



Global electromagnetic gyrokinetic modelling of Energetic Particle driven instabilities in ITER and ASDEX Upgrade

Thomas Hayward-Schneider¹,
F. Vannini¹, B. Rettino¹, A. Bottino¹, Ph. Lauber¹,
M. Weiland¹, A. Biancalani^{2,1}, R. Hatzky¹, Z.X. Lu¹,
B.F. McMillan³, A. Mishchenko^{1b}, L. Villard⁴, X. Wang¹

¹MPG/IPP (Garching/^bGreifswald), ²ESILV, ³Uni. Warwick, ⁴SPC-EPFL



This work has been carried out within the framework of the EUROfusion Consortium, funded by the European Union via the Euratom Research and Training Programme (Grant Agreement No 101052200 — EUROfusion). Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Commission. Neither the European Union nor the European Commission can be held responsible for them.



Outline

Energetic Particle (EP) physics

Numerical model: the ORB5 Code

ITER PFPO scenario (101006)

Scenario description

Low-n AEs

Meso-n ITGs

ASDEX Upgrade 'NLED-AUG' scenario (#31213)



Introduction: Energetic Particle physics

- Energetic particles in tokamak plasmas:
 - Alpha particles, born from fusion reactions (3.5 MeV)
 - NBI ions, injected (anisotropically) at, e.g. ITER: ~ 1 MeV
 - ICRH ions, drawing out tails, $T_{\perp} > T_{\parallel}$
- Often modelled with simple distribution functions, e.g.:
 - (local) Maxwellian
 - Bump-on-tail
 - Slowing down
- Can be treated more realistically with:
 - Analytical anisotropic (e.g. anisotropic slowing down)
 - Numerical distributions from heating codes

Must be confined long enough to heat up plasma.



Introduction: Energetic Particle physics

Energetic particles can drive plasma instabilities, especially Alfvén eigenmodes (AEs), such as TAEs (Toroidal AE).

Consequences of instabilities:

- EP redistribution: less effective heating or particle losses
- Modification of bulk plasma profiles
- Intermediate coupling to Macro-scale (MHD) or Micro-scale (turbulence)

Challenging modelling problem, AEs are **electromagnetic** and **global** modes; mode drive and damping is resonant (**kinetic**).

This work uses NL GK simulation to address EP/AE physics. See talk in this session by M. Falessi for theory-based reduced models.



"ORB5: a global electromagnetic gyrokinetic code using the PIC approach in toroidal geometry"

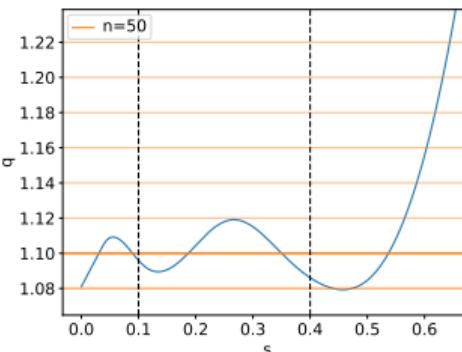
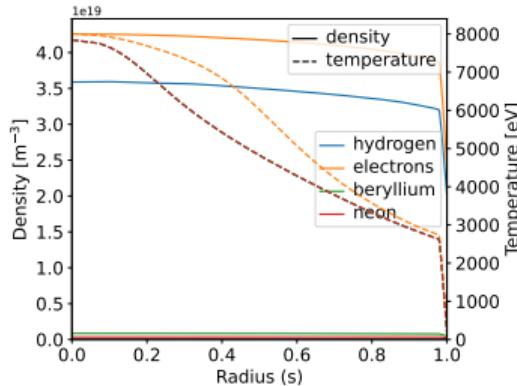
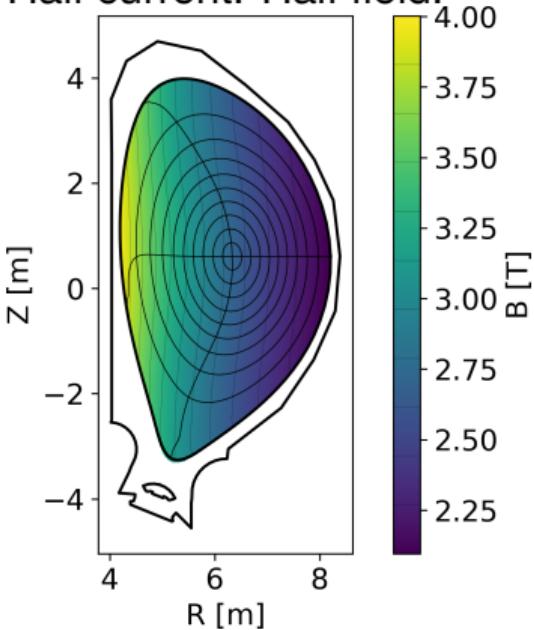
- Fields solved using finite elements (cubic B-Splines)
- Filter applied in toroidal and poloidal mode numbers
 - $m(r) = nq(r) \pm \Delta m$
- Effectively mitigates with the so-called cancellation problem using the pullback scheme [Mishchenko 2019]
- Gyrokinetic ions, drift-kinetic electrons ($m_i/m_e \geq 400$)
- Previously used for turbulence studies as well as EP physics
- International AE benchmarking activities:
 - e.g.: ITPA-TAE benchmark, DIII-D RSAE/TAE benchmark
 - benchmarking activities used local Maxwellian for EPs
- Recent developments for numerical and semi-analytical distribution functions

¹for details, see Lanti+ CPC 2020



ITER PFPO-2 Scenario (IMAS: 101006#50)²

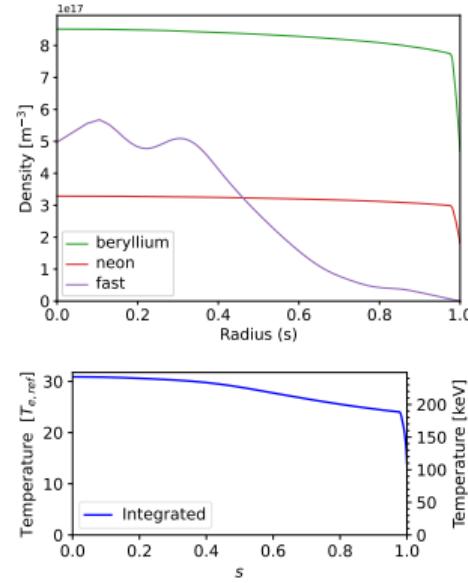
Pre-fusion-power-operation.
Half current. Half field.





