



**WP PWIE-SP D.D2 T008**  
**Review and Planning Meeting 2022-10-19**  
**Udo v. Toussaint**



This work has been carried out within the framework of the EUROfusion Consortium, funded by the European Union via the Euratom Research and Training Programme (Grant Agreement No 101052200 — EUROfusion). Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Commission. Neither the European Union nor the European Commission can be held responsible for them.



- **Task: (Fast) Erosion modelling considering roughness and morphology for PWI**
  - Which code basis to use?
  - Accelerated modelling using surrogate models : beyond impact angle approximation
  - Gyromotion- and Lattice-structure Effects



# I) Code Basis : SRIM vs SDTrimSP

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- **SRIM**

- Based on open-source TRIM-code family (BCA-approximation) and free but is itself **closed-source**
- Stopping data-base maintained by Ziegler
- Code is easy to use (GUI, windows), **static targets** only
- 1-d targets
- Code results were considered as reliable → SRIM almost de-facto standard: results are used also in this meeting

- **SDTrimSP-family**

- Based on open-source TRIM-code family (BCA-approximation) and free and **open-source**
- Developed & maintained mainly by W. Möller, W. Eckstein, A. Mutzke
- Code is text-file oriented, parallelized (UNIX), static and **dynamic** targets
- 1-d, 2-d, 3-d target structure

Both are mature, are used almost interchangeable in the fusion community, (see e.g. this meeting) - but our results did not match...



# I) Code Basis : SRIM vs SDTrimSP

- **‘Verification’ challenging**

- Comparison between SRIM-2013 and SDTrimSP: Differences were obvious – but :
  - Could be due to already known problems (e.g. lack of energy conservation in full cascade mode of SRIM)
  - Difference does not ‘prove’ that SRIM is wrong: Could be due to either (or both) of
    - Algorithmic differences (e.g. when to subtract energy loss) or
    - Problems in SDTrimSP
- Many checks were impossible because output of SRIM is incomplete
  - All sub-threshold events are suppressed
  - Collision cascades do not have generation information (ie. assignment is virtually impossible)  
e.g. overflow failures occur silently

➡ Check for internal (physical) inconsistencies



# I) Code Basis : SRIM vs SDTrimSP

## • Simulation results

- Good agreement between SRIM and SDTrim depth profiles : **stopping no issue**
- Discrepancy centered on **replacement** profiles

➔ Design test cases dedicated this aspect :

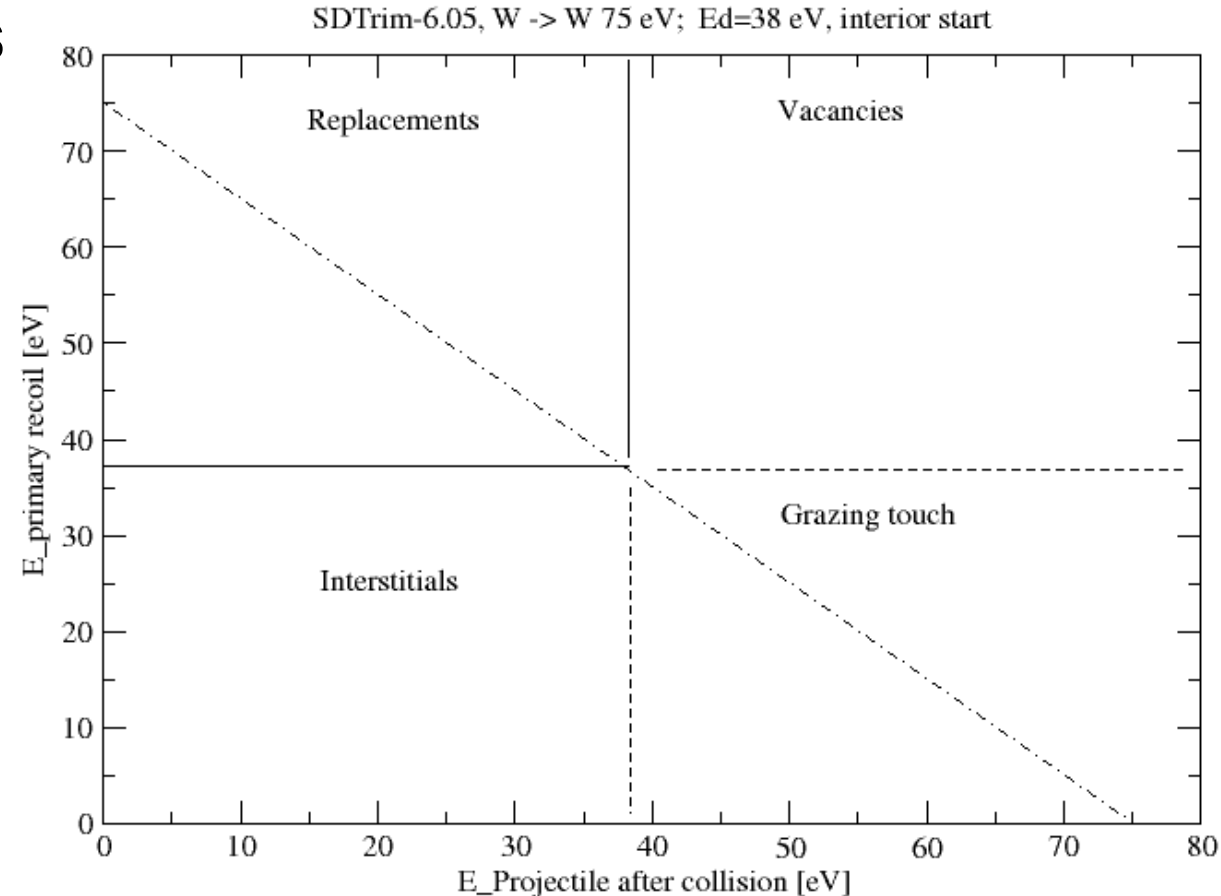
e.g.  $W \rightarrow W$  (homogenous case),

$E_0 = 75$  eV initial energy (=  $2 \cdot 38$  eV - 1 eV),

$E_d = 38$  eV displacement energy,

Angle: 0 degrees

Projectile: interior start



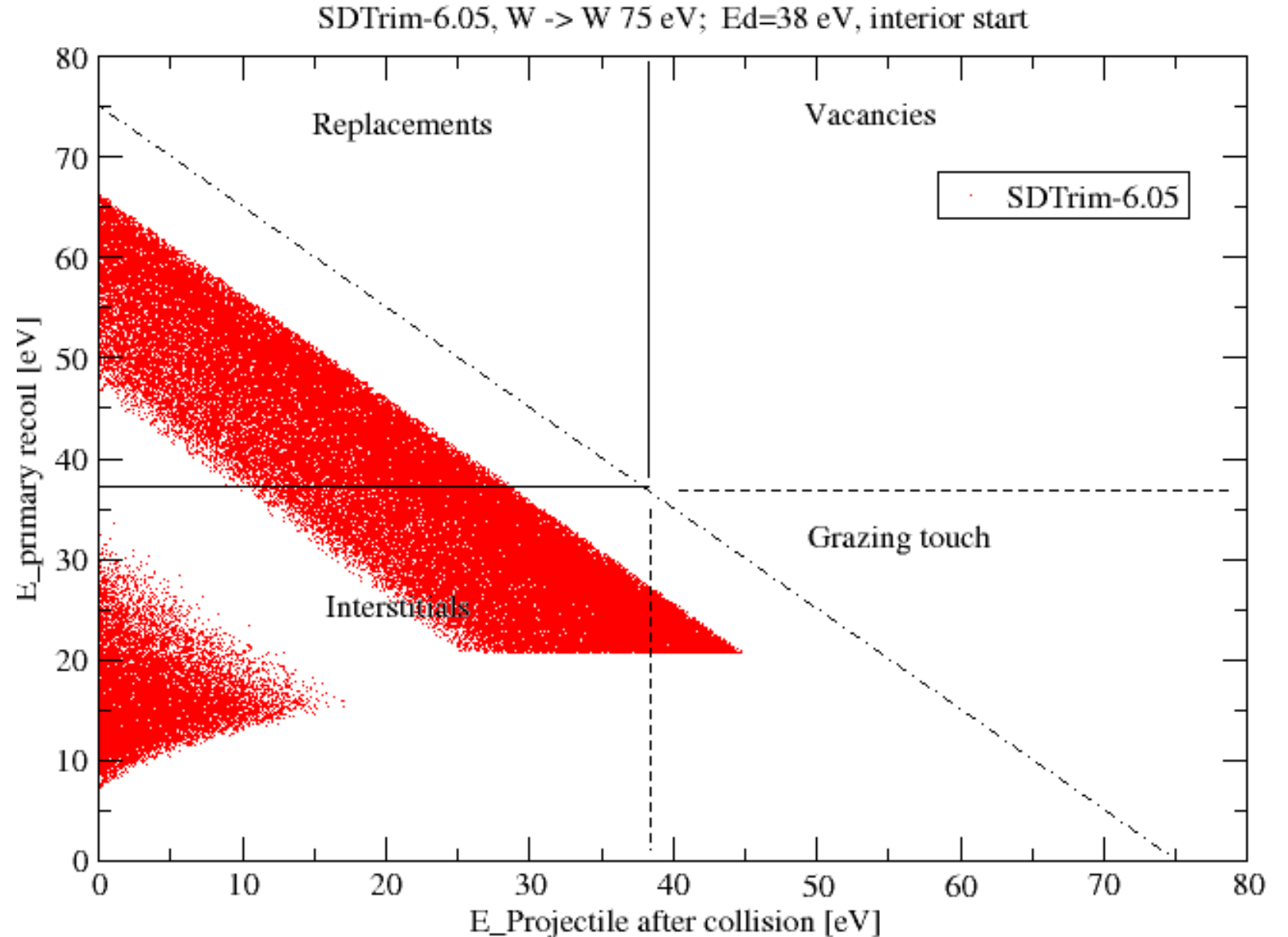


# I) Code Basis : SRIM vs SDTrimSP

- **Simulation results: SDTRIM**

Some noteworthy features:

