

ENR ATEP review (6/2021-5/2024)

ATEP team:

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special thanks to G. Brochard, A. Bottino and A. Mishchenko (TSVV#10) and C. Bourdelle (TSVV#11)



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ENR ATEP goal: develop hierarchy of reduced models for EP transport

needed for scaling from TCV-AUG-JET, W7X etc to JT-60SA-DTT-ITER-DEMO, in particular to burning plasmas addressing gap in Eurofusion programme

4. self-organisation - back reaction of EP transport on profiles and background transport

3. EP transport and losses

2. non-linear mode evolution,

saturation mechanisms

I. mode stability

non-linear/quasi-linear global kinetic e.m.+ background transport

required models:

non-linear/quasi-linear global kinetic e.m. + long time scales (source +sink)

non-linear global kinetic e.m.

linear global kinetic e.m.









- End 2021 WPI-DI Complete transport theory of Phase Space Zonal Structures and Zonal State separating its microscale structures from macro-/meso- scale components
- End 2022 WPI-D2 Explicit expressions of phase space fluxes as input for WP2
- mid 2024 WPI-D3 Self-consistent description of EPM repeated burst dynamics using the PSZS theoretical framework
- End 2021 WP2.1-D1 DAEPS in general tokamak geometry
- mid 2023 WP2.1-D2 Reduced EP transport model in tokamaks
- mid 2024 WP2.1-D3 DAEPS in general stellarator geometry
- End 2022 WP2.2-D1 Fast analytical LIGKA version including trapped particles
- End 2023 WP2.2-D2 Fast analytical LIGKA model including guesses for global mode structures and non-Maxwellian distribution functions
- Mid 2022 WP2.3-D1 Explicit expressions for local eigenvalue code in 3D
- mid 2024 WP2.3-D2 Local eigenvalue code in 3D including passing particles
- End 2022 WP3.1-D1 Validated 1D reduced model for EP transport in ITER/DTT
- mid 2024 WP3.1-D2 Systematic statistical analysis of test particle transport and assessment of diffusive vs. non diffusive behaviours jointly with WP3.2







- End 2022 WP3.2-D1 Insights into short- and long-time relaxation dynamics of a non- thermal plasma with intense energetic particle component
- mid 2024 WP3.2-D2 Practical basic understanding of convective radial transport of energetic particles versus the possible non-local transport regimes
- Mid 2024 WP3.3-D1 Availability of validated reduced phase space transport model based on LIGKA/HAGIS within IMAS framework (ATEP 3D)
- End 2022 WP3.4-D1 Validated version of RABBIT including model for fluctuation-induced radial transport of EPs (replaced by collisional ATEP-3D)
- End 2022/23 WP3.5-D1 Hybrid kinetic-MHD results for V&V of transport models: with generalized distributions functions and collisions for AUG, ITER, DDT.
- mid 2024 WP3.5-D2 STRUPHY will deliver long time-scale simulations for V&V purposes (demonstrating conservation properties of advanced coupling scheme) based on the same equilibria as XHMGC, HYMAGYC, MEGA and ORB5
- End 2022/23 WP3.6-D1 Deliver quantitative criteria for transitions between different transport regimes w/o turbulence and ZF/ZSs using experimentally relevant parameters
- End 2022 WP4-D1 Availability of reference scenarios (ITER, AUG, DTT) for application of transport models