ITER PFPO-2 EP profiles

- 2 N-NBI sources at approx 1 MeV injection energy
(1 on-axis, 1 off-axis)
- Small density,
 $n_{EP} = 0.5 \cdot 10^{18} \text{ m}^{-3}$ on axis,
cf. $n_H = 3.6 \cdot 10^{19} \text{ m}^{-3}$
- Equivalent temperature with
1 MeV slowing down approximation
 $T_{EP} \sim 200 - 250 \text{ keV}, \approx 30 \times T_e$



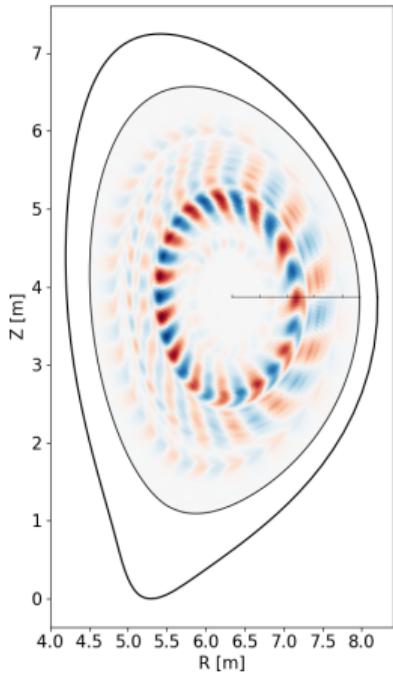
We consider nominal and double EP densities using isotropic slowing down



ITER PFPO without EPs

AEs in the absence of
EPs (stable, weakly
damped)
TAEs, EAEs, lower
frequency (RSAE and/or
BAE)

n=12 (BAE)

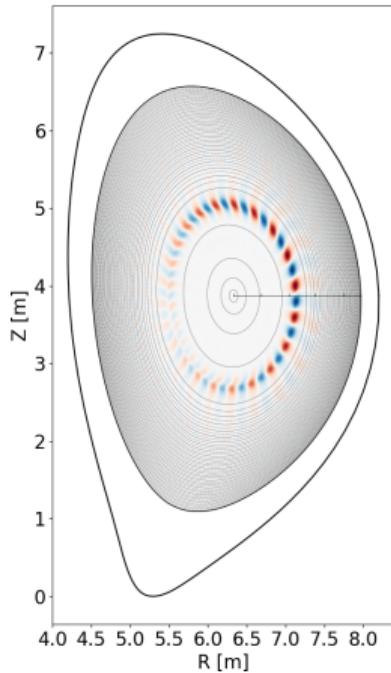




ITER PFPO without EPs

AEs in the absence of
EPs (stable, weakly
damped)
TAEs, EAEs, lower
frequency (RSAE and/or
BAE)

$n=20$ (TAE)

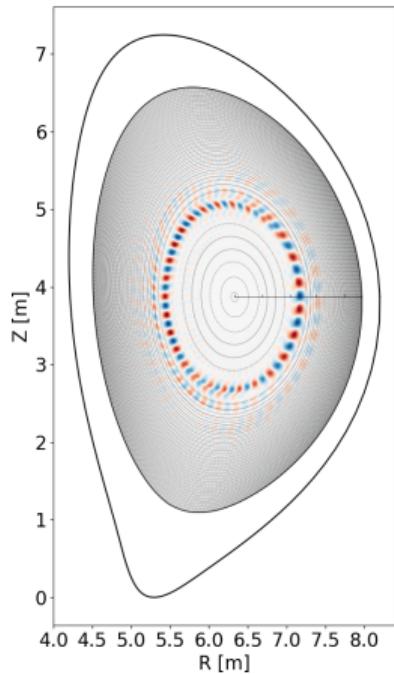




ITER PFPO without EPs

AEs in the absence of
EPs (stable, weakly
damped)
TAEs, EAEs, lower
frequency (RSAE and/or
BAE)

$n=26$ (EAE)

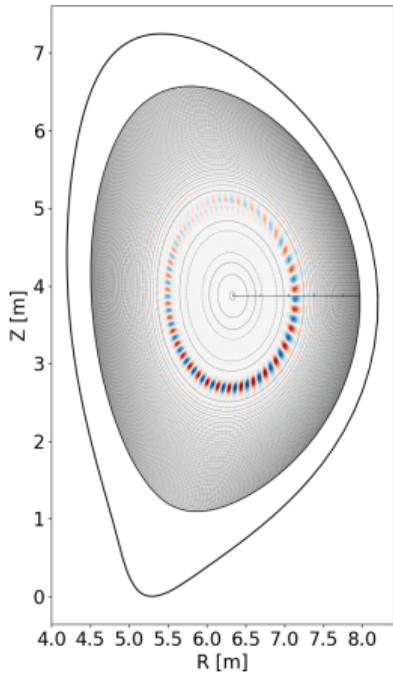




ITER PFPO without EPs

AEs in the absence of
EPs (stable, weakly
damped)
TAEs, EAEs, lower
frequency (RSAE and/or
BAE)

n=32 (RSAE)

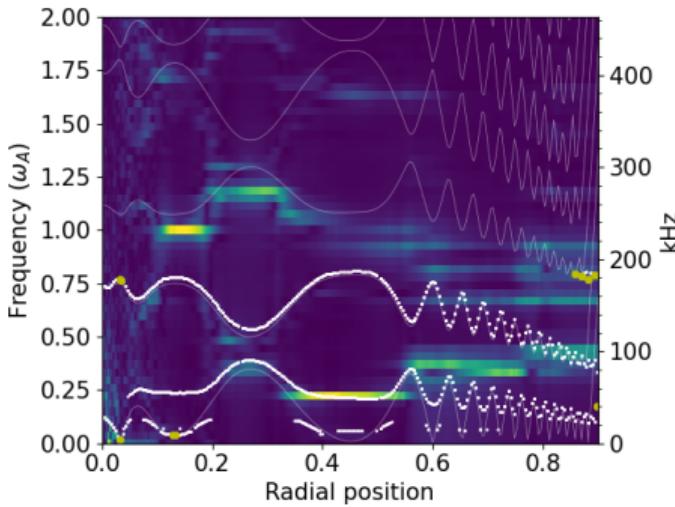




ITER PFPO without EPs

AEs in the absence of
EPs (stable, weakly
damped)
TAEs, EAEs, lower
frequency (RSAE and/or
BAE)