- Minimum energy transfer
- Gap in energy density
- Bulk binding energy



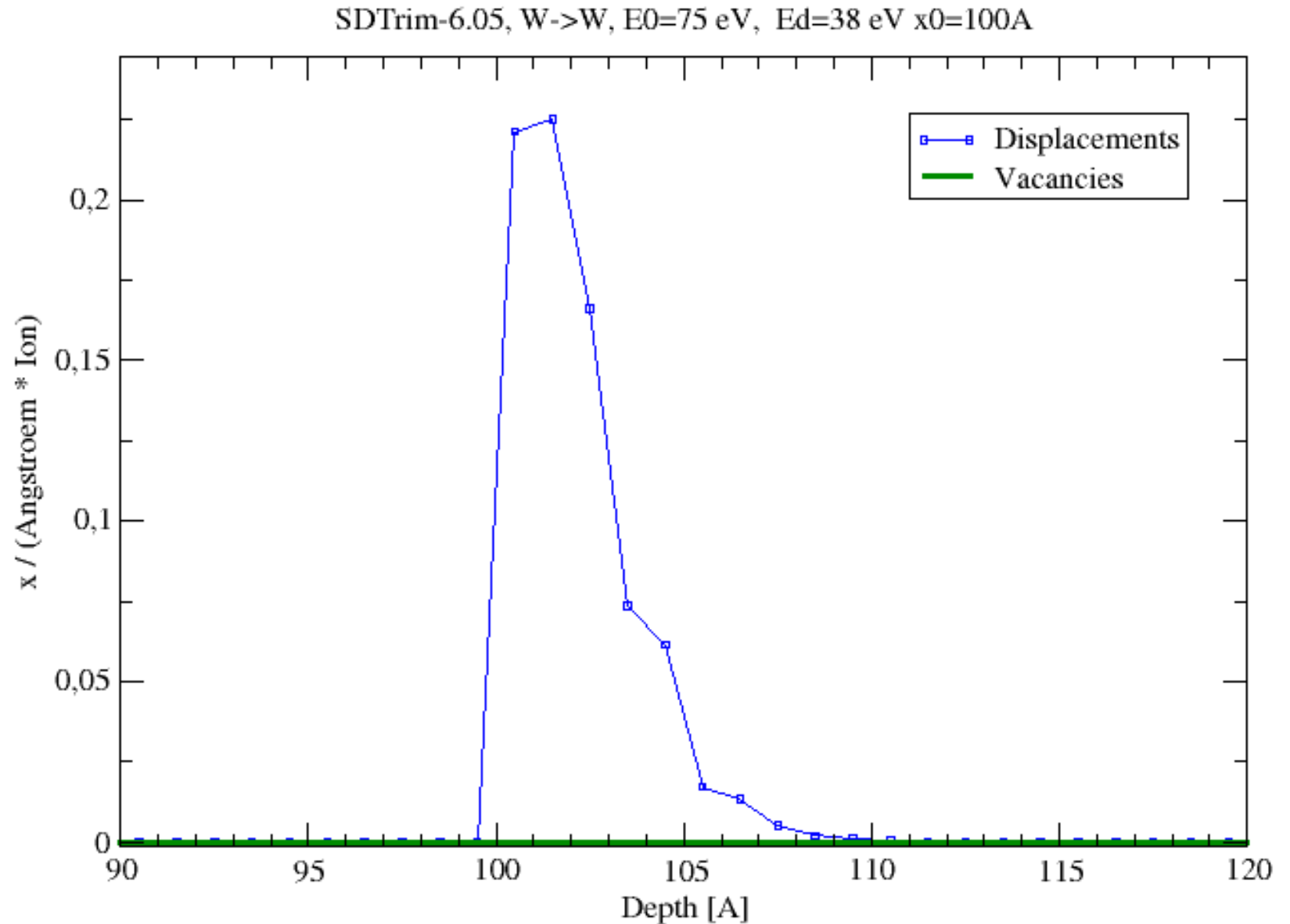


# I) Code Basis : SRIM vs SDTrimSP

- **Simulation results: SDTRIM**

Some noteworthy features:

- No vacancies (as expected)
- All *displacement* events are **replacement** events
- Only interstitials are present





# I) Code Basis : SRIM vs SDTrimSP

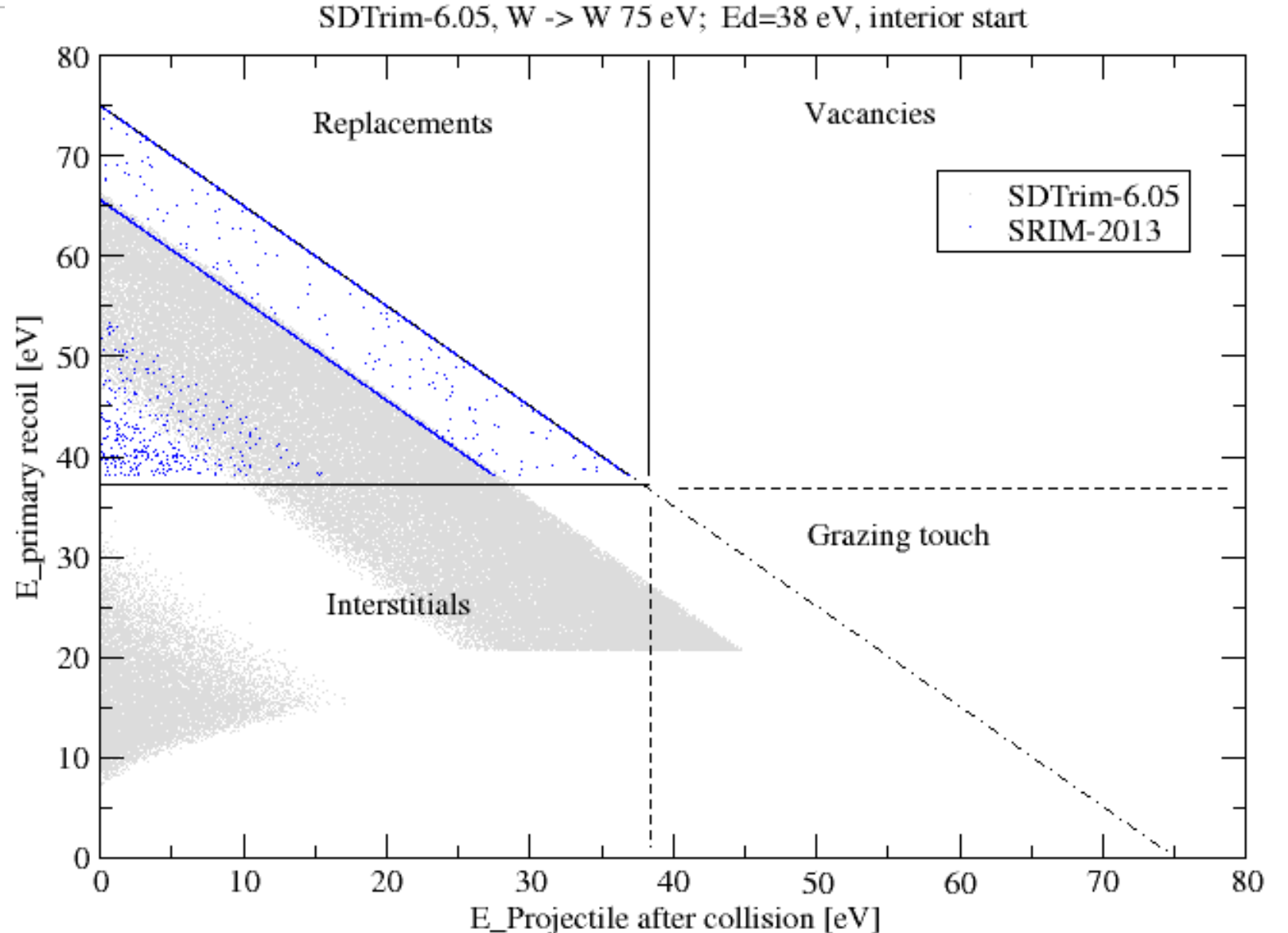
- **Simulation results: SRIM**

Some noteworthy features:

- Discrete structures
- Incomplete output
- Bulk binding energy ?