First Author	Initials	Title of work	Journal /	Doc. Type	DOI or status	Pinboard
Zonca	F.	A theoretical framework of chorus wave excitation	Reviews of Modern Plasma Physics 5, 8 (2021	Paper	https://doi. org/10.100 7/s41614- 021-00057- x	29812
Zonca	F.	Nonlinear dynamics of phase space transport by chorus emission	JGR	Paper	To be published	30076
Lauber	Ph.	Kinetic Alfven Waves in Tokamaks	5 th AAPPS-DPP 26 th Sept 2021	Topical plenary	N/A	30323
Carlevaro	N.	Hierarchical approach for energetic particle transport in 1- dimensional uniform plasmas	47th EPS Conference on Plasma Physics 2021, Vol. 45A, P3.1067	Poster	published	28666
Carlevaro	N.	One dimensional reduced model for ITER relevant energetic particle transport	Plasma Physics and Controlled Fusion	paper	published	30899
Wang	Х.	Analysis of the nonlinear dynamics of a chirping- frequency Alfvén mode in a Tokamak equilibrium	N/A	paper	submitted	30841
Holderied	F.	Magneto-hydrodynamic eigenvalue solver based on smooth polar splines	JCP	paper	submitted	30814
Wang	X.	Nonlinear dynamics of frequency chirping energetic particle driven modes in fusion plasmas	5 th AAPPS-DPP 26 th Sept 2021	invited	N/A	30204
Meng	G.	Mode structure symmetry breaking of reversed shear Alfvén eigenmodes and its impact on the generation of parallel velocity asymmetries in energetic particle distribution	PST	paper	accepted	30199
Bierwage	А.	Representation and modeling of charged particle distributions in tokamaks	CPC	paper	submitted	30554
Рора	VA.	IMAS - INTEGRATED WORKFLOW FOR ENERGETIC PARTICLE STABILITY	4th IAEA TM on Fusion Data Processing, Validation and Analysis, Chengdu, China, 30th November 2021.	oral	N/A	31086
Qiu	Z.	Evidence of 'two plasmon' decay of energetic particle induced geodesic acoustic mode	NJP		published	29280

First Author	Initials	Title of work	Journal /	Doc. Type	DOI or status	Pinboard
			Conference		of paper	ID
Falessi	M. V.	Nonlinear equilibria and transport processes in burning plasmas	28th IAEA Fusion Energy Conference (FEC2020)		N/A	29490

13 (7 papers, 6 invited/contributed)



First Author	Initials	Title of work	Journal / Conference	Doc. Type	DOI or status	Pinboard
Lauber	Ph.	Energetic particle driven instabilities during the L-H transition in ASDEX Upgrade	Proceedings 48th EPS Conference on Plasma Physics (EPS), Maastricht, Netherlands, 2022	Poster, paper	published	31591
Pinches	S.D.	Role of Energetic Ions in the ITER Research Plan	Plenary talk at 48th EPS Conference on Plasma Physics (EPS), Maastricht, Netherlands, 2022	Plenary talk	N/A	N/A
Wang	x.		THEORY OF FUSION PLASMAS JOINT VARENNA - LAUSANNE INTERNATIONAL WORKSHOP, Varenna, Italy, 12th September 2022.	Invited talk		
Wang	X.	Nonlinear dynamics of nonadiabatic chirping-frequency energetic particle mode in Tokamak plasmas	28th ITPA Topical Group Meeting on Energetic Particle Physics, <u>Caradache</u> , France, 21st November 2022.	talk	N/A	33267
Wang	т.	Excitation of toroidal Alfvén eigenmode by barely circulating energetic electrons in low density plasmas	Plasma Physics and Controlled Fusion	paper	submitted	33536
Hayward- Schneider	T.	Global electromagnetic gyrokinetic simulations of Energetic Particle driven instabilities in ITER and ASDEX Uograde	6th Asia Pacific Conference on Plasma Physics (AAPPS- DPP2022), <u>online</u> , 9th October 2022.	invited	N/A	33120
Carlexaro	N.	Energetic particle transport: diffusion vs convection and phase-space barriers	48th EPS Conference on Plasma Physics (EPS), Maastricht, Netherlands, 27th June 2022.	poster	published	32056
Biancalani	A.	Interaction of Alfvénic modes and turbulence via the nonlinear modification of the equilibrium profiles	48th EPS Conference on Plasma Physics (EPS), Maastricht, Netherlands, 27th June 2022.	Poster/pa per	published	31903
Sama	TW	Effect of temperature anisotropy on the dynamics of geodesic acoustic modes	Journal of Plasma Physics, 2022	paper	submitted	33147
Ζοςτο	A.	Nonlinear drift- wave and energetic-particle transport in stellarators: solution of the kingtic problem	Journal of Plasma Physics,2022	paper	submitted	33166
Koenies	Α.	A numerical	Physics of Plasmas	paper	10.1063/5.01	32404