$n=12$ (BAE)



$n=12$

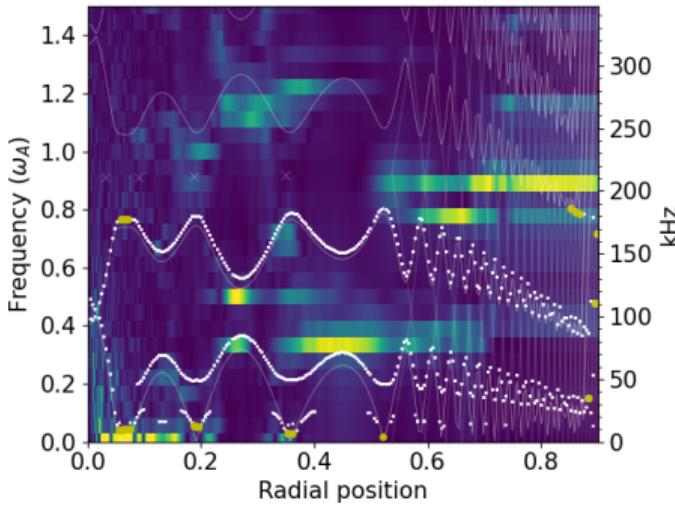
Alfvén continuum from ligka (thick: kinetic)

ITER PFPO without EPs



AEs in the absence of
EPs (stable, weakly
damped)
TAEs, EAEs, lower
frequency (RSAE and/or
BAE)

$n=20$ (TAE)



$n=20$

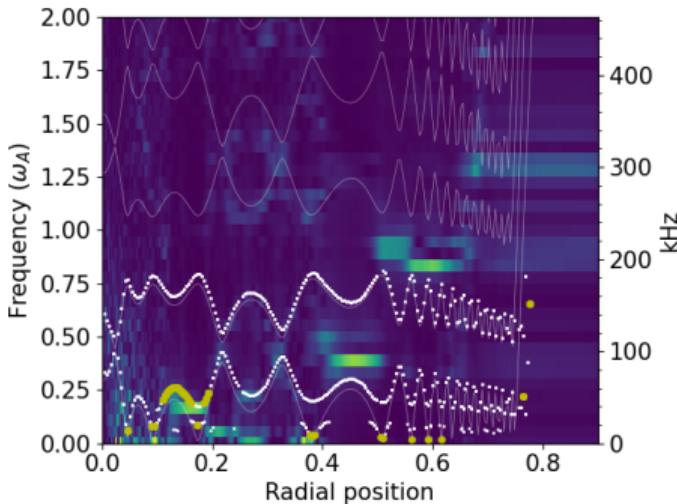
Alfvén continuum from ligka (thick: kinetic)



ITER PFPO without EPs

AEs in the absence of
EPs (stable, weakly
damped)
TAEs, EAEs, lower
frequency (RSAE and/or
BAE)

$n=32$ (RSAE)



$n=32$

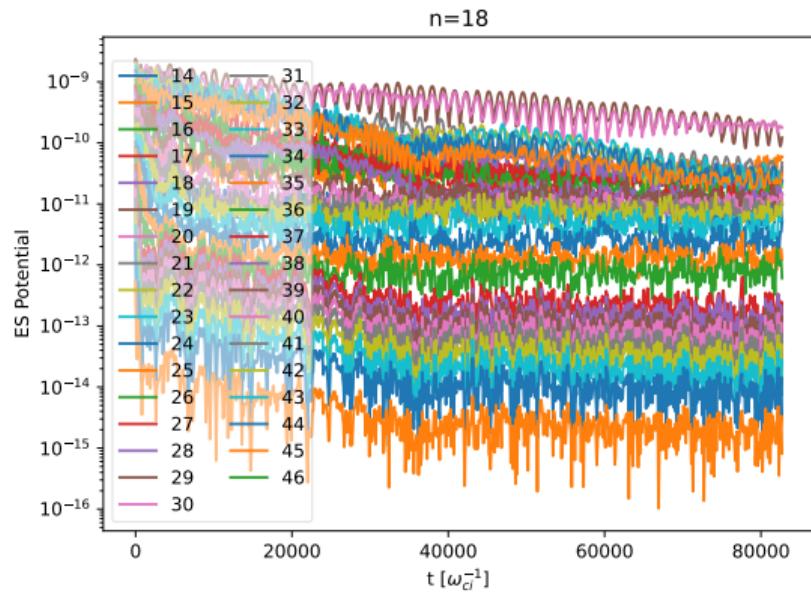
Alfvén continuum from ligka (thick: kinetic)



ITER PFPO with EPs

Adding EP distribution,
introduce AE drive

E.g. n=18



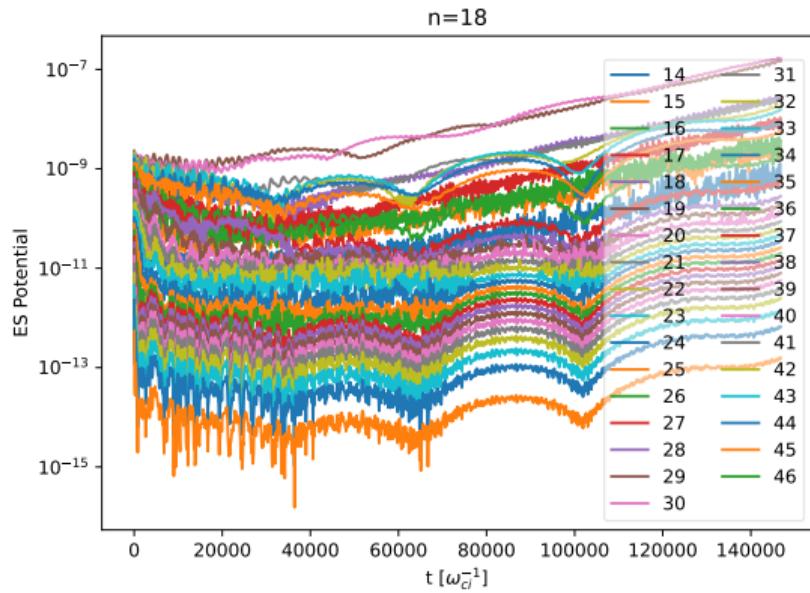
Nominal EP density not enough to drive AEs