→ General agreement

- appears reasonable.







# I) Code Basis : SRIM vs SDTrimSP

- **Simulation results: SRIM**

Some noteworthy features:

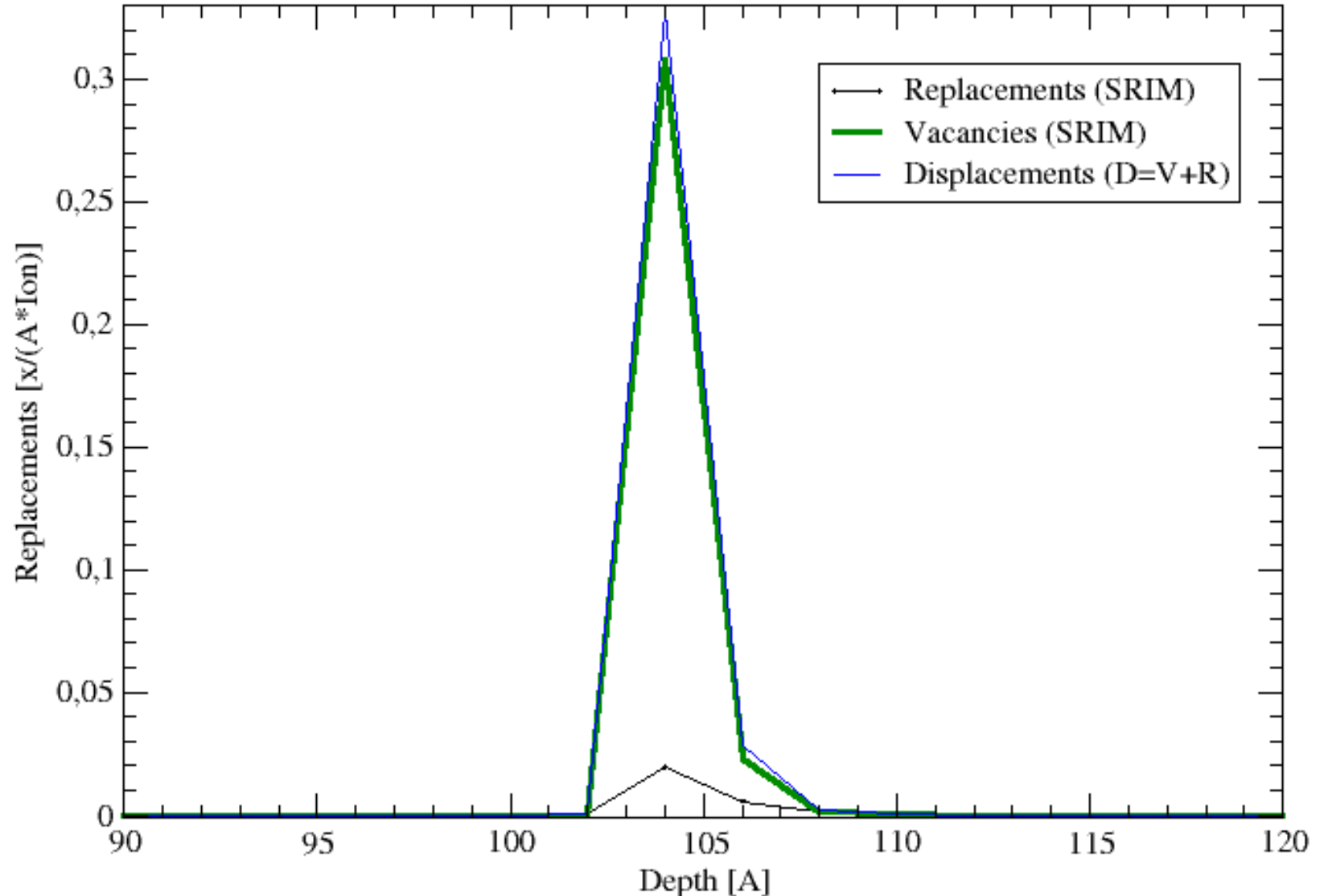
- Phase space yields:
  - no** vacancies are present

- Output:

**Dominantly** vacancies

→ SRIM-code information on *Replacements* and *Vacancies* and thus *displacements (sum)* is **wrong!**

SRIM-2013, W-> W, E0=75 eV, Ed=38 eV, x0=100 A

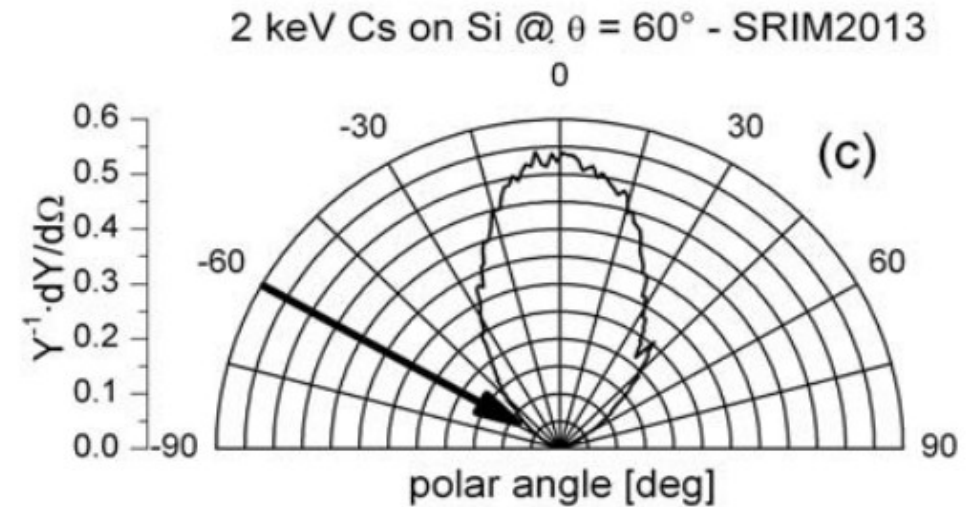
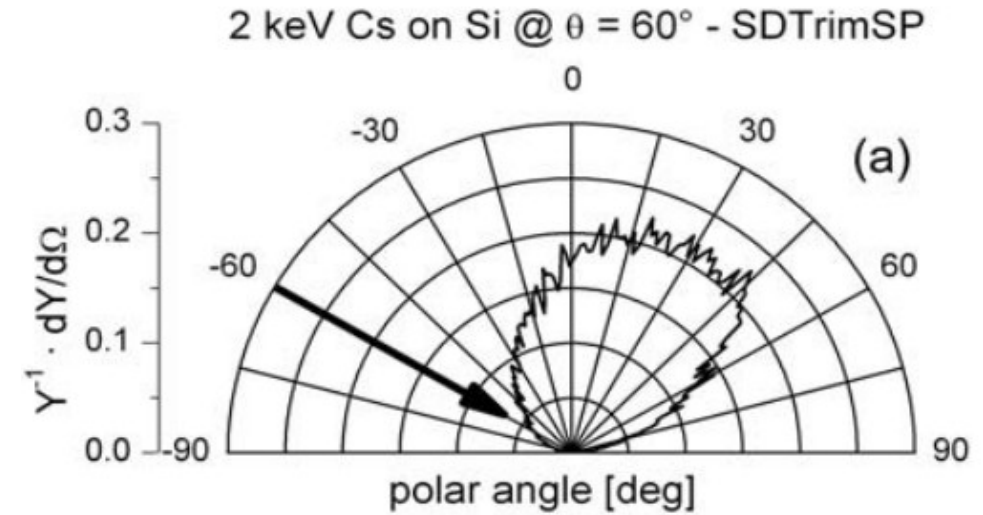
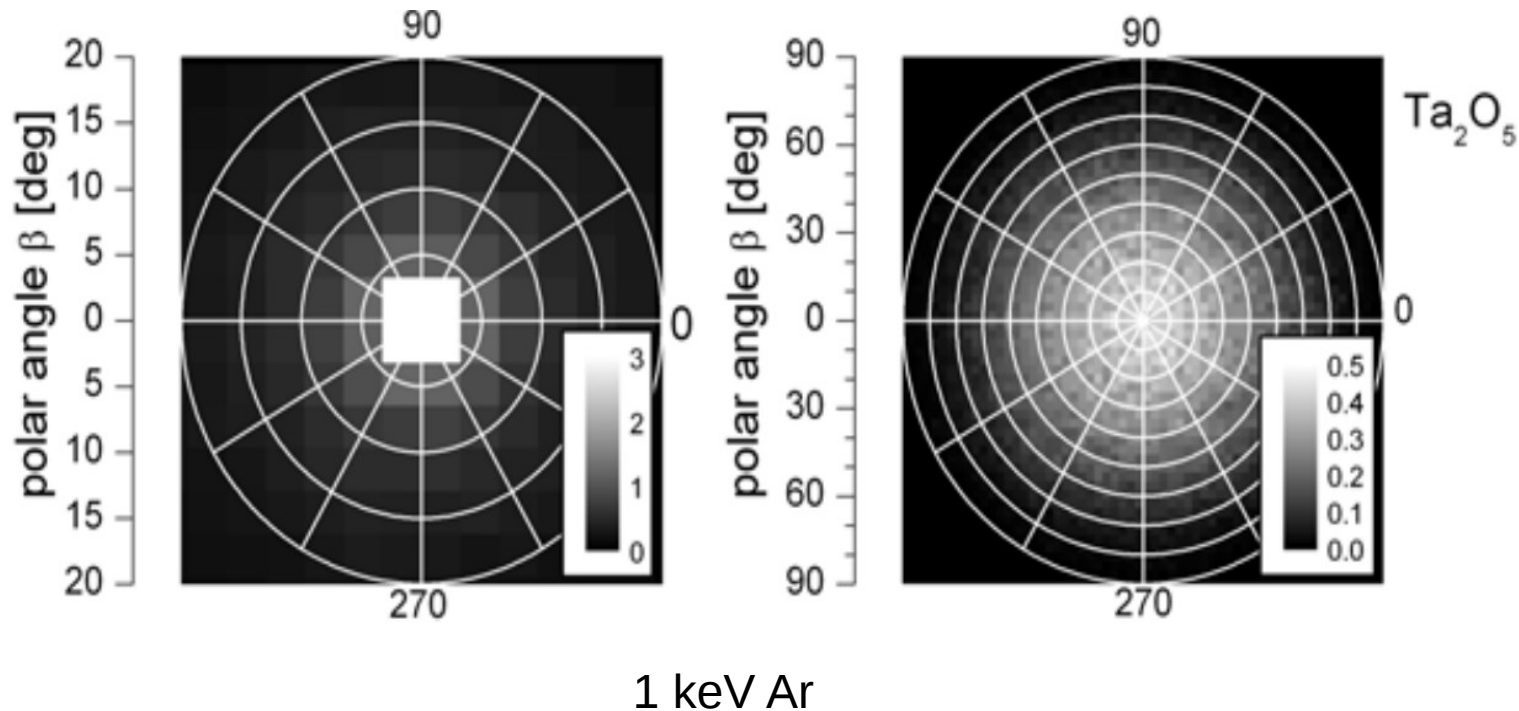


