



A model for AE-induced transport of fast ions in stellarators

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Description of the model

- Finding Alfvén eigenmodes

- The radial diffusion coefficient

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Overview of existing tools

- reduced transport models are desirable for their high computing speeds while still capturing (most of) the relevant physics
- such models could potentially be included in optimization loops
- several reduced models for Alfvén-eigenmode-induced fast-ion transport available for tokamaks
 - Kick-model¹ → relies on P_φ as conserved quantity
 - RBQ model² → relies on P_φ as conserved quantity
 - TGLF-EP³ → simple critical gradient model
 - ATEP code⁴ → relies on P_φ as conserved quantity, LIGKA-HAGIS solves for phase-space zonal structure
- **no such model did exist for stellarators**
- similar information always had to be extracted from gyrokinetic simulations or MHD-kinetic simulations → much more expensive
- **this work: develop a model also suitable for stellarators → W7-X, reactors, . . .**

¹M. Podestà et al., *Plasma Phys. Control. Fusion* **56** 055003 (2014)

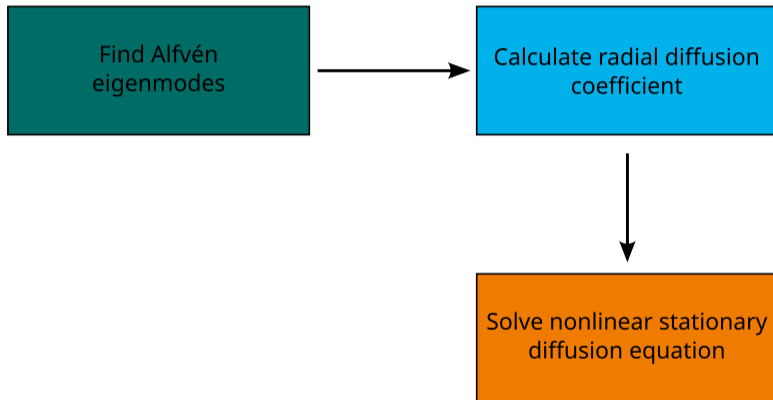
²N. Gorelenkov et al., *Nucl. Fusion* **58** 082016 (2018)

³E. Bass et al., *Nucl. Fusion* **60** 016032 (2020)

⁴Ph. Lauber et al., 29th IAEA FEC, London (2023)

Basic ingredients of the model

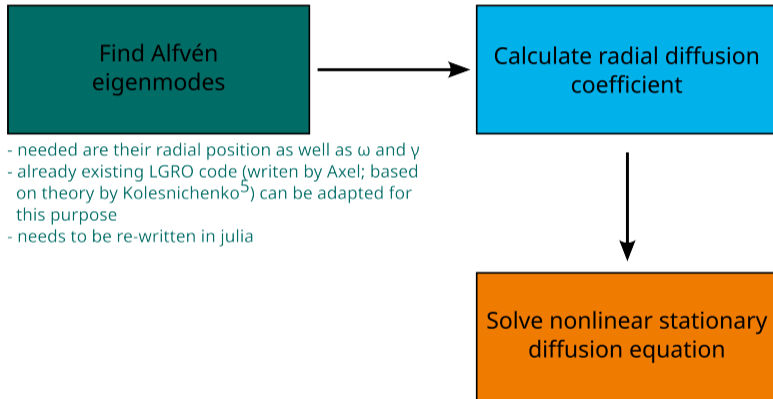
Conceptually, the model can be split into 3 main components



⁵Ya. Kolesnichenko et al., *Phys. Plasmas* **9** 517-528 (2002)

