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Divertor A Priori First Principles: Part I Definition of Divertor Performance, Guarantee

Requirements, and Design Maxims

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6 sigma – Management toolbox based on statistical analysis for process optimization and quality to handle complex systems





Lean-6*o*. L6s. L6*o*

 \succ

- Quality or performance steps one standard deviation apart
- Ensures efficient step size
 - > Too small of step has fixed overhead without gain
 - Too large step might stretch system and bring overwhelming complexity to meet excessive requirements

		σ-level	DPMO (defects per million opportunities)	Yield %
		1	691 462	31 %
Anal	yze	2	308 537	69 %
		3	66 807	93.3 %
	- 2015 - La constante da constante	4	6 210	99.38 %
ned	with Lean management	5	233	99.977%
	Efficient process 🗪	6	3.4	99.99966 %

A priori first principles put focus on functionality, and enable innovation



- Humans are prone to think in analogies. New developments are ofter approaches. Linear evolution rather than innovation
- Limits innovation as focus is on trends, technology, form, design
 - Maslow's Hammer, or Einstellungs bias

Method

- 1. Identify and challenge assumptions (5-Why Method)
- 2. De-couple problem into first principles
- 3. Create innovative solution





NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

WHAT MADE



Magnetic confinement fusion



Hamiltonian system like an incompressible fluid, in 6D-Phase space

Only 3D cross sections visualizable in Poincaré



X-point (saddle point)





Magnetic field

$$\nabla \cdot \vec{B} = \mathbf{0} = \oint \vec{B} \cdot d\vec{A} \quad (1)$$

How can O-Points be created?

- Ampères law Current in conductor, O-Point in conductor
- Ampères law Current in coil, O-point in coil center
- Interference Overlap of two or more other O-points
- Resonance Rational surfaces of rotational transform
- Chaos 2 or more O-points seperated by chaos







Magnetic confinement fusion – Toroid only closed flux surface for $\nabla \vec{B} = 0$

Poincaré-Brouwer or hairy ball theorem



Magnetic confinement fusion – Toroid extends indefinite - Limiter

Scrape-Off Layer SOL



Magnetic confinement fusion – Rotational transform necessary



due to curvature and∇ B drift



The divertor - Magnetic 3D Topology of two toroids







Coordinates with 1 plasma and 1 divertor toroid



SOL particle transport with 1 plasma and 1 divertor toroid



 $\nabla \cdot \vec{v}$ particle transport through: Diffusive transport:

Fick's law $\nabla \cdot \vec{v} \propto n, L_c$

Neo-classical transport:

MHD $\nabla \cdot \vec{v} \propto n?, L_c$

Turbulent transport:

Reynolds $\nabla \cdot \vec{v} \propto n, L_c$

Assume $\nabla \cdot \vec{v}$ all combined as one D_{\perp}

$$\lambda_{n,P-SOL} = \sqrt{\frac{L_{C,LCPFS}D_{\perp,P}}{0.5 \ \overline{c_s}}}$$

[Stroht Springer 2018]





HELIAS He⁴ Birth rate from [S. Lazerson PPCF 2021]

S

Reactor performance requirements

- $\Gamma_{\text{He,exh}} = \Gamma_{\alpha,1}$
- First maximize η_{exh} ,



 10^{20}

Opertational performance requirements Density control



Stable density in equilibrium

• $\Gamma_{exh} = \Gamma_{source}$

Wall independent density control

• $\Gamma_{exh} > \Gamma_{wall}$

Exhaust limited density control

• $\Gamma_{\text{exht}} < \Gamma_{\text{wall}} + \Gamma_{\text{NBI}} + \Gamma_{\text{pellet}} (+\Gamma_{\text{Gas}})$





Fueling limited density control

•
$$\Gamma_{exh} > \Gamma_{wall} + \Gamma_{NBI} + \Gamma_{pellet} (+\Gamma_{Gas})$$



Guarantee requirements



- Survive
- $q_{div} \le 10 \text{ MW/m}^2$
- Survive neutron dpa
- $T_{e,t} < 10 \text{ eV}$

 $P_{div} = P_{rec,surf}(\Gamma_{ion,div}) + P_{rec,vol}(\Gamma_{ion,div}) + P_{rad,div} + P_{n,div}$ $(q_{max} \le 20 \text{ MW/m}^2)$ (ITER 0.14 - 2.5dpa; DEMO 70 - 80 dpa)(< 5 eV W sputtering; < 1.5 eV volume recomb.)