# I) Code Basis : SRIM vs SDTrimSP



## • SRIM

- Hofsäss et al Appl. Surf Sci 310 (2014),
- p. 134-141 : Angular sputter distributions are wrong
- Perpendicular emission for some ion-target comb. ( $Z < 14$ )





# I) Code Basis : SRIM vs SDTrimSP

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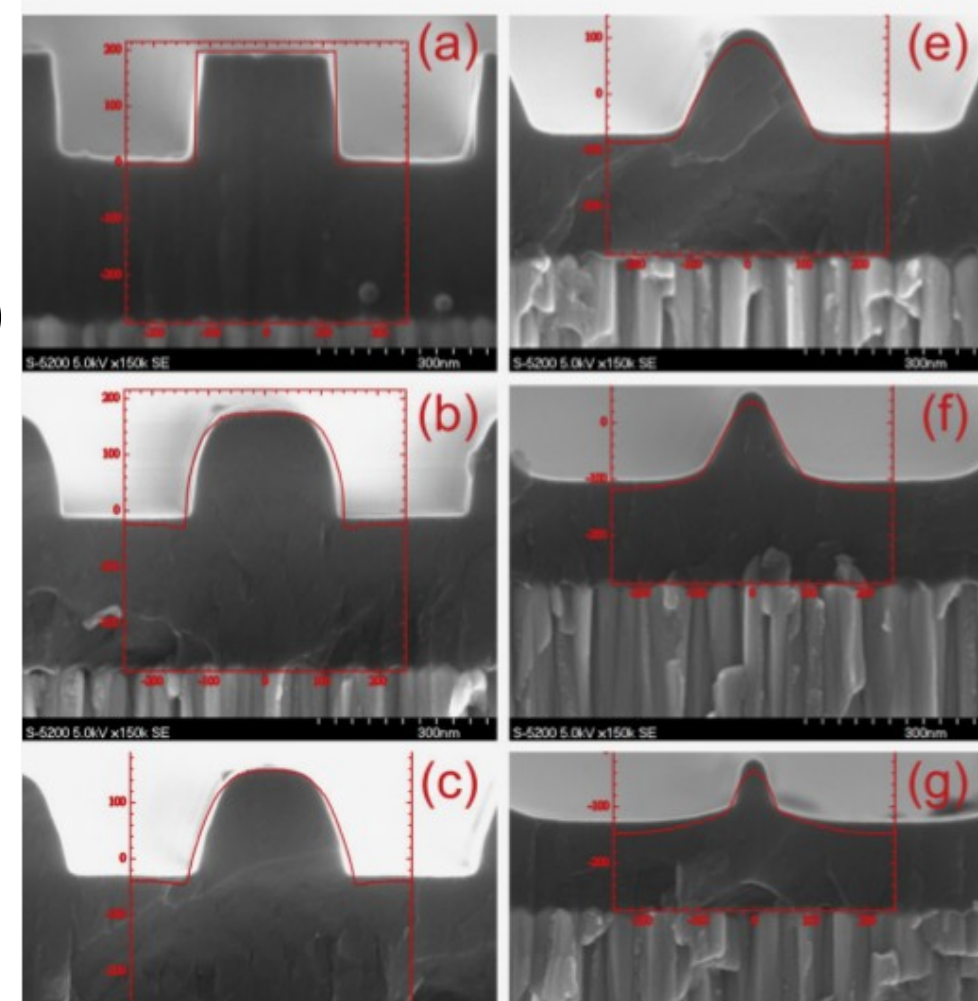
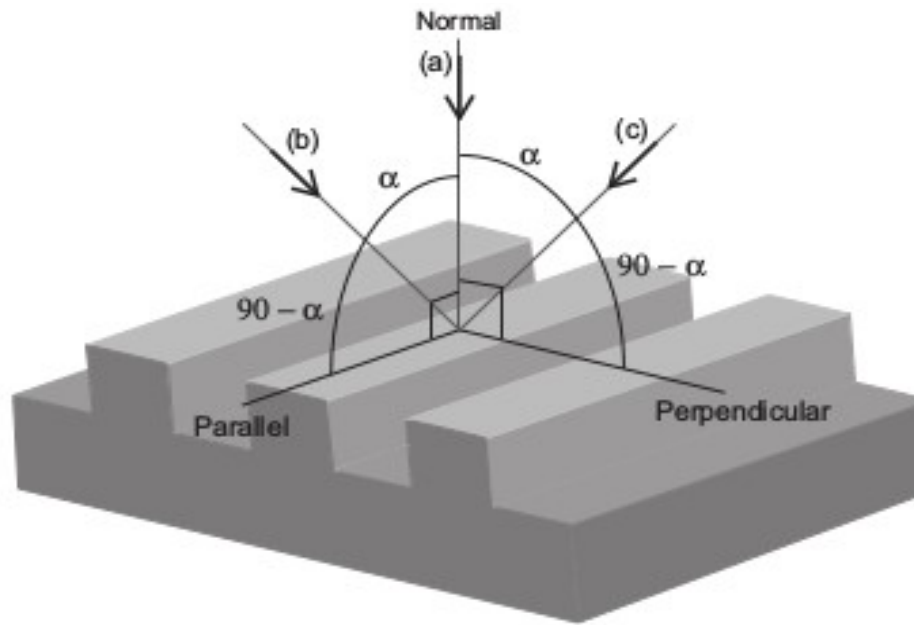
- **Summary :**
- Stopping (range) calculations do agree in the considered cases
- Some algorithmic differences between SDTrim and SRIM (when/how is energy loss accounted for)
- Output of SRIM-2013 with respect to damage is **broken** and **unreliable** and **should not be used**
- All present investigations yield **systematic overestimation** of amounts of **vacancies by SRIM-2008 and SRIM-2013** (c.f. Agarwal, Lin, Li, Stoller, Zinkle, NIMB 503 (2021) p. 11-29 → use full-calculation and hand-edit output)
- Reason for provable wrong sputtering results unknown
- Contacted James Ziegler on that issue but communication stalled (Corona?)
- No internal problems with SDTrim 6.05+ have been found: consistent results, now also GUI available: see P. Szabo et al, NIMB 522, p. 47-53 (2022)



- **Task: Erosion modelling considering roughness and morphology for PWI**
  - Which code basis to use?
  - **Accelerated modelling using surrogate models : beyond impact angle approximation**
  - Gyromotion- and Lattice-structure Effects

