelling



Ion backscattering with MDRANGE (D7.3)

- Principle of MDRANGE: efficient ion range calculations by considering only small region of target in MD framework
- Explicit atomic positions and lattice structure, many-body collisions
- Currently, electronic stopping determined from SRIM model
- Preliminary calculations of test case: 190 keV He on W
 - Limited statistics: 50k ions
 - Plot A shows backscattered ions (frozen soon after exiting surface at z=0, with target material extending in the positive z-direction)
 - Plot B shows energy distribution of backscattered ions
- Modification of MDRANGE code in progress
 - More efficient output of backscattered ions (computations are fast, but existing output options not designed for backscattering)
 - Aim: obtain full trajectories of backscattered ions
 - Target completion: Oct 2022
- Future work:
 - Include TDDFT-calculated electronic stopping (obtained in D7.1 and D7.2)
 - Experimental ion energies and targets
 - Statistics: >1M ions for each case

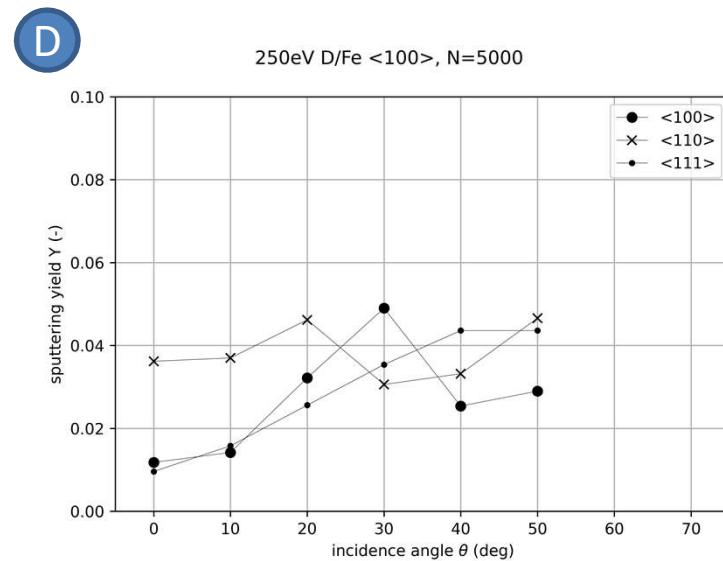
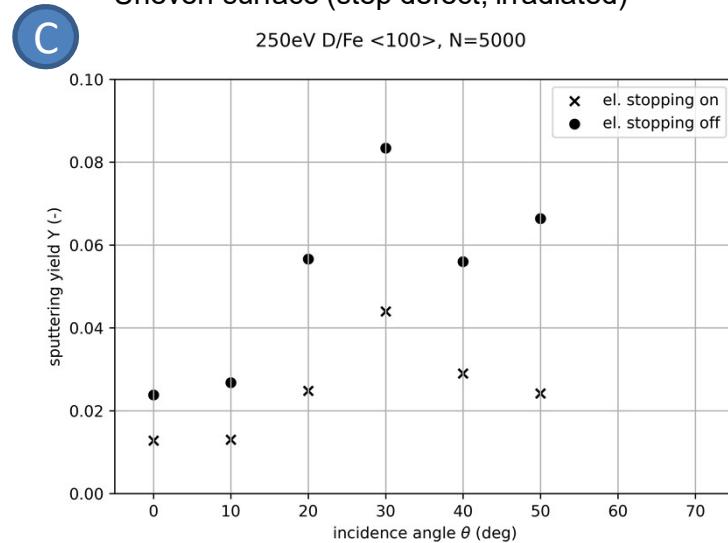


W-P 7: Computational modelling



Sputtering with MD simulations (D7.4)

- Classic MD with el. stop. as friction force from SRIM 2013, shown to affect sputtering (plot C)
- Simulation cell: ~16k Fe + 1 D atoms, equilibrated at 300K
- LAMMPS MD code + EAM interatomic potential
- N=5000 Monte Carlo-style simulations per angular step, varying ion azimuthal angle and impact point
- Preliminary results (250eV D on Fe):
 - Plot D: dependence of sputtering yield on ion incidence angle, for 3 low-index orientations ($<100>$, $<110>$, $<111>$) in pristine crystal
- Ongoing work:
 - Additional low-index orientations in pristine crystal ($<211>$)
 - Uneven surface (step defect, irradiated)