ITER PFPO with EPs

Adding EP distribution,
introduce AE drive

E.g. n=18



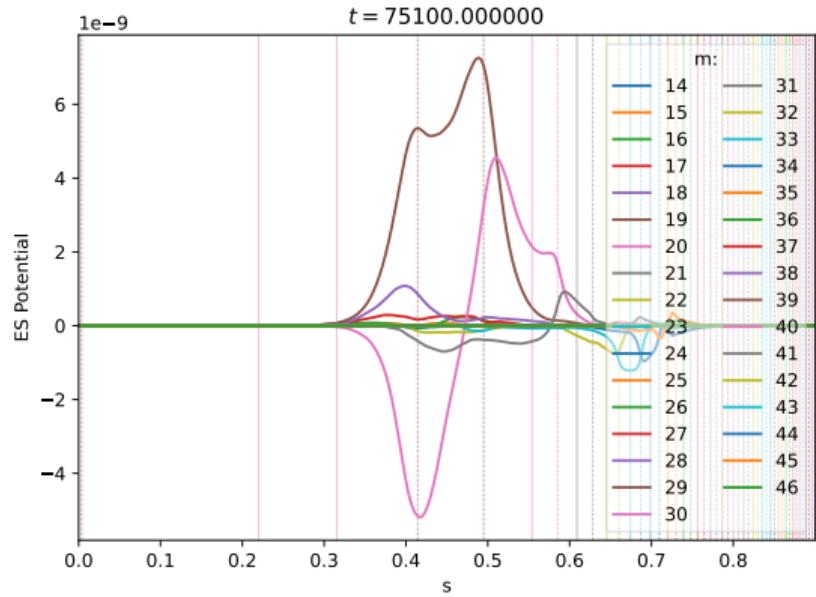
$2x n_{EP}$, growing beating mode(s)

ITER PFPO with EPs



Adding EP distribution,
introduce AE drive

E.g. $n=18$



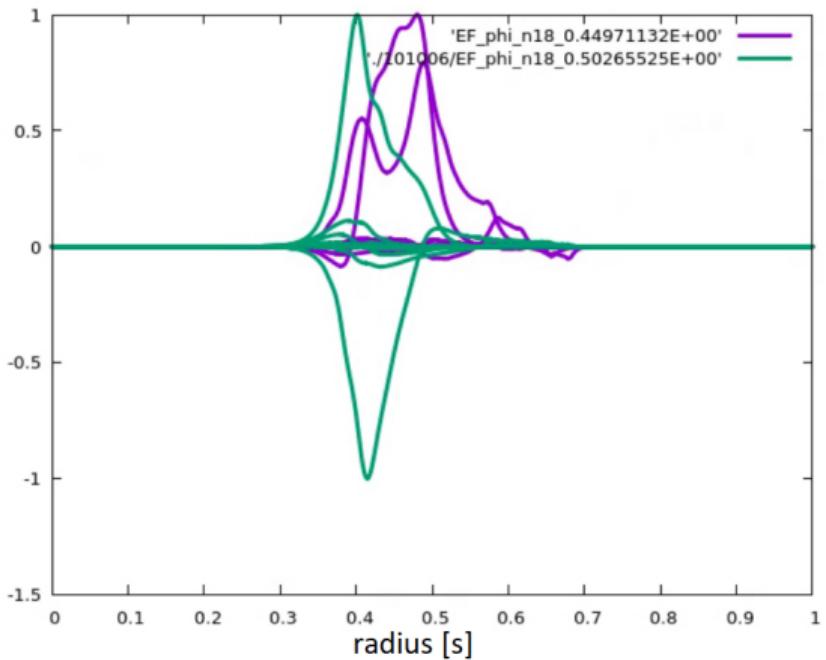
$2x n_{EP}$, twin TAE locations at $s \sim [0.414, 0.495]$

ITER PFPO with EPs



Adding EP distribution,
introduce AE drive

E.g. n=18



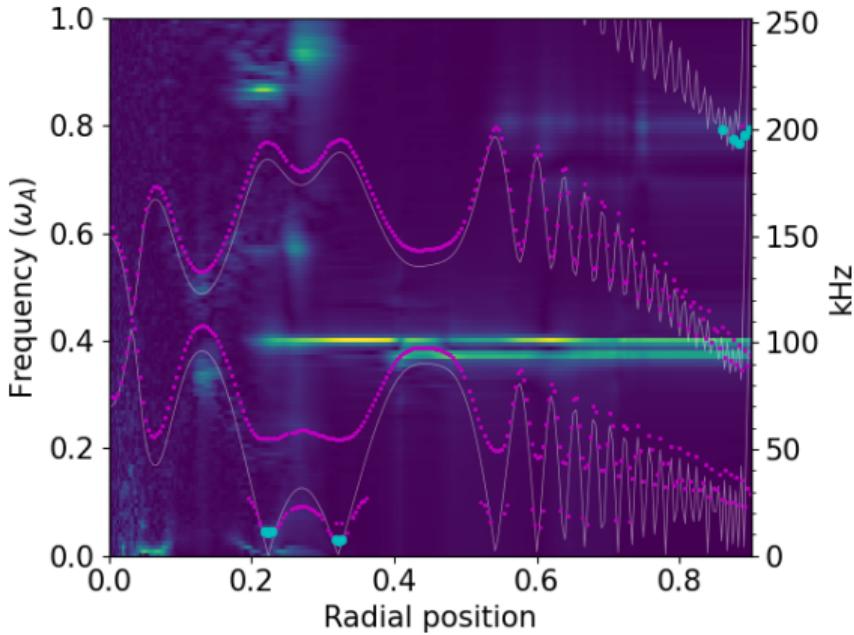
Twin TAEs found by LIGKA, mixed parity

ITER PFPO with EPs



Adding EP distribution,
introduce AE drive

E.g. $n=18$



$2 \times n_{EP}$ (n.b. Continuum mismatch: q_{LIGKA} vs q_{ORB5})

ITER PFPO without EPs (meso-n BAEs/ITGs)