Basic ingredients of the model

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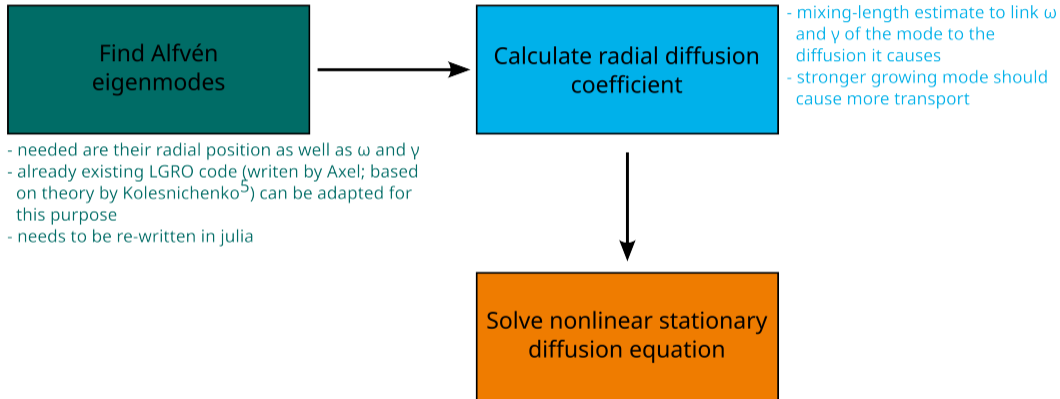


- needed are their radial position as well as ω and γ
- already existing LGRO code (written by Axel; based on theory by Kolesnichenko⁵) can be adapted for this purpose
- needs to be re-written in julia

⁵Ya. Kolesnichenko et al., *Phys. Plasmas* **9** 517-528 (2002)

Basic ingredients of the model

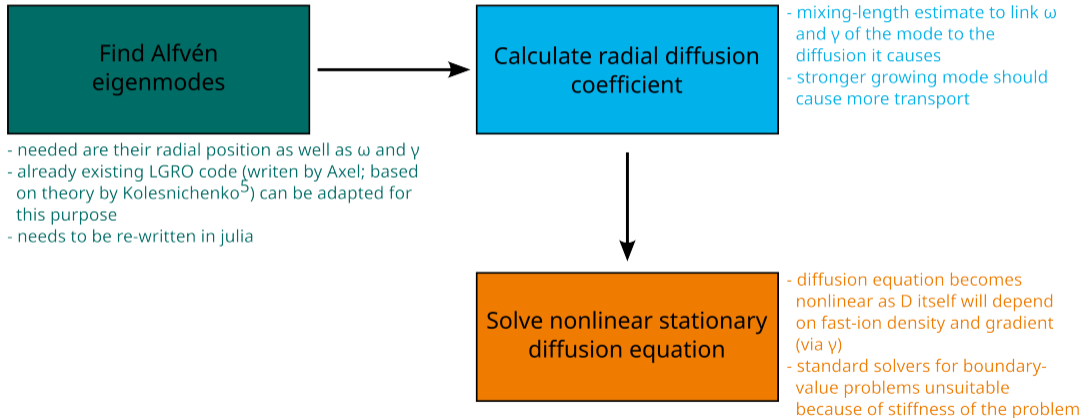
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Basic ingredients of the model

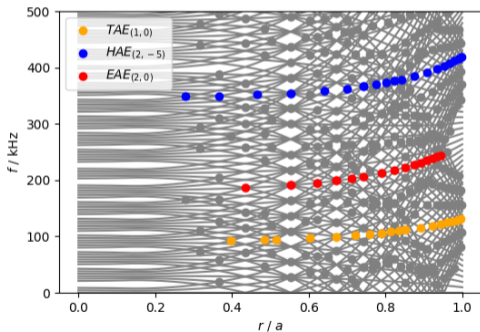
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⁵Ya. Kolesnichenko et al., *Phys. Plasmas* **9** 517-528 (2002)

Finding Alfvén eigenmodes I

- start with the cylindrical Alfvén continuum
- assumption: at any crossing point of continuum branches a potential mode can be located
- no continuum gaps calculated (too expensive)



W7-X standard configuration

- crossing points s_* of any two continuum branches defined by relation

$$|k_{\parallel,1}| = |k_{\parallel,2}| \quad (1)$$

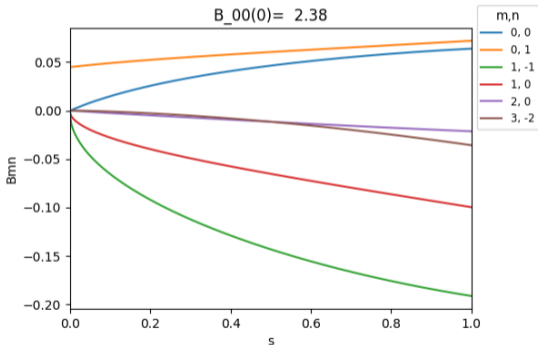
- hence

$$|m_1 l_* + n_1| = |m_2 l_* + n_2| \quad (2)$$

- gives local l_* (and therefore also s_*) of the mode
- knowing the v_A profile, also $\omega = k_{\parallel} v_A$ is calculated easily