First Author	Initials	Title of work	Journal / Conference	Doc. Type	DOI or status of paper	Pinboard ID
		approach to the calculation of the Alfven continuum in the presence of magnetic islands			02239	
Falessi	M. V.	Energetic particle nonlinear equilibria and transport processes in burning plasmas	6th Asia Pacific Conference on Plasma Physics (AAPPS- DPP2022), <u>online</u> , 9th October 2022.	invited	N/A	33199
Wei	S.	Core localized alpha-channeling via low frequency Alfvén mode generation in reversed shear scenarios	Nuclear Fusion	paper	10.1088/1741 -4326/ac968f	33016
Chen	L	On scattering and damping of Toroidal Alfvén eigenmode by drift wave turbulence	Nuclear Fusion	paper	<u>10.1088/1741</u> -4326/ac7cf9	32705
Bottino	A.	Time evolution and finite element representation of phase space zonal structures in ORB5	THEORY OF FUSION PLASMAS JOINT VARENNA - LAUSANNE INTERNATIONAL WORKSHOP, Vareona, Italy, 12th September 2022.	poster, paper	accepted	32694
Wu	¥.	Nonlinear electron phase-space dynamics in spontaneous excitation of falling- tone chorus	:Geophysical Research Letters	paper	<u>10.1029/2022</u> <u>GL100046</u>	32688
Chen	L	Parity-breaking parametric decay instability of kinetic Alfvén waves in a popupiform plasma	Physics of Plasmas	paper	<u>10.1063/5.00</u> <u>91057</u>	32397
Li	Y.Y.	Kinetic Structure of Low Frequency Continuous Spectrum in General Tokamak Geometry	48th EPS Conference on Plasma Physics (EPS), Maastricht, Netherlands, 27th June 2022.	Poster/ paper	published	31816
Falessi	M. V.	Energetic particle nonlinear equilibria and transport processes in burning plasmas	48th EPS Conference on Plasma Physics (EPS), Maastricht, Netherlands, 27th June 2022.	Poster/ paper	published	31766
Ma	R.R.	Theoretical studies of low-frequency Alfvén modes in tokamak plasmas	Plasma Physics and Controlled Fusion	paper	10.1088/1361 -6587/ac434a	31657
U	Y.Y.	Physics of drift Alfvén instabilities and energetic particles in fusion plasmas	THEORY OF FUSION PLASMAS JOINT VARENNA - LAUSANNE INTERNATIONAL WORKSHOP, Varenna, Italy, 12th September 2022.	Invited/pa per	To be submitted	33607

21 (9 papers, 12 invited/contributed)