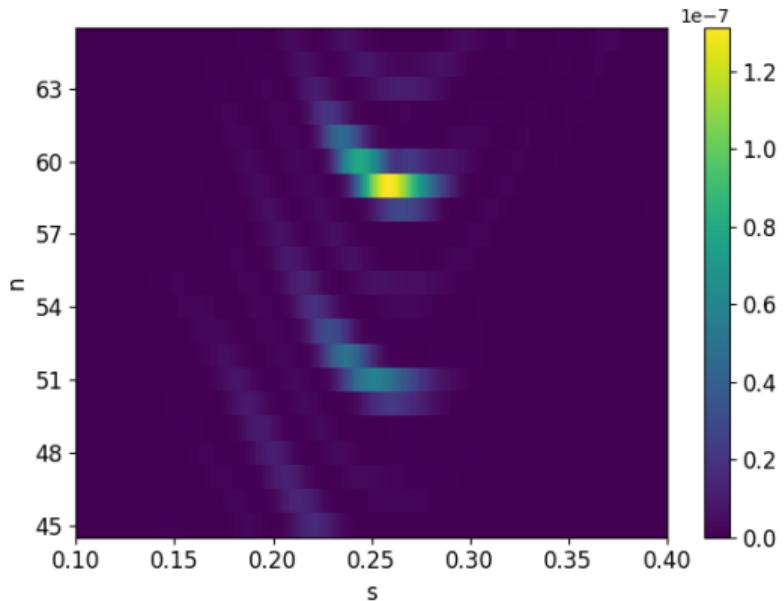
Increasing the mode number, looking for other kinds of Alfvénic instabilities



ITER PFPO without EPs (meso-n BAEs/AITGs)

Higher-n core BAEs/AITGs
(Alfvénic ITG) in the absence of
EPs (driven **unstable** by **bulk**
plasma³)

Low frequency: in range
 $40 < n < 70$ (γ depends on
distance between rational and
q-extrema)



several single-n simulations
 $|\phi(s, n)|$ on outboard midplane

³Zonca+ 1996; 1998

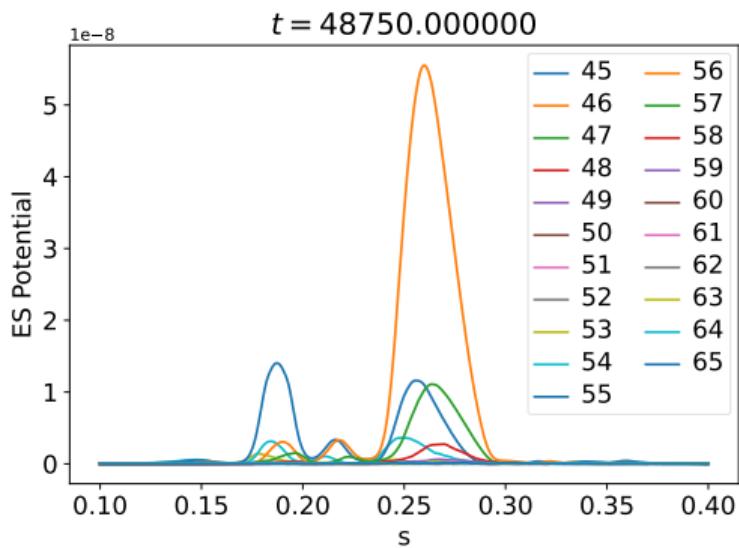


ITER PFPO without EPs (meso-n BAEs/AITGs)

Higher-n core BAEs/AITGs
(Alfvénic ITG) in the absence of
EPs (driven **unstable** by **bulk**
plasma³)

Low frequency: in range
 $40 < n < 70$ (γ depends on
distance between rational and
q-extrema)

$n=50$, frequency: -37.4 kHz
 $\gamma/\omega = 5.5\%$



Poloidal harmonics of $n=50$

³Zonca+ 1996; 1998

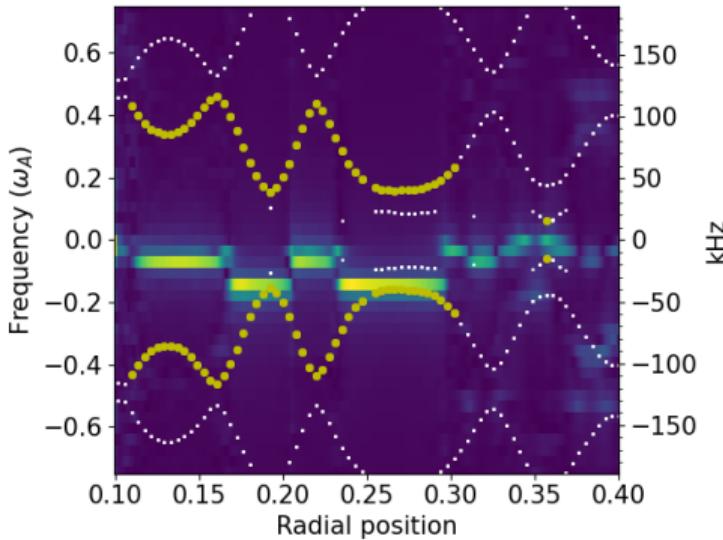


ITER PFPO without EPs (meso-n BAEs/ITGs)

Higher-n core BAEs/ITGs
(Alfvénic ITG) in the absence of
EPs (driven **unstable** by **bulk**
plasma³)

Low frequency: in range
 $40 < n < 70$ (γ depends on
distance between rational and
q-extrema)

$n=50$, frequency: -37.4 kHz
 $\gamma/\omega = 5.5\%$



$n=50$, w/ kinetic spectrum from ligka in white/yellow
dots ($\text{Im}(\omega) < 0, > 0$)

n.b. fig amplitude does not imply mode amplitude

³Zonca+ 1996; 1998

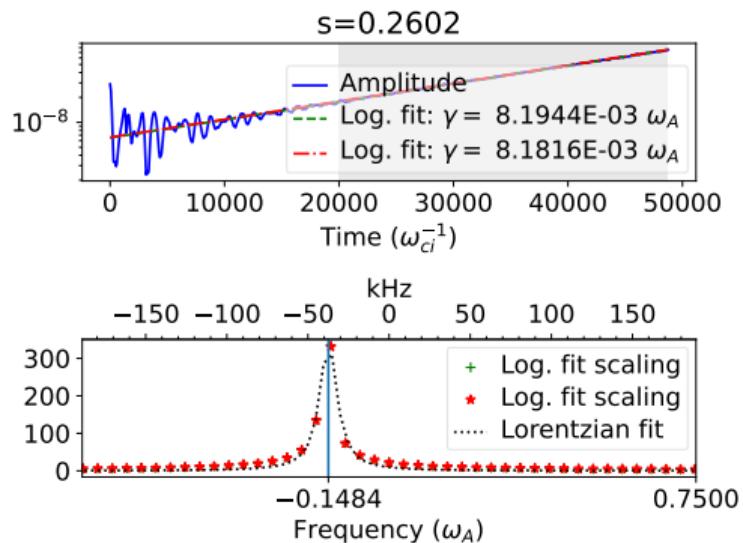


ITER PFPO without EPs (meso-n BAEs/ITGs)