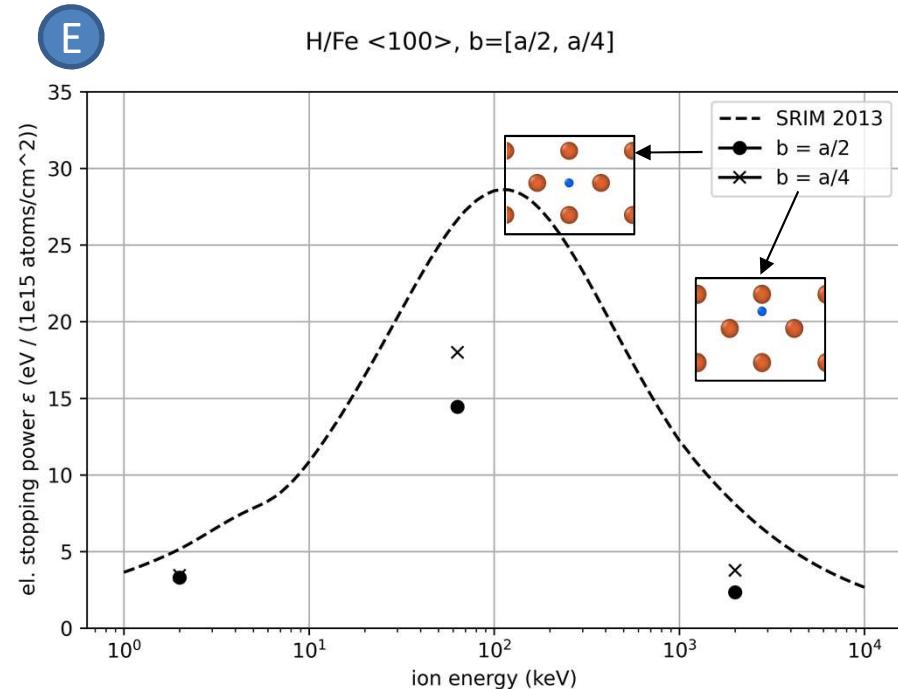


W-P 7: Computational modelling



TDDFT electronic stopping power (D7.1)

- Electronic stopping power from rate of change of total energy of the system, with ion travelling at constant velocity
- Simulation cell: 3x3x4 supercell (72 Fe + 1 H atoms), lattice constant a
- Qb@II TDDFT code + LDA norm-conserving pseudopotentials
- Preliminary results (H on Fe):
 - Plot E: stopping power along $<100>$ channel, for two impact parameters b and three ion energies
- Ongoing work:
 - Incommensurate trajectories
 - Channeling trajectories with smaller b



Summary



Milestones achieved:

2021:

M2.1 → Sample characterization: pristine samples.

M3.1 → Experimental energy loss results from pristine PFCs samples (keV regime).

M5.1 → QCM set-up assembling at UU.

2022:

M2.2 → Sample characterization: damaged samples.

M2.3 → Periodically quality control cross-checks.

M3.2 → Experimental energy loss results from pristine PFCs samples (sub-keV regime).

Summary



In progress:

2022:

M5.1 → Comparisons on the experimental sputtered yields between pristine Fe, W, EUROFER samples to Monte Carlo-based BCA simulations using input data from energy loss and interatomic potential.

M7.1 → Ab-initio calculations for energy loss and comparison to results: pristine samples.

M7.3 → Implementation of geometrically dependent electronic energy losses in the MDRANGE ion range code.

Summary



Future milestones (2023 & 2024):

M3.3 → Experimental data from damaged W & Fe.

M3.4 → Experimental data from damaged EUROFER.

M4.1 → Determination of short-range interactions from experimental spectra and BCA calculations.

M4.2 → Comparison of the short range interactions for pristine vs. damaged samples: defects influence.

M5.2 → Benchmarking sputtering yield codes with input data from WP3 and WP4.

M5.3 → Evaluate how sensitive the sputtering yield is in terms of energy loss and interatomic potential when local defects and impurities (i.e. damaged samples) are present.

M6.2 → Depth profile of the irradiated Fe, W and EUROFER samples suitable for WP4.

M7.2 → Ab-initio calculations of damaged samples and comparison to experiments: local effects on the fundamental quantities.

M7.4 → Comparisons of predicted and experimentally measured electron energy losses for input into MD based simulations.

M7.5 → Experimentally deduced short range interactions as inputs for MD based simulations of sputtering yield.