Finding Alfvén eigenmodes II

- couplings and the resulting drive determined by the inhomogeneities of the equilibrium magnetic field



- $\epsilon_{\mu,\nu}$ defined as

$$\epsilon_{\mu,\nu}(s) = \frac{B_{m,n}(s)}{B_{0,0}(0)} \quad (3)$$

- important to pick the major couplings for a given magnetic equilibrium
- in W7-X usually $B_{1,0}$ and $B_{1,-1}$ have the highest amplitudes (noting that $B_{0,1}$ does not contribute to γ)

W7-X standard configuration

Finding Alfvén eigenmodes III

- still needed are the growth rates of the modes \rightarrow use Kolesnichenko model⁵
- growth rates in local approximation (drive comes from $\partial_r n_f$ and $\partial_r T_f$)

$$\gamma = \left(\frac{A}{\sqrt{s}} \frac{1}{|m\ell + n|} \right)^2 \frac{3\pi}{64} \frac{\beta_\star}{\int_0^\infty du f_{\text{press}}} g \quad (4)$$

$$g = \sum_{\text{all } w} |w| \mu^2 \epsilon_{\mu,\nu}^2 \int_{|w|}^\infty du f_{\text{grow}} \quad (5)$$

- the w encode the resonances with the inhomogeneities of the magnetic field

$$w = \left[\left(1 \pm \frac{\mu\ell_\star + \nu N_p}{m\ell + n} \right) \frac{v_{\text{th},\star}}{v_{A,\star}} \right]^{-1} \quad (6)$$

- f_{press} and f_{grow} include integrals over the equilibrium distribution function F

$$f_{\text{grow}} = 4\pi \left(u^2 + w^2 \right)^2 \left(\frac{\omega}{2} \frac{\partial F}{\partial u} + u\omega_\star \frac{\partial F}{\partial s} \right) \quad (7)$$

$$f_{\text{press}} = 4\pi u^4 F \quad (8)$$

⁵Ya. Kolesnichenko et al., *Phys. Plasmas* **9** 517-528, 2002

The radial diffusion coefficient

- we define the diffusion coefficient based on a mixing-length approximation^{6,7} as

$$D(s) = \bar{D} \pi^2 \sum_{i=1}^{N_{\text{modes}}} H(\gamma_i) \frac{\gamma_i}{k_{\perp,i}^2} \frac{\gamma_i^2}{\omega_i^2 + \gamma_i^2} \exp \left[-\frac{(s - s_i)^2}{\Delta_i^2} \right] E(s) + D_{\text{turb}} \quad (9)$$

- each mode has its own mode width that goes as

$$\Delta_i = \bar{\Delta} \frac{\sqrt{\bar{l}'}}{\sqrt{m_i l'_i}} \quad (10)$$

(scaling similar to that of a magnetic island)

- $E(s)$ is an envelope function that ensures that D goes to zero at $s = 0$ and $s = 1$
- $\bar{\Delta}$ and \bar{D} are external scaling factors and D_{turb} accounts for turbulent transport (assumed to be small) / H is Heaviside function
- some calibration needed as mixing-length approximation has uncertain factor $\mathcal{O}(10)$

⁶J. Connor et al., *Plasma Phys. Control. Fusion* **43** 155–175 (2001)

⁷J. Weiland, *Plasma Physics Reports* **42** 502–513 (2016)

The radial diffusion equation

- we solve the stationary radial diffusion equation for the fast-ion density

$$\frac{\partial n_f}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} \left(D r \frac{\partial n_f}{\partial r} \right) - S_0 \left(1 - \frac{n_f}{n_f^{\text{SD}}} \right) = 0 \quad (11)$$