## II) Accelerated Modelling with Surrogates

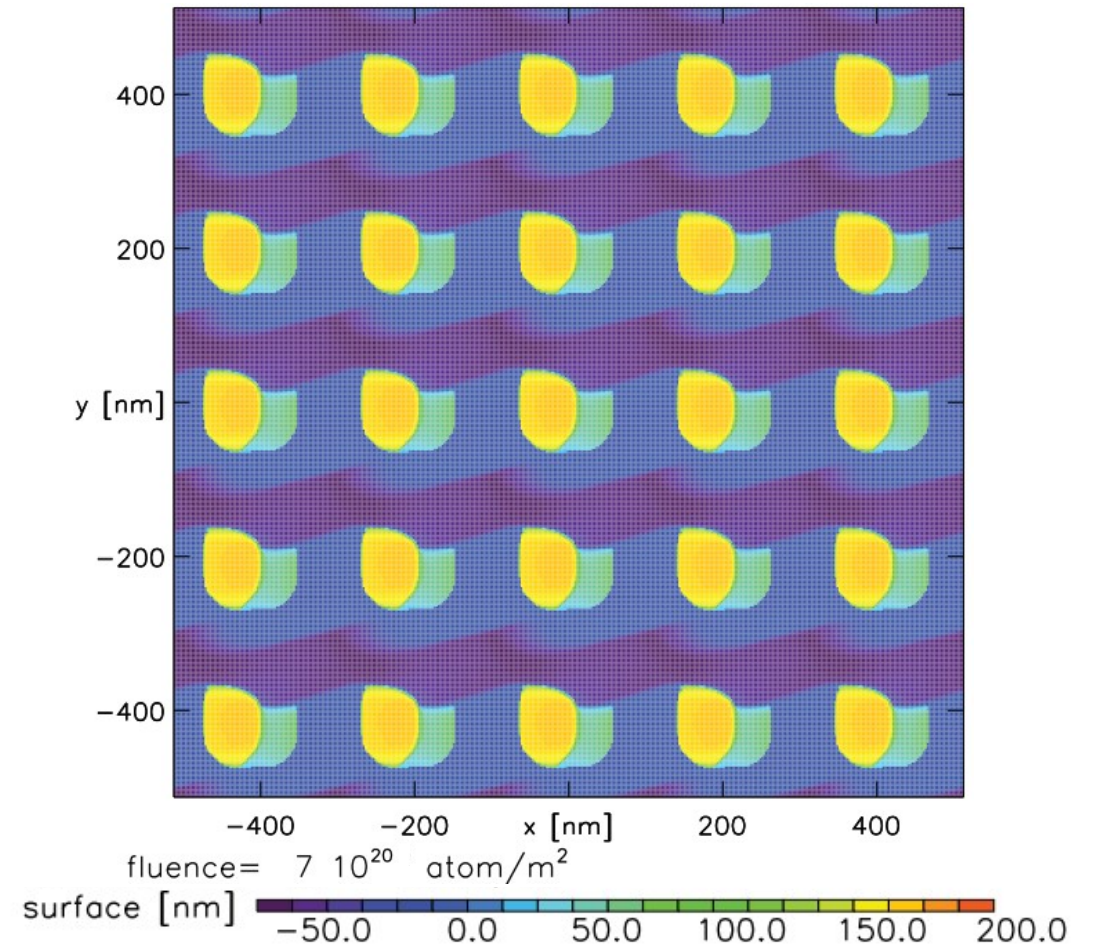
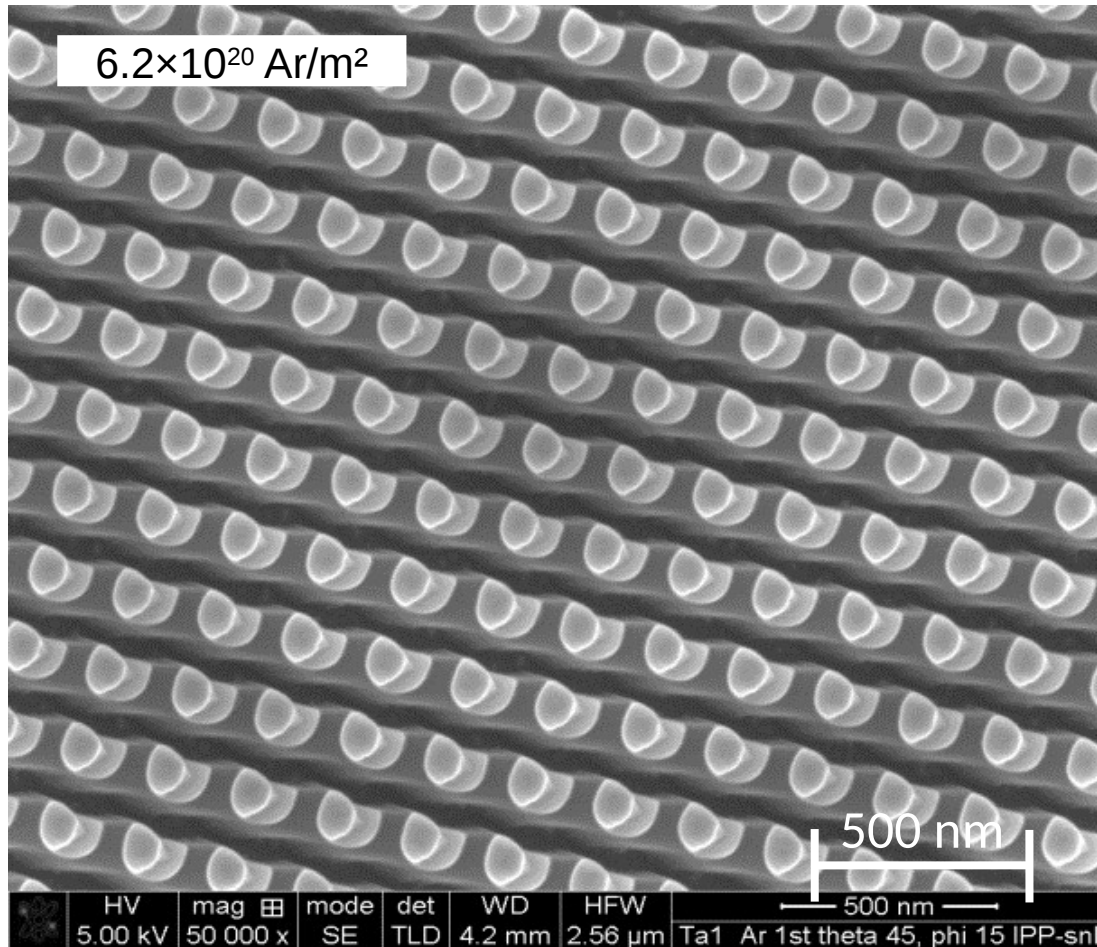
- **Dynamic Modelling with SDTrimSP-2D and SDTrim-3D**
  - Self-consistent dynamic surface evolution under sputtering processes
    - in 2D and 3D
- 1) Codes are validated against experimental results (predictive simulations!)



I. Bizyukov, A. Mutzke, R. Schneider, J. Davis. Evolution of the 2D surface structure of a silicon pitch grating under argon ion bombardment: Experiment and modeling. NIM-B, 268 (2010)

# Ta – 45° incidence, 15° rotation

SDTrimSP-3D Ta columns eroded by 5 keV Ar under 45° incidence, 15° rotation





## II) Accelerated Modelling with Surrogates

### Dynamic Modelling with SDTrimSP-2D and SDTrim-3D

Self-consistent dynamic surface evolution under sputtering processes in 2D and 3D

Codes are validated against experimental results (predictive simulations!)

Physics complete (within known limits) → computation of reference solutions

Task solved.... Drawback: long simulation times ( $N^3$ -scaling)

### Sputtering of rough surfaces: acceleration using surrogate models

- Well known key parameter: angle of ion incidence  $\alpha$  [Sigmund, Phys Rev. 184, p. 383 (1969)]
- Many modelling approaches over several decades:
  - Self-similar surfaces: fractal-TRIM (D. Ruzic, NIMB 47, p. 118 (1990))
  - AFM or profilometer-data based angle-distributions, e.g.
    - 'The influence of surface roughness on the angular dependence of the sputter yield', NIMB 145, p. 320 (1998)
    - 'Sputtering of rough surfaces: a 3D simulation study', Physica Scripta T170:014056 (2017)
    - C. Cupak et al, Applied Surf. Sci 570(2021) p. 151204 (this Monday)
- General observation: **reduced** effective erosion, **smoothed** response to incidence angle variations