Higher-n core BAEs/ITGs
(Alfvénic ITG) in the absence of
EPs (driven **unstable** by **bulk**
plasma³)

Low frequency: in range
 $40 < n < 70$ (γ depends on
distance between rational and
q-extrema)

$n=50$, frequency: -37.4 kHz
 $\gamma/\omega = 5.5\%$



³Zonca+ 1996; 1998

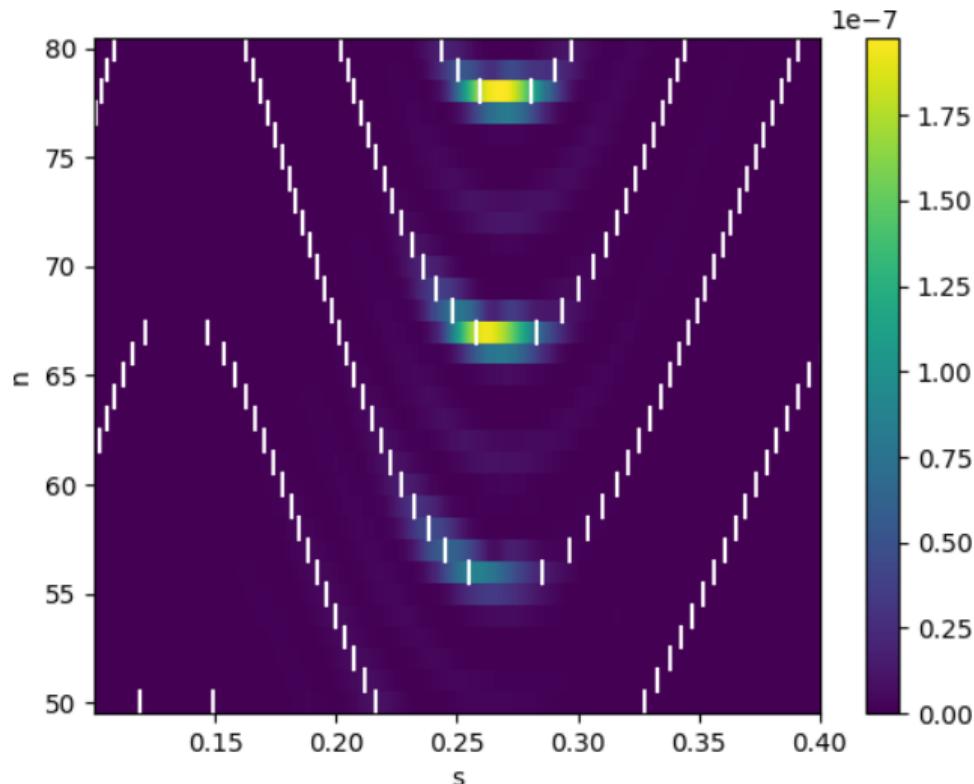


ITER PFPO without EPs (meso-n BAEs/ATGs)

Briefly address the q-profile: a q-constrained CHEASE equilibrium run gives a closer match of $q(r)$.

- Δn related to closeness of rational surfaces to extremum of q
- Value Δn comes from rational approximation of q_{\max}

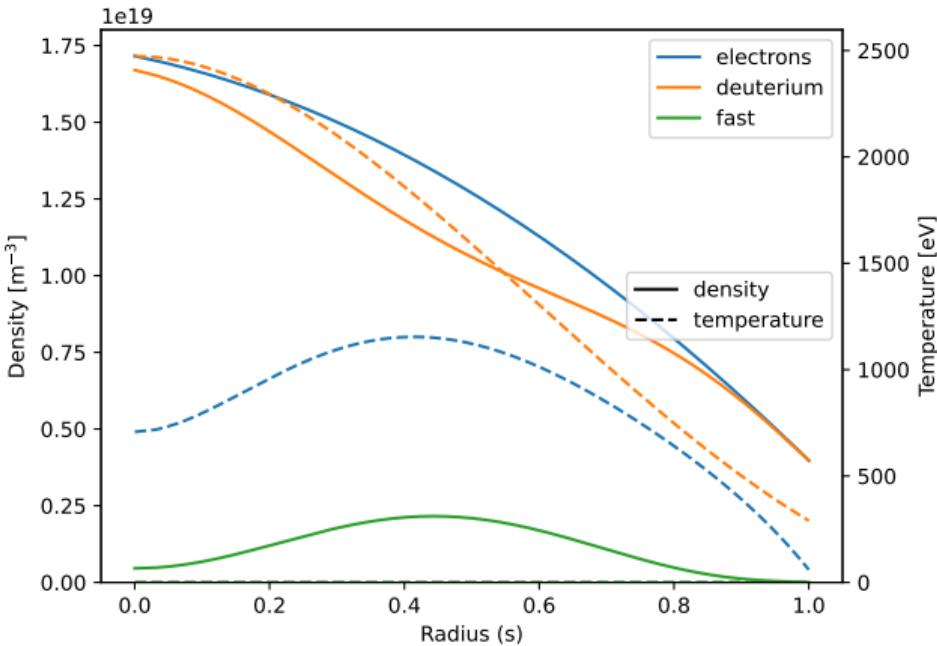
(Vertical lines mark rational surfaces)





ASDEX Upgrade #31213 'NLED-AUG'