- n_f^{SD} is the slowing-down profile for the fast-ion density that would develop without AEs
- S_0 is the source profile (given by e.g. NBI or ICRH heating in W7-X)
- boundary-value problem cannot be solved directly because $D = D(r, n_f, \partial_r n_f)$ makes the equation nonlinear and very stiff
- instead: integrate once

$$D \frac{\partial n_f}{\partial r} = \frac{1}{r} \int dr r S_0 \left(1 - \frac{n_f}{n_f^{\text{SD}}} \right) \quad (12)$$

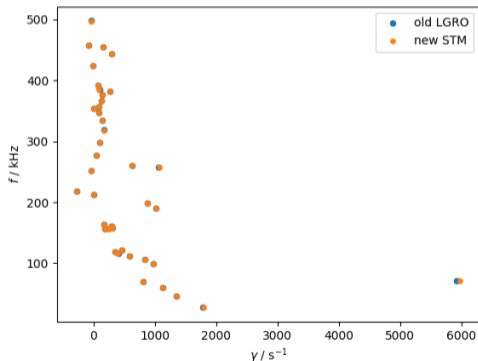
and transform to s

$$D \frac{\partial n_f}{\partial s} = \frac{a^2}{4s} \int ds S_0 \left(1 - \frac{n_f}{n_f^{\text{SD}}} \right) \quad (13)$$

- solve as nonlinear root-finding problem with the Newton method (iteratively)
- boundary conditions: $\partial_r n_f(0) = \partial_r n_f(a) = 0$

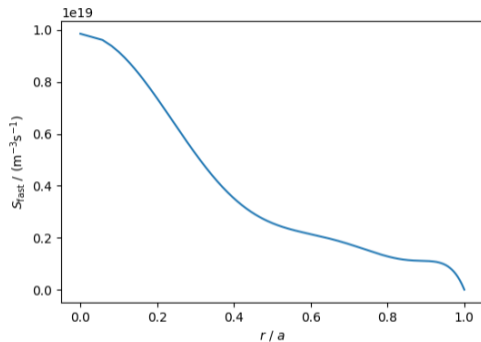
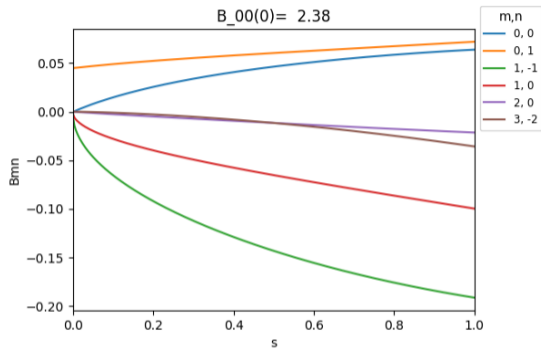
Benchmark with LGRO

- first part of the new model (frequencies and growth rates of the modes) benchmarked with LGRO code



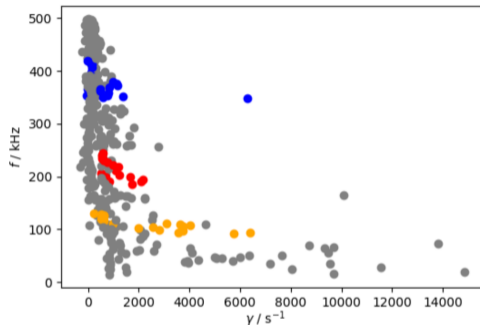
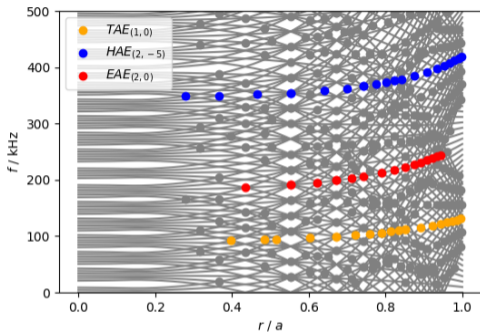
- good agreement of the new stellarator transport model (STM) and LGRO
- both codes solve exactly the same equations
- small differences most likely caused by minor numerical differences (e.g. interpolations, integration boundaries)