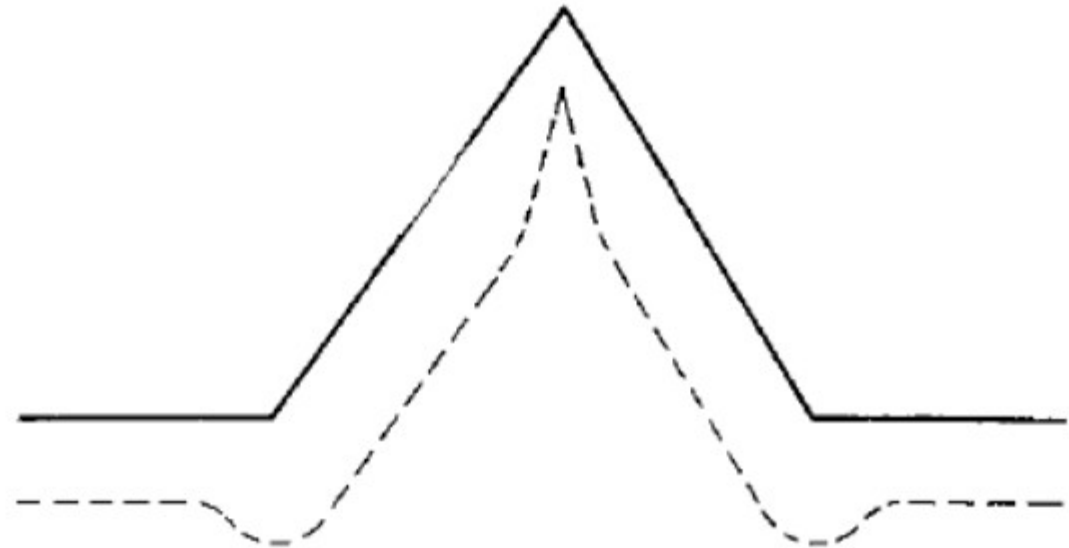
## II) Accelerated Modelling with Surrogates

### Limitations of impact angle distribution $Y(\alpha)$ as defining parameter

- Incomplete information,
  - e.g. three pillars with **same** impact angle distribution: which one sputters fastest? Need  $p(\omega)$  and  $p(\omega, \omega')$



- Theory and Simulation suggest  $Y(\alpha, \alpha')$  instead, i.e.



local surface **curvature**  
affects sputter yield:  
crucial for dynamics

- P. Sigmund, 'A mechanism of surface micro-roughening by ion-bombardment, J. Mat. Sci. 8, p. 1545 (1973)
- M. Wagner et al, 'Simulation of the evolution of rough surfaces by sputtering', Rad. Effects and Defects in Solids 177, p. 1019 (2022)

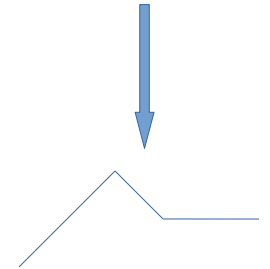


# II) Accelerated Modelling: beyond impact angle models



## SDTrimSP-surrogate (2D) (by R. Preuss):

- Fast ML-based predictor for large-fluence induced morphology changes:
  - initial phase (slow):
    - large-scale cluster-based computation of database (i.e.  $Y(\alpha_1, \alpha_2, \alpha_3)$  instead of  $Y(\alpha)$ )
    - Data from SDTrimSP-Simulations (2D)
  - application (fast)
    - Dynamical iterative predictor for morphology evolution (matrix-vector multiplication)
- Validation of ML model against 2D-SDTrimSP (and assessment of limitations)



## II) Accelerated Modelling: beyond impact angle models

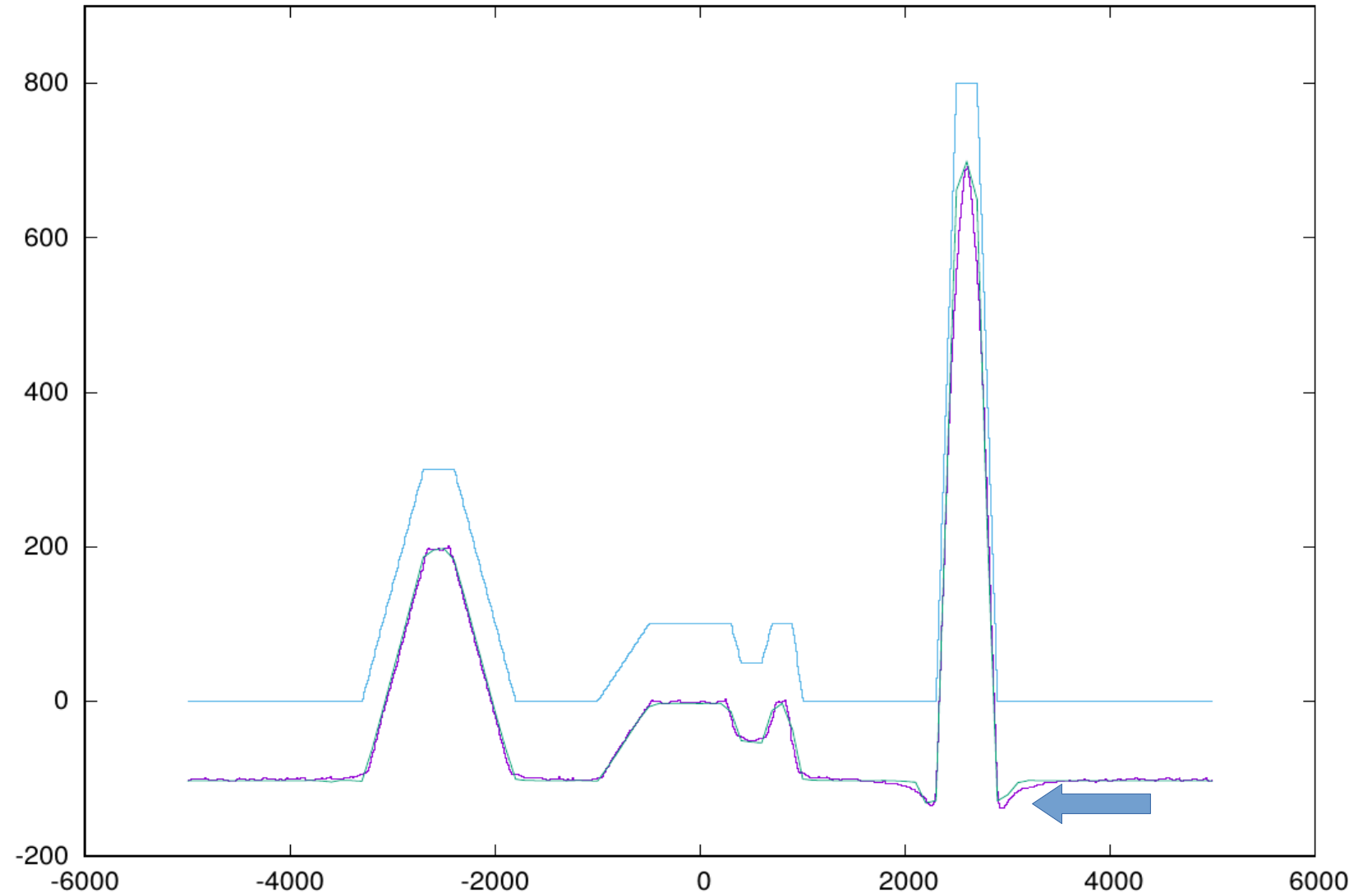


**SDTrimSP-surrogate :**

**5 keV Ar → Cu**

### **Results**

- non-linear effects are retained
- acceleration by a factor ~300 (non-optimized)
- deviation for  $\alpha > 80$  degrees