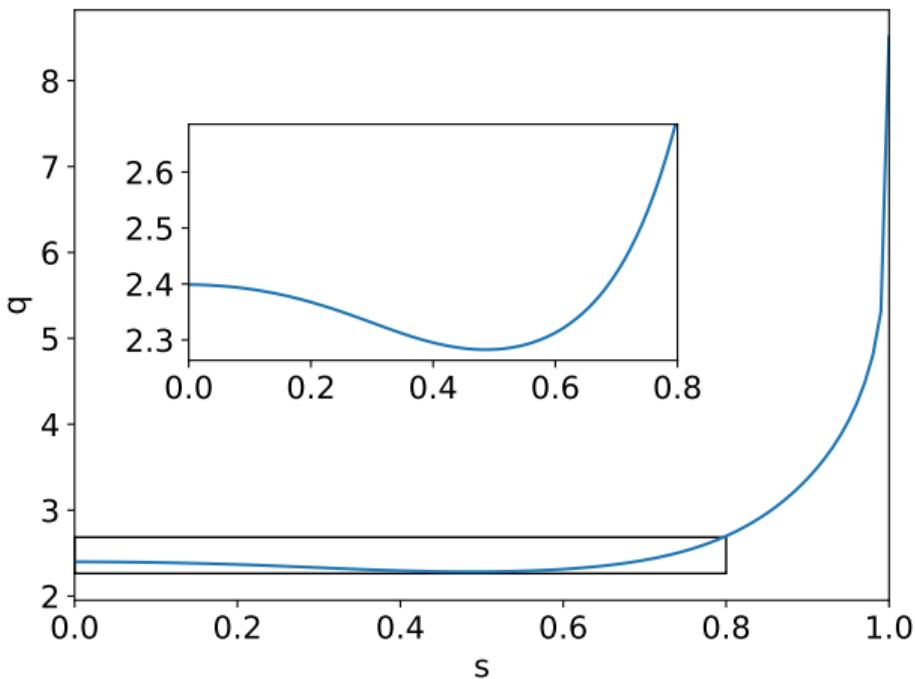
- Large β_f to β_{total} ratio
- Rich in NL EP physics
[Lauber+ IAEA FEC 2018]
 - TAE – EGAM bursts experimentally observed
- Off axis NBI ($E_0 = 93$ keV)
- Hollow T_e (W impurity)
- Slightly reversed q-profile





ASDEX Upgrade #31213 ‘NLED-AUG’

- Large β_f to β_{total} ratio
- Rich in NL EP physics
[Lauber+ IAEA FEC 2018]
 - TAE – EGAM bursts experimentally observed
- Off axis NBI ($E_0 = 93$ keV)
- Hollow T_e (W impurity)
- Slightly reversed q-profile

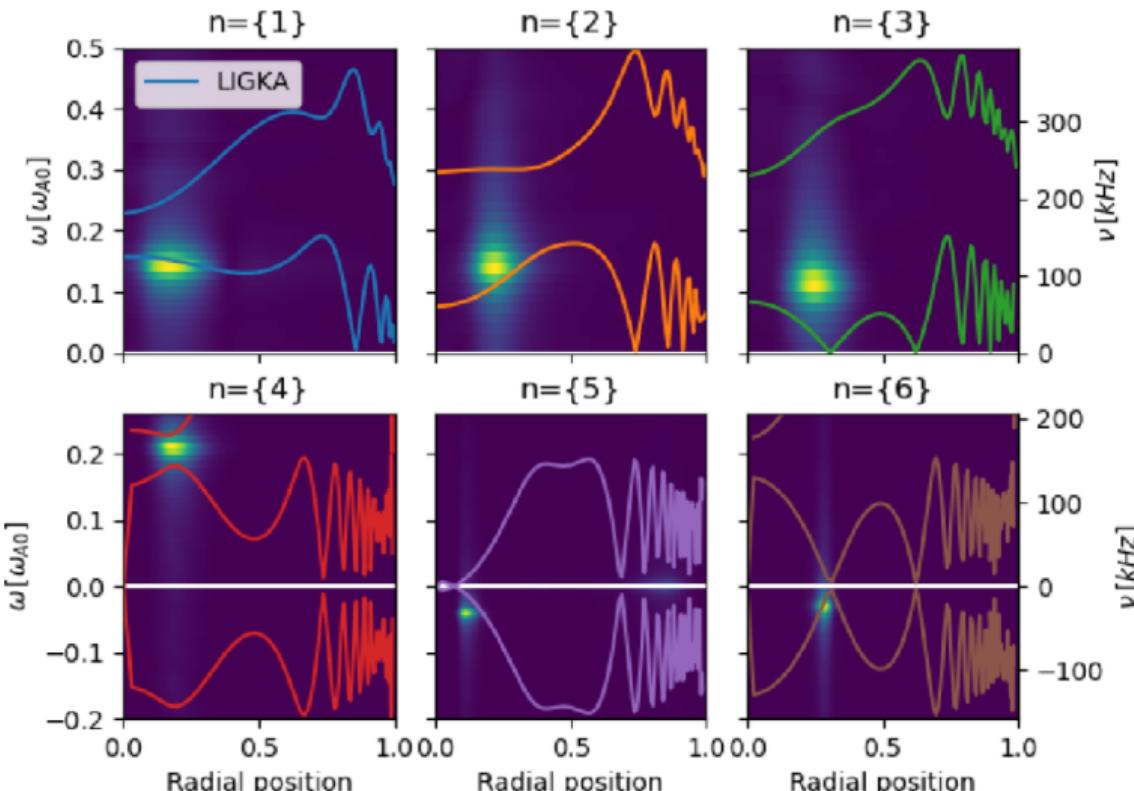




ASDEX Upgrade #31213 ‘NLED-AUG’

Figure from Vannini+,
Varennna 2022 (JPCS,
under review)

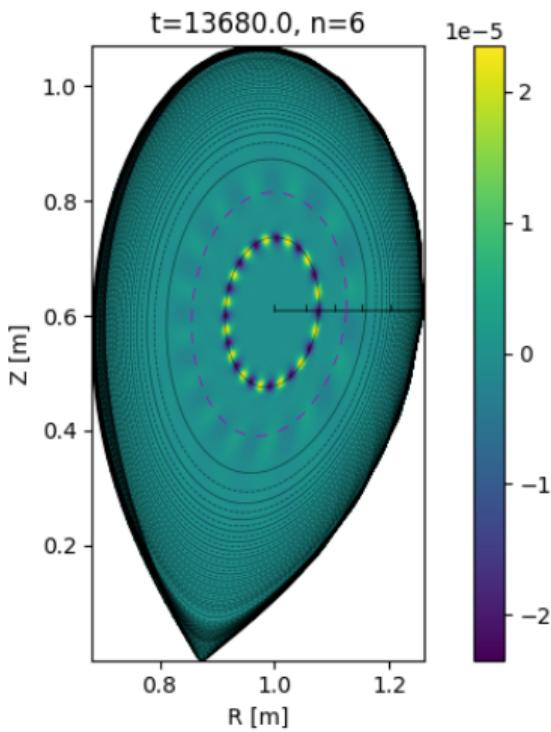
- Unstable modes for increased n driven by bulk plasma