Input of the model



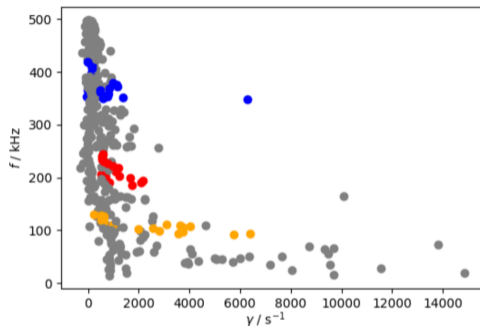
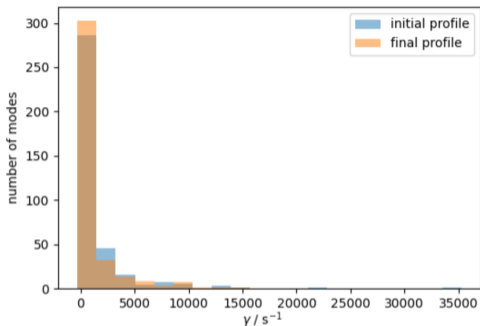
- the model takes as input the magnetic equilibrium (B_{mn} spectrum and ι) as well as profiles for the bulk plasma and for the fast ions
- major B_{mn} components enter the calculation of the kinetic drive
- fast-ion source profile taken from SCENIC simulations done for 4 NBI sources at W7-X

Output of the model I



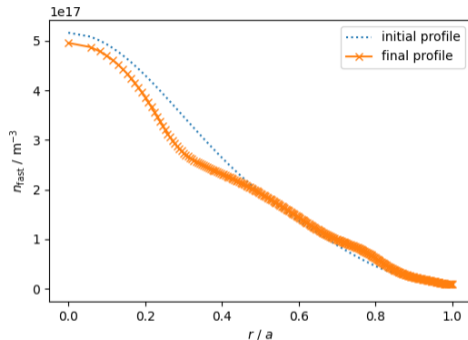
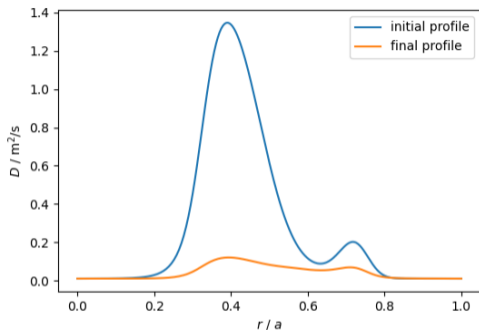
- growth rates of the previously identified modes are calculated
- unstable ones will contribute to transport, stable ones are neglected in the calculation of D
- plot already shows that profile flattening (transport) leads to a more stable situation overall → expected behaviour
- not a critical-gradient model → growth rates of the modes don't go all the way to zero
- diffusion still balances the fast-ion source

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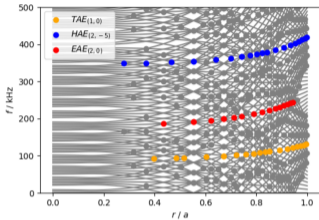
Output of the model II



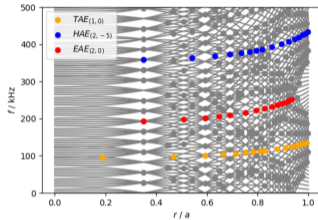
- diffusion coefficient defined using mixing length approximation as shown previously
- strongest diffusion in regions where modes have the highest growth rate
- diffusion leads to flattening of the fast-ion density profile → local flattening reduces the diffusion coefficient
- steady-state reached when source is balanced

Comparison of configurations

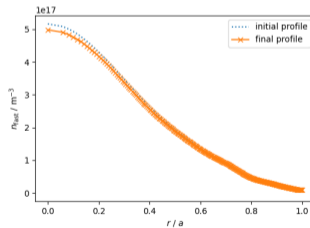
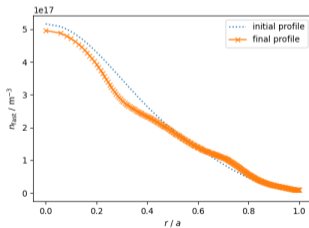
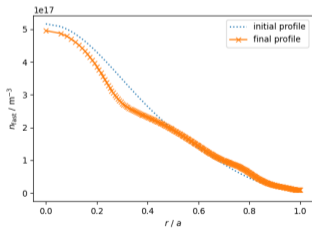
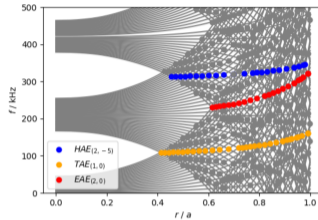
standard