## II) Accelerated Modelling: beyond impact angle models

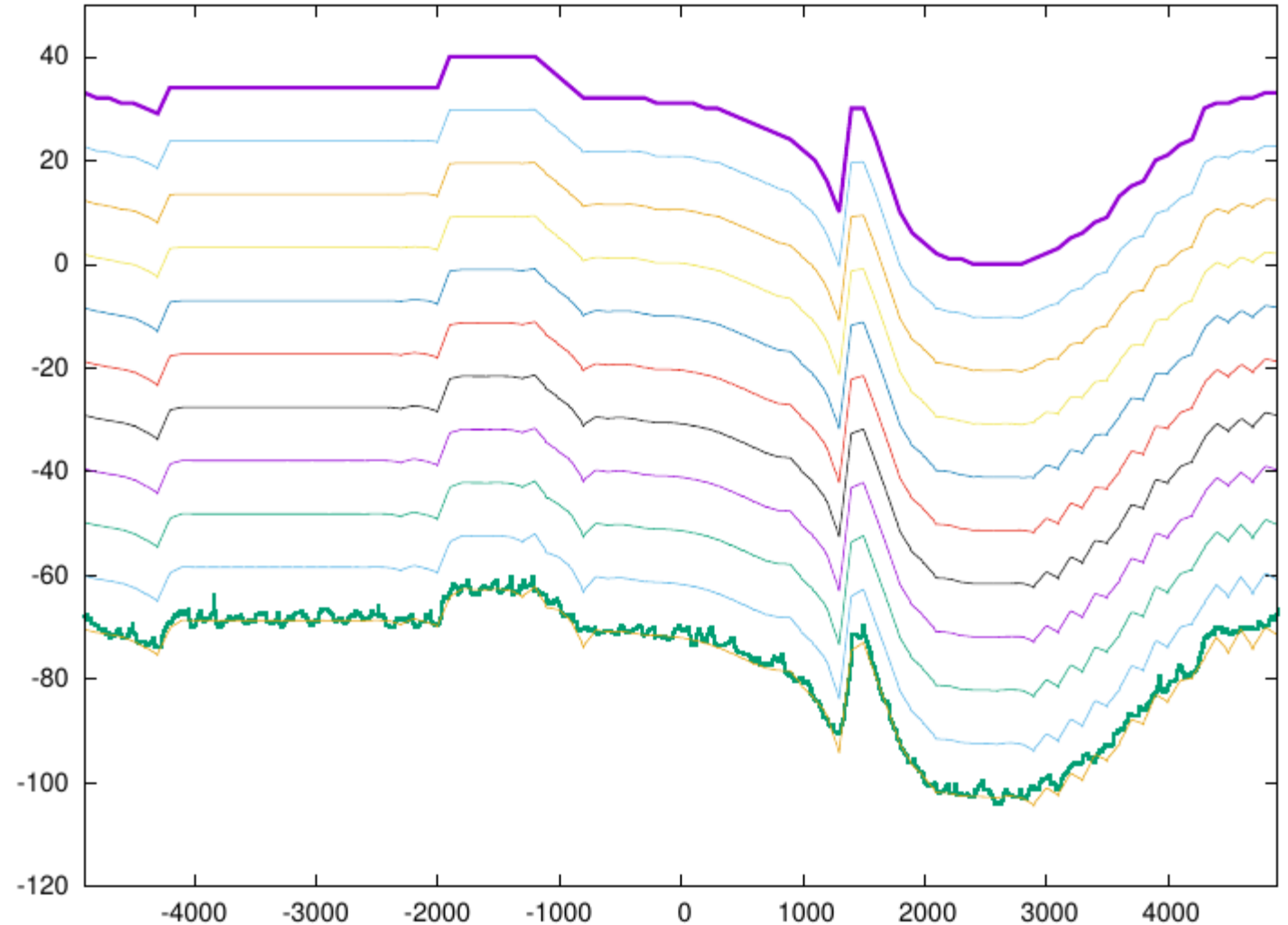


**SDTrimSP-surrogate :**

### Results

- non-linear effects are retained
- acceleration by a factor ~300 (non-optimized)
- deviation for  $\alpha > 80$  degrees
- quantitative agreement with SDTrimSP-2D simulations

**To Do: non-perpendicular impact**



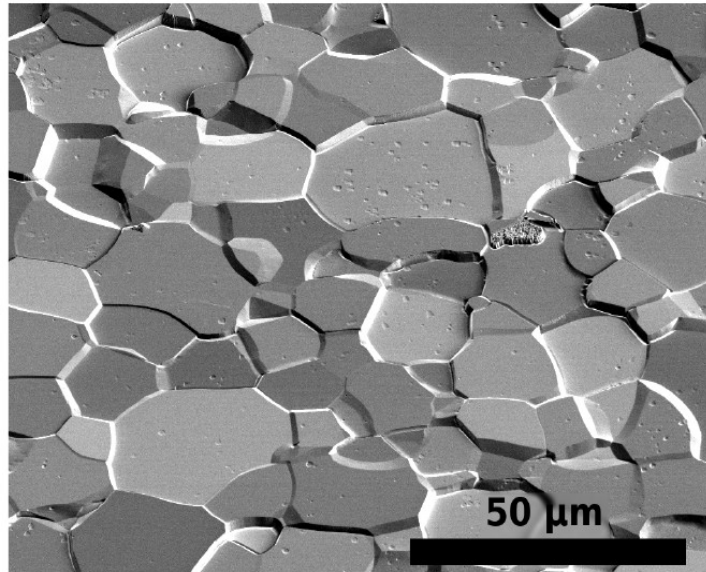


- **Task: Erosion modelling considering roughness and morphology for PWI**
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  - **Gyromotion- and Lattice-structure Effects**

# Lattice-Structure Effects

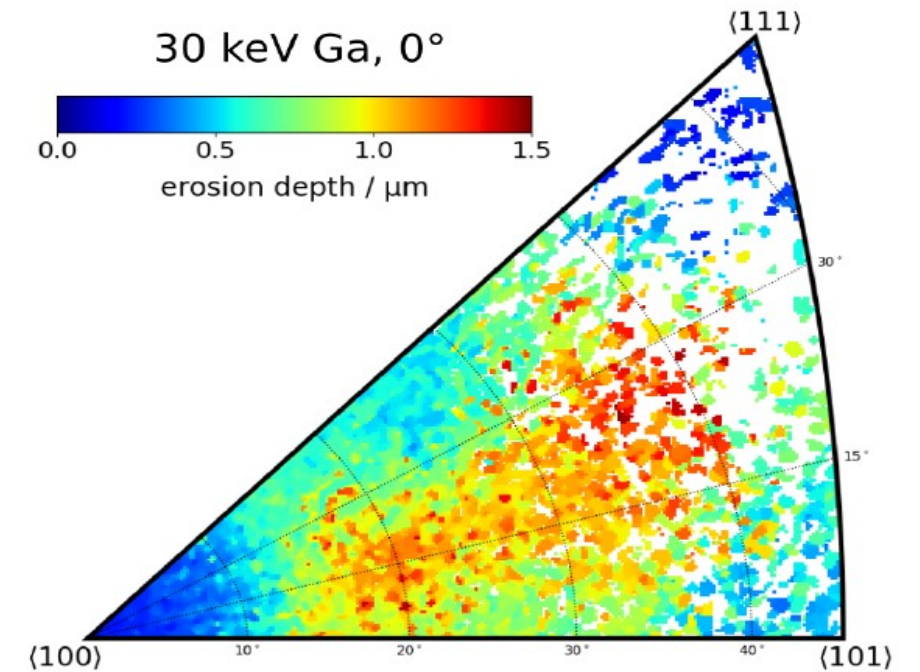


- Sputtering depends on the local atomistic structure



images from PhD thesis Karsten Schlüter (2021)

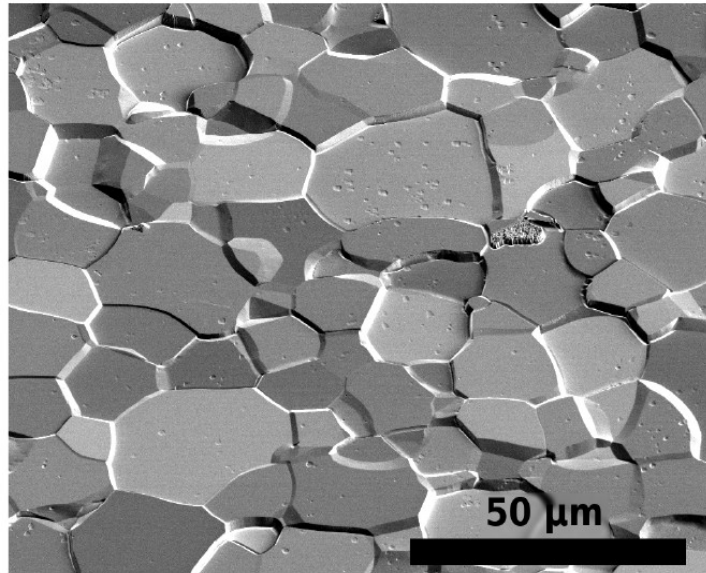
- Available simulation tools are limited : MD, MARLOWE, ...
- Idea: Enhance SDTrimSP with lattice-capabilities



# Lattice-Structure Effects



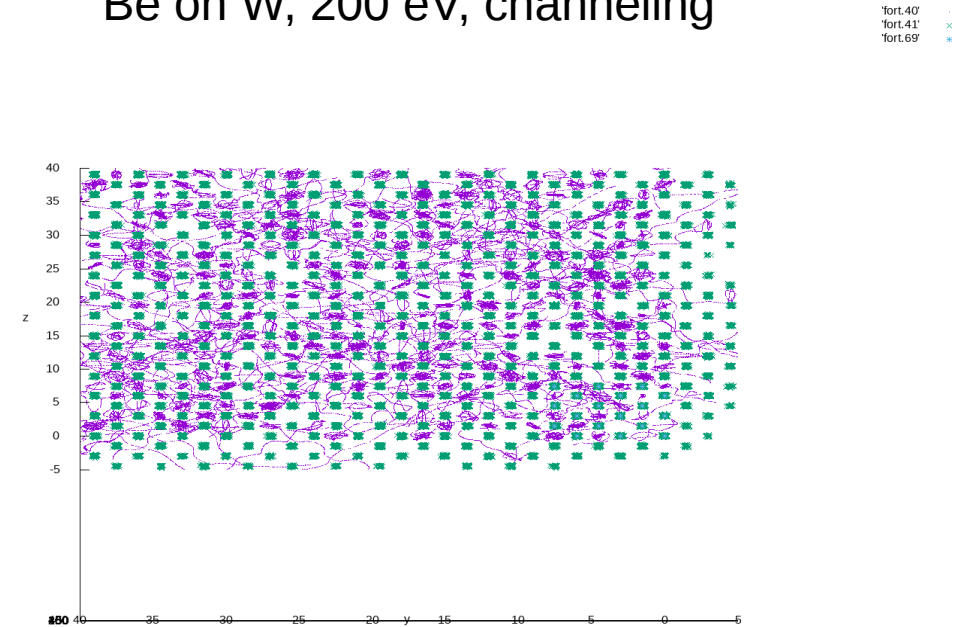
- Sputtering depends on the local atomistic structure



images from PhD thesis Karsten Schlüter (2021)