Extras



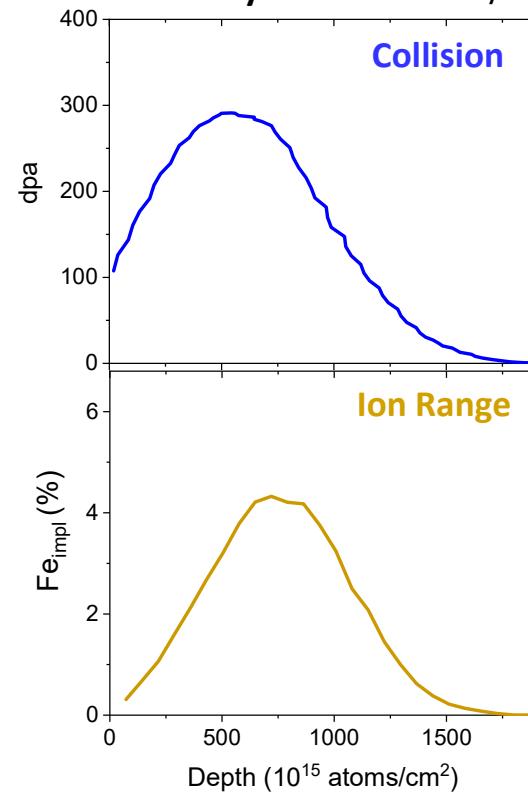
W-P 6: Ion-irradiation experiments



TRIM simulations

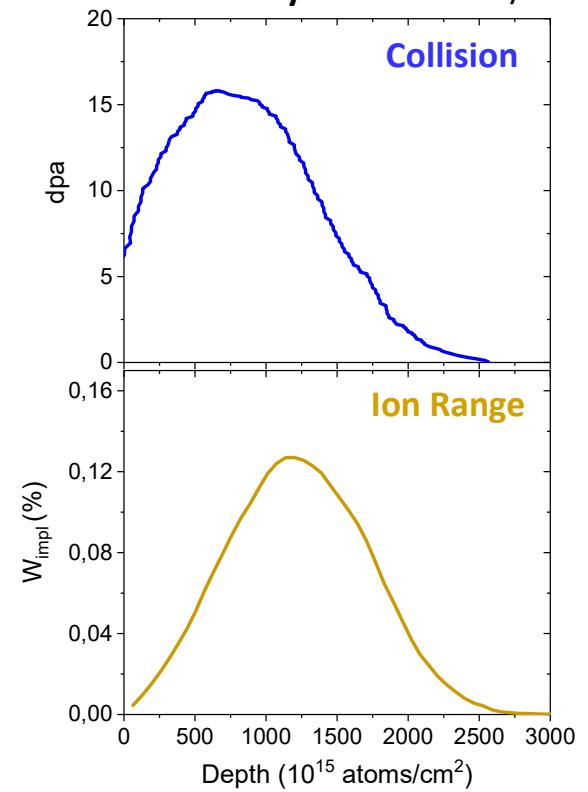
Fe^+ 300 keV → Fe, EUROFER, Si samples

Fe areal density: 42.25×10^{15} Fe/cm²



W^{2+} 2.84 MeV → W and Si samples

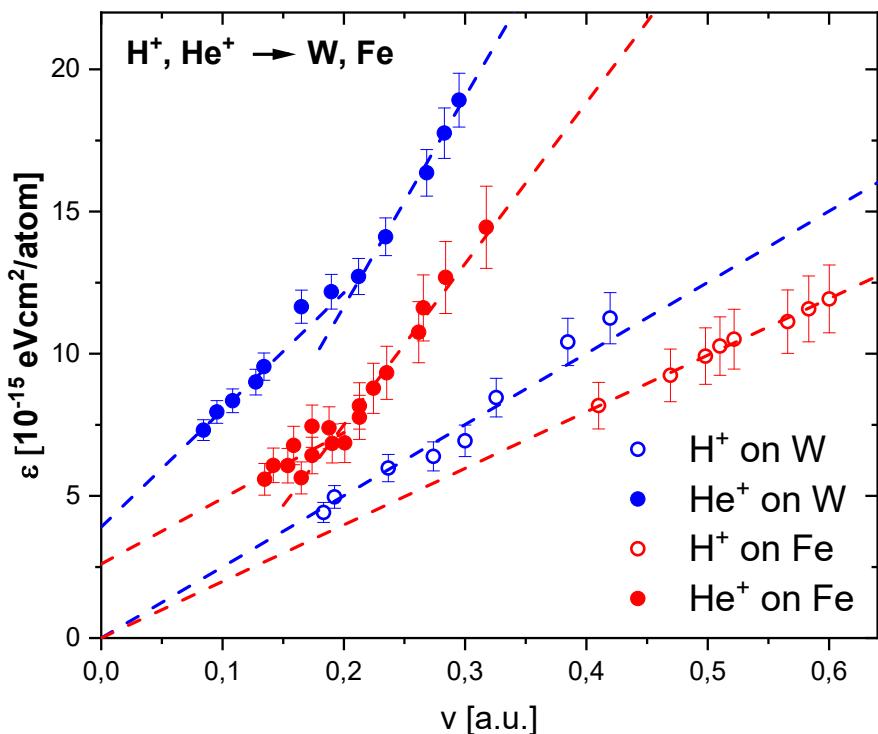
W areal density: 1.57×10^{15} W/cm²



W-P 3: Electronic energy loss measurements



H and He on Pristine Fe and W: Velocity dependence in low energy range



FEG $\rightarrow S_e = Q(Z_1, r_s)v$

$\text{H} \rightarrow \text{W, Fe}$

\rightarrow SCS scales with velocity.

$\text{He} \rightarrow \text{W, Fe}$

\rightarrow Strong deviation of $\varepsilon \propto v$ at ~ 0.2 a. u.

\rightarrow Positive offset on y axis:

\rightarrow Similar behaviour observed on $\text{He} \rightarrow \text{Pt}$ [1]

\rightarrow Attributed to charge-exchange processes between He^+ and target with non-linear velocity dependence.

[1] D. Goebl, D. Roth, and P. Bauer, Phys. Rev. A, 87, 062903 (2013).