Divertor function:Optimize performance metricsMaximize Γ_{exh} combined with throttleMaximize η_{exh} $(1 - \eta_{exh} + \eta_{wall})(1 - (\eta_{scr,Edge} + \eta_{scr,SOL})))$ Maximize $\eta_{scr} = \eta_{scr,Edge} + \eta_{scr,SOL}$ $\eta_{exh} + \eta_{wall}$ Guarantee survival

Critical toroidal Volumina and Flux Surfaces









Particle exhaust metrics and efficiencies ampère divertor





Resonant divertor do not have a P-SOL













Particle exhaust metrics and efficiencies resonant divertor

Favorable particles



A priori first principles

- 1. Divert plasma
- 2. Neutralize plasma
- **3. Collect neutral particles**
- **4. Remove neutral particles**
- **5. Plug neutral particles**
- **6. Screen impurity particles**
- 7. Survive



1. Divert Plasma Particles





2. Neutralize Plasma Particles



Only Neutral particles can be exhausted

- Surface recombination on target, $P_{rec,surf} = \Gamma_{ion,div} ((\gamma T_e) + (\frac{1}{2}m_{ion}v_{ion}^2) + \epsilon_i)$
 - Particles released in cosine distribution and reflection
- Volume recombination, no/less heat and particle load on target, $T_{e,t} < 1.5 \text{ eV}$, MAR $\sim n_e, n_0$, EIR $\sim n_e^3$
 - Particles released isotropic



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[Ralf Schneider]

Ionization

Recombination

3. Collect Neutral Particles



• Direct collection Only if $d_{SL-PG} < \overline{l}$

Optimize $cos \varphi_{pumpgap}$

• Indirect collection Build up neutral pressure

Small divertor volume p = n/V

Keep neutrals neutral

No recycling if not necessary

Optimize 1 – $\cos \varphi_{LCFS}$

• Continous flow Driven by pressure gradient

4. Remove Neutral Particles



 $\Gamma_{exh} = p_{0,sub-div} S_{eff}$

S_{eff} through Turbo Molecular Pumps or Cryo Pump

Decrease $\Gamma_{sub-div, loss}$

• Molecular flow

Directed reflections with pump gap pannel, Funnel

Turn pumpgap into one-way

Continuos flow at pumpgap

Minimize
$$Kn = \frac{\overline{l}}{d}$$

5. Plug Neutral Particles



Block escaping neutrals

– Relevance decreases as η_{exh} increases

- Hardware (Baffles): Thermal loads
- Re-Ionization: He is the hardest to ionize,

minimize $\lambda_{iz,He}$, $T_e \ge 100 \text{ eV}$, ~ n_e

6. Screen Impurity Particles



Maximize $\eta_{scr,Edge} + \eta_{scr,SOL}$

minimize $\lambda_{iz,He}$, $T_e \ge 100 \text{ eV}$, ~ n_e

Minimize inward impurity ion transport





Exhaust heat necessary for particle exhaust – Possibly radiate rest

- Surface recombination on target
- Volume recombination in front of target
- Radiated power
 Radiation location and stability:

X-Point vs homogenous island radiation

• Neutron power



Target design

High recycling regime – yes! n_{up} vs n_{down}

But do not maximize recycling, full recycling! It negates pumping!



Charge exchange

n_ [m⁻³

Ionization

Recombination

100

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Temperature [eV]

1e-14

1e-15 1e-16 1e-17

1e-18

1e-19

< \alpha \cdot \cd







Fully volume recombining divertor



Design maxims

- Open communication is essential! Divertor will be designed in many meetings
- Focus on mission, not on technology
 - Define clear requirements and ensure common understanding
 - Physicst Engineer need to understand each others requirements from the beginning Avoid diluted structure of small independent groups

 - One supplier/tool, avoid 5 things that do the same
- Buildable in large quantities, not just once
- Keep it simple simplify as much as possible!
 - Only do whats necessary
 - As accurate as necessary, as inaccurate as possible
 - Any avoided component can't fail
 - Best weld is no weld
- Where you can't avoid risks, communicate them cleary, do not compromise your standards, and double down on mitigation



Conclusion

FPP magnetic field will need at least 2 toroids – include in optimization! Divertor performance has been resolved to:

- Maximize Γ_{exh} combined with throttle ٠
- Maximize η_{exh} ullet
- Maximize $\eta_{scr} = \eta_{scr,Edge} + \eta_{scr,SOL}$ Divertor metrics have been defined
- L_c has direct impact on recombination, A_{wetted} any $\nabla \cdot \vec{v}$ mechanism, thus pedestal creation