high-mirror

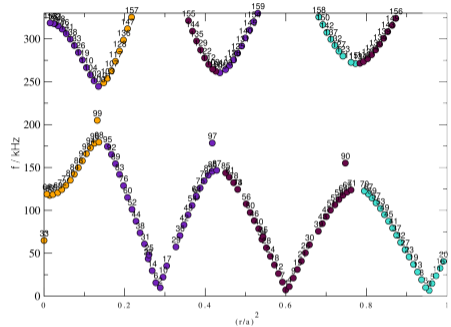
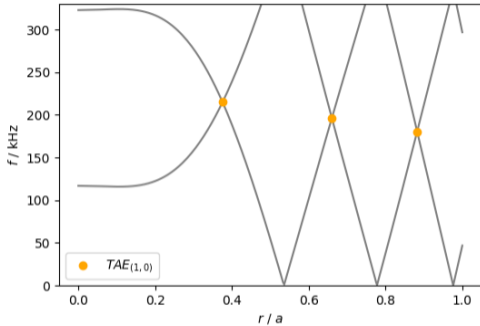


high-iota



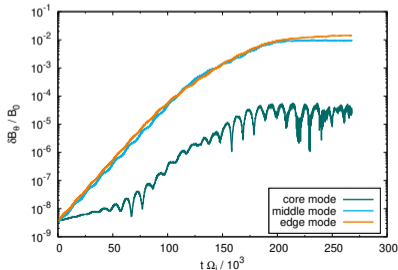
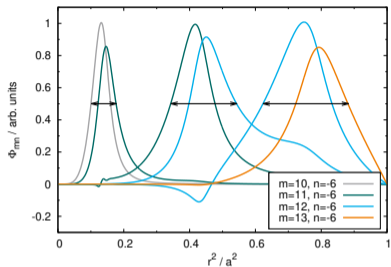
- fast-ion transport depends on magnetic configuration (all other parameters of the model equal)
- in this **example**: EIM and KJM behave similarly, but lower transport in FTM

Benchmark: Compare with more complete CKA-EUTERPE model



- comparison of the new model with CKA-EUTERPE performed (tokamak – 3 modes)
 - adjust mode widths in transport model to match CKA results (FWHM)
- goal: dial-in the free parameters of the transport model and assess its general quality (i.e. is the flattening similar or not)

Reminder: The CKA-EUTERPE model



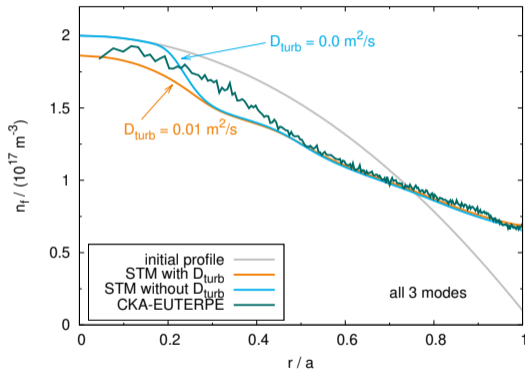
- perturbative model to study linear and nonlinear interaction of Alfvénic modes and fast particles
- spatial eigenfunctions given by ideal-MHD theory (CKA-code) remain fixed in time
- only amplitudes of ϕ and A_{\parallel} allowed to evolve due to kinetic interactions

$$\frac{\partial \hat{A}_j}{\partial t} + i\omega_j (\hat{\phi}_j - \hat{A}_j) = \sum_k \hat{N}_{jk}^{-1} u_k \hat{A}_j \quad (14)$$

$$\frac{\partial \hat{\phi}_j}{\partial t} + i\omega_j (\hat{A}_j - \hat{\phi}_j) = \sum_k \hat{M}_{jk}^{-1} T_k \hat{\phi}_j - 2\gamma_d \hat{\phi}_j \quad (15)$$