ASDEX Upgrade #31213 'NLED-AUG': n=6

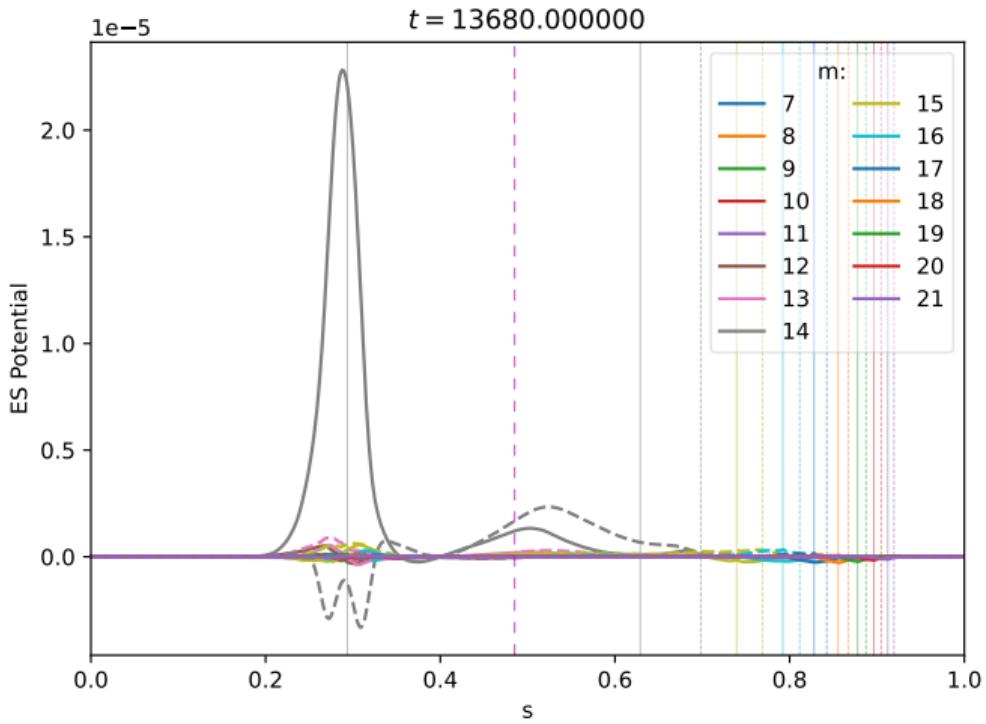
- Narrow mode on the rational surface inside q-min (magenta vertical dashed line)





ASDEX Upgrade #31213 'NLED-AUG': n=6

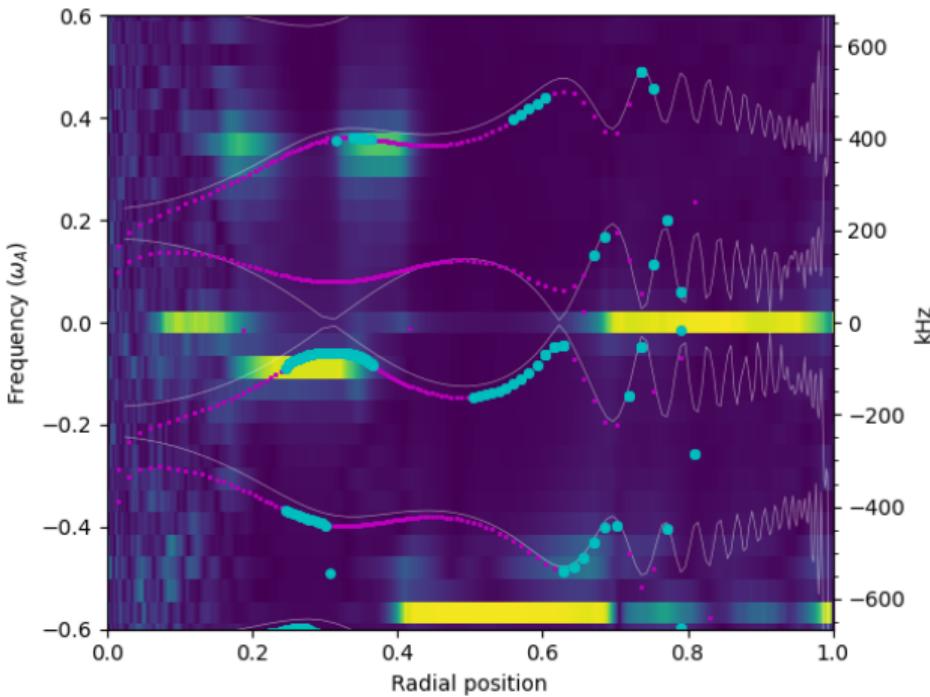
- Narrow mode on the rational surface inside q-min (magenta vertical dashed line)





ASDEX Upgrade #31213 ‘NLED-AUG’: n=6

- Narrow mode on the rational surface inside q -min (magenta vertical dashed line)
- Continuum from LIGKA (kinetic: magenta if $\Im(\omega) < 0$, cyan if $\Im(\omega) > 0$)





Summary & Outlook

Summary:

- Kinetic global el.mag. treatment of AEs and EPs applied to ITER PFPO case
 - TAE/EAE/RSAE/BAE in low- n , close to marginal drive with NBI, $n \sim 20$
 - Effect of anisotropic EP distribution to be investigated
 - Unstable AITGs in meso- n (bulk plasma ω^*), $n > 40$
 - Microinstabilities found at large- n (not shown), $n \sim 180$
- Interplay of $n=0$ (EGAMs) and $n>0$ (TAE/EPM) studied in ASDEX Upgrade EP scenario
 - Bulk plasma driven low frequency AITG modes driven at $n \geq 5$

Outlook:

- Extend previous global turbulence, AE, & MHD work [Mishchenko; Biancalani; Wang] towards such large plasmas
- Apply more realistic NBI distribution function to ITER NBI [Rettino]