Pedastal achievable by

 $\nabla \cdot \vec{v}(LCPFS) > \nabla \cdot \vec{v}(6\sigma FS)$

 $L_{c,LCPFS} >> L_{c,6\sigma FS}$

- Short connection length Narrow Strike line
- Outer midplane is ideal divertor position ۲

$$L_{c,XO} = \left(\frac{R_P + R_D}{2}\right)$$

D D

 $\nabla \cdot \vec{v}(\underline{L}_{c,X0}) > \nabla \cdot \vec{v}(\underline{a}_{D}) > \nabla \cdot \vec{v}(\underline{t}_{D}(\underline{a}_{D}))$

 $R_{D} = R_{P} + a_{D} + a_{D}$

- Chaotic divertors can offer extreme $L_{c,xo}$ as second toroid can be outside of coils •
- Fully recycling neutral flux will negate pumping, but recyling between baffles plugs the divertor!

1. Divert plasma particles

A PRIORI FIRST PRINCIPLES 6-SIGMA APPROACH

- 2. Neutralize plasma particles
- Collect neutral particles
- **Remove neutral particles**
- Plug neutral particles
- Screen impurity particles
- 7. Survive

Too long transport into Divertor O-loop



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Design Science Research







Accelerated Design cycle -Reduced complexity by de-coupling problems





Divertor A Priori First Principles: Part II Measurement and Analysis of Wendelstein 7-X's first resonant divertor





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~99% of particles neutralize on divertor target





Particle collection with an open carbon divertor







Particle collection:

Pumpgap opening ~ 68°- 90° **EMC3-EIRENE**

~7.3 % of Γ_{Target} **4.0 % of** Γ_{Target}

ionize on the way, make it to pump gap

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Particle removal and sub-divertor leakage

80

۲/Г_{in} (%) ه







Continous flow minimizes leakage





 $Kn = \frac{l}{d}$ $d_{PG} = 90 mm$

0

1/10

How to access continous flow regime?

- Increase pump gap opening
- Increase pump gap pressure .
 - Shifting strike line closer to pump gap •
 - Increasing density •
 - Change the target geometry •

 $\mathbf{I}_{cc} = \mathbf{0}\mathbf{k}\mathbf{A} \qquad d_{SL-PG} = \mathbf{12.1} \ cm$ $l_{cc} = 2kA$ $d_{SL-PG} = 6.2 cm$ Increase of p_{PG} by 25%

Shifting SL as close as possible to PG Increase of p_{PG} ~50%?





0.0009 1 0.310.040

- 6

5

P_{ECRH} [MW]

Re-ionisation shows good plugging



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Wendelsteir

Full magnetic flexibility at effective, but in-efficient exhaust

- Particle collection dominated by pump gap opening angle to strike line
- Sub-divertor leakage dominated by pump gap
- Exhaust and Wall source at same order
- Good plugging, despite toroidally open divertor
- Stable detachment opens up neutral channels
- W7-X detachment decreases particle flux towards divertor
 Attached

$$\begin{split} &\Gamma_{\text{Ion divertor}} = 99 \% \Gamma_{\text{ion}} \\ &\eta_{\text{Collection}} = 4 \% \Gamma_{\text{Ion target}} \\ &\eta_{\text{Removal}} = 6\% \Gamma_{\text{Pump gap}} \\ &\eta_{\text{Exhaust}} = < 1\% \Gamma_{\text{Ion divertor}} \\ &\eta_{\text{Plugging}} = 85 \% \Gamma_{\text{Ion divertor}} \end{split}$$





2D Target cross section of "standardized" sections





• Build up neutral pressure

Optimize $1 - \cos \varphi_{LCFS}$

- Block escaping neutrals Hardware (Baffles) !Thermal loads! **Re-Ionization**
- **Direct collection Optimize** $cos \varphi_{pumpgap}$ CVP ideally normal to target

Smallest possible angle?

Smallest possible radius?



Exhaust optimized, reactor relevant divertor target geometry for W7-X based on a first principles, design science research approach

- Maximize particle exhaust
 - Throttle makes exhaust an active control parameter
- Reactor relevance
 - Evaluate design at higher power densities (30MW?)
 - Feasibility of remote handling in future
- Decrease design cycle time
- Decrease cost
 - Utilize standardized target module, developed in parallel
 - Smaller?
 - Uncooled? (Allow for 30s, 1 min, 5 minute,? minutes operation)
- Retain magnetic flexibility
 - Moveable targets?





Hommen, G. "Development of a dynamic model of magnetic islands in fusion plasmas."