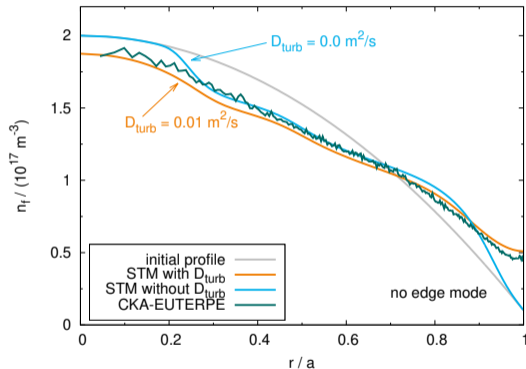
- multi-mode interactions (i.e. mode coupling) included
- markers more along nonlinear trajectories; u_k and T_k are moments of $f^{(1)}$
- cheap compared to fully gyrokinetic simulations, but much more expensive than reduced transport model

CKA-EUTERPE comparison I



- clear profile modifications due to AEs (compare to unperturbed grey profile)
- very good agreement of the reduced model and CKA-EUTERPE in terms of profile flattening over a wide radial range
- some disagreement in the core of the plasma
- choice of D_{turb} has effect on solution in regions where $D_{\text{AE}} = 0$ (no mode)
- Is the agreement just coincidence? What happens when the mode at the edge is removed from the simulations?

CKA-EUTERPE comparison II



- same case as before, but now without the mode at the edge
- still very good agreement of both models in the middle of the radial domain
- slightly stronger deviations now close to $r/a = 1$ where the simplified model underestimates the transport (due to the lack of a mode there) and shows profile steepening
- width of the "middle" mode from CKA larger than in transport model → explains stronger profile flattening at the edge in the CKA-EUTERPE case

Summary and conclusions

- new reduced model for AE-induced fast-ion transport in stellarators has been developed
- model based on local stability calculation (LGRO), mixing-length estimate for D , and a radial diffusion equation with realistic fast-ion source profile
- AEs cause flattening of the fast-ion density profile
- not a critical gradient model $\rightarrow \gamma > 0$ still for flattened profile \rightarrow transport balanced by fast-ion source
- as reduced model: comes with free parameters (e.g. \bar{D} , $\bar{\Delta}$) \rightarrow comparison to more complete models necessary to estimate validity
- successful benchmark with CKA-EUTERPE in tokamak geometry for just a few modes
- currently in progress: applying the model to a stellarator reactor with α -particles

Back-up slides

All equations of the stability part



$$\gamma = \left(\frac{A}{\sqrt{s}} \frac{1}{|m\ell + n|} \right)^2 \frac{3\pi}{64} \frac{\beta_\star}{\int_0^\infty du f_{\text{press}}} g \quad (16)$$

$$g = \sum_{\text{all } w} |w| \mu^2 \epsilon_{\mu,\nu}^2 \int_{|w|}^\infty du f_{\text{grow}} \quad (17)$$

$$w = \left[\left(1 \pm \frac{\mu\ell_\star + \nu N_p}{m\ell + n} \right) \frac{v_{\text{th},\star}}{v_{A,\star}} \right]^{-1} \quad (18)$$

$$f_{\text{grow}} = 4\pi (u^2 + w^2)^2 \left(\frac{\omega}{2} \frac{\partial F}{\partial u} + u\omega_\star \frac{\partial F}{\partial s} \right) \quad (19)$$

$$f_{\text{norm}} = 4\pi u^2 F \quad (20)$$

$$f_{\text{press}} = 4\pi u^4 F \quad (21)$$

$$\beta_\star = 2\mu_0 \frac{m_f n_f}{3B^2} v_{\text{th},\star}^2 \frac{\int_0^\infty du f_{\text{press}}}{\int_0^\infty du f_{\text{norm}}} \quad (22)$$

$$\omega_\star = - \left(m + \frac{\mu}{2} \right) \left(\frac{1}{2} \frac{m_f}{Z_{\text{fe}}} v_{\text{th},\star}^2 \frac{2\pi}{F'_T} \right) \quad (23)$$