- Available simulation tools are limited : MD, MARLOWE,
- Idea: Enhance SDTrimSP with lattice-capabilities - Realization: Code presently in final testing stage

Be on W, 200 eV, channeling



# Gyromotion

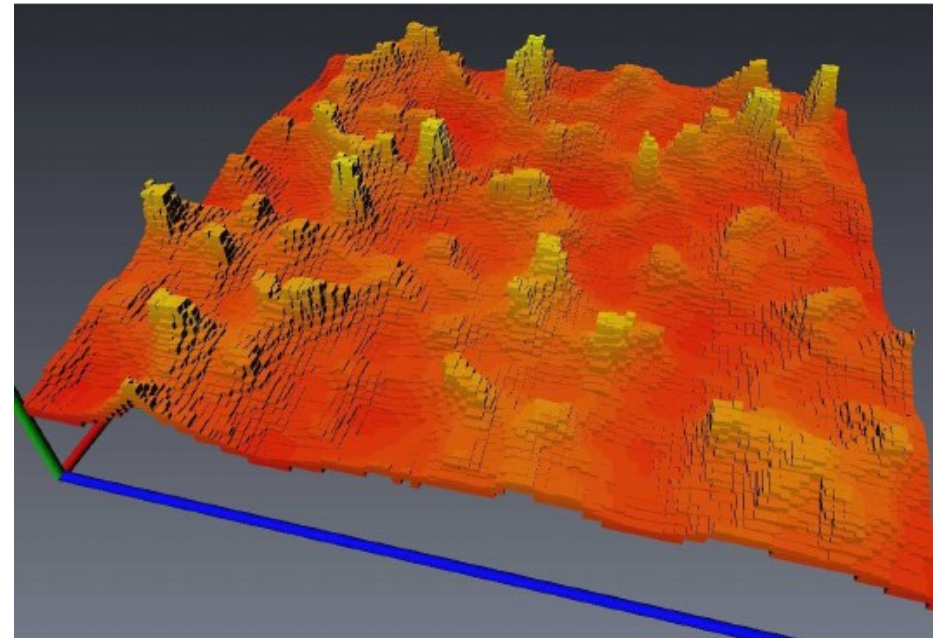
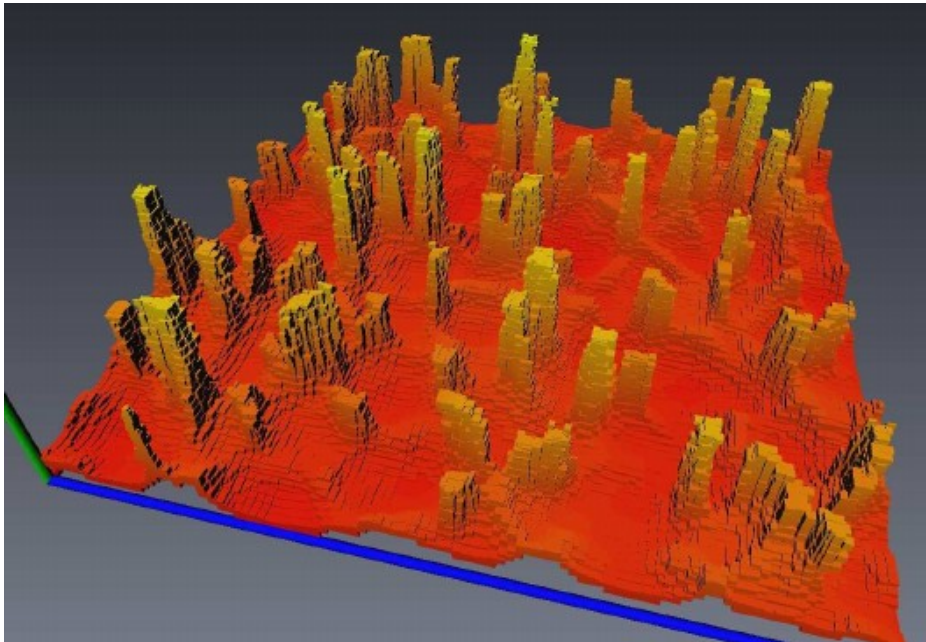


- SDTrim-Gyro
- Influence of magnetic/electric fields on sputtering (re-ionization, gyro-orbits)

B = 0 T

B = 1.1 T

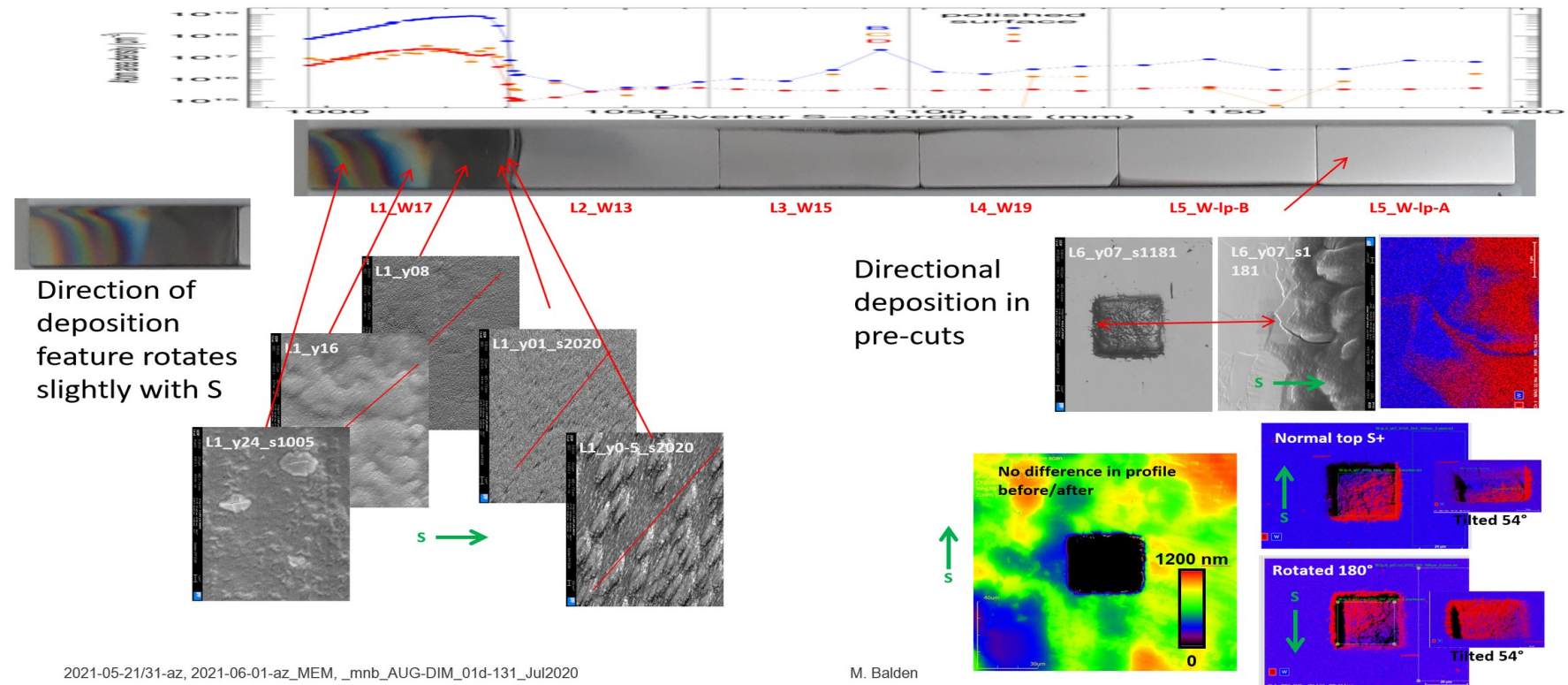
200 eV D on W/Fe sample ( $\alpha=0$  deg)



# Gyromotion



- SDTrim-Gyro
- Exposure of dedicated laser-structured sample (Rodrigo, Balden) : too rough
- *Piggyback* experiment at end of AUG-operation (M. Balden, K. Krieger et al):
- Polished parts appear suited for evaluation
- Evaluation pending...







- **Task: Erosion modelling considering roughness and morphology for PWI**
  - Which code basis to use? → SDTrimSP
  - Accelerated modelling using surrogate models : beyond impact angle approximation :  $Y(\alpha, \alpha')$
  - Gyromotion- and Lattice-structure effects
- Thank you very much – Questions?

# I) Code Basis : SRIM vs SDTrimSP



## → Simulation results: SDTRIM

Some noteworthy features:

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- Gap in energy density
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