First Author	Initials	Title of work	Journal / Conforance	Dec Type	DOI or status of	Dinhoard							1	
riist Autilui	initials	The of work	Journary conterence	Doc. Type	paper	ID	First Author	Initials	Title of work	Journal / Conference	Doc. Type	DOI or status of paper	Pir	
Zonca	F	On the self-consistent evolution of the zonal	7th Asia-Pacific Conference on Plasma Physics (AAPPS-	Oral 100% ATEP		36279	Wang	т	Nonlinear dynamics of the reversed shear Alfvén	Plasma Science and Technology	25% ATEP	submitted	36	
Falessi	MV	state Advanced Energetic	DPP2023) 27th Joint EU-US Transport	Oral		36126				eigenmode in burning plasmas				
		Particle Transport Model	Task Force Meeting (TTF 2023), Nancy	100% ATEP			Meng	Meng G	Meng G	A solver for energetic particle transport in	20th European Fusion Theory Conference (EFTC 2023),	Poster 100% ATEP		361
Falessi	ΜV	Nonlinear equilibria and phase space transport in burning plasmas.	20th European Fusion Theory Conference (EFTC 2023), Padova, Italy, 2nd October 2023.	Invited 100% ATEP		36125			space with collision and phase space zonal structures in tokamak plasmas	Padova, italy				
Zonca	F	ON THE NONLINEAR DYNAMICS OF FISHBONES AND ENERGETIC PARTICLE	29th IAEA Fusion Energy Conference, London, United Kingdom, 16th October 2023	Poster 100% ATEP		34120	Wang	x	First Principle gyrokinetic simulations of frequency chirping Alfvén modes in fusion plasmas	29th IAEA Fusion Energy Conference, London, United Kingdom	Poster 50% ATEP		35	
Könies	A	MODES Shear Alfvén Waves within Magnetic Islands	Physical Review Letters	25% ATEP	To be submitted	36067	Bierwage	A	Time-helicity de- resonation (T-H) diagram for energy-selective	Nuclear Fusion	10% ATEP	submitted	35	
An	z	Frequency Chirping of Electromagnetic Ion	Geophysical Research Letters	25% ATEP	submitted	36057			mixing of charged particles during sawtooth crashes in tokamaks					
		Earth's Magnetosphere					Falessi	MV	Advanced Energetic	49th European Conference on Plasma Physics (EPS 2023),	Invited 100% ATEP		35	

27 (15 papers, 12 invited/ contributed)

First Author	initials	The of work	Journal / Conference	Doc. Type	paper	ID	
Wang	т	Nonlinear dynamics of	Plasma Science and	25% ATEP	submitted	36056	
		the reversed shear Alfvén eigenmode in hurning	Technology				
		plasmas					
Meng	G	A solver for energetic	20th European Fusion Theory	Poster		36129	
		particle transport in	Conference (EFTC 2023), Padova Italy	100% ATEP			
		space with collision and	rudova, italy				
		phase space zonal					
		structures in tokamak					
Wang	x	First Principle syrokinetic	29th IAEA Fusion Energy	Poster		35987	
		simulations of frequency	Conference, London, United	50% ATEP			
		chirping Alfvén modes in	Kingdom				
Bierwage	A.	Time-helicity de-	Nuclear Fusion	10% ATEP	submitted	35882	
and a second sec	1	resonation (T-H) diagram					ŀ
		for energy-selective					
		mixing of charged					
		crashes in tokamaks					
Falessi	MV	Advanced Energetic	49th European Conference on	Invited		35683	
		Particle Transport Model	Plasma Physics (EPS 2023), Besterner	100% ATEP			
Biancalani	A	Nonlinear interaction of	Journal of Plasma Physics	50% ATEP	doi.org/10.1017/	35587	
		Alfvenic instabilities and			50022377823001		
		turbulence via the			137		
		equilibrium profiles					
Lauber	Р	Advanced transport	20th European Fusion Theory	Invited	-	35459	
		models for energetic	Conference (EFTC 2023),	80% ATEP			
Tang	c	particles Whistler-mode chorur	Padova Nature Communications	25% ATED	10 1028/#41467-	25215	
reng	1	waves at Mars	Nature communications	LUNATER	023-38776-z	J.Jaky	
Meng	G	Energetic particles	14th International West Lake	Oral		35018	
		transport in constants of	Symposium on Frontier	100% ATEP			
		collision in tokamak	Research and Development,				
		plasmas	Hangzhou, China		-		
Popa	A	An IMAS-integrated	Nuclear Fusion	100% ATEP	10.1088/1741-	34971	
		particle stability			4326/20056		
Chen	L	On Nonlinear Scattering	Nuclear Fusion	25% ATEP	10.1088/1741-	34887	
		of Drift Wave by Toroidal			4326/acf230		
		Alfven Eigenmode in Tokamak Plasmas					
Wang	н	Nonlinear excitation of	Nuclear Fusion	25% ATEP	submitted	34717	-
		energetic-particle-driven					-
		geodesic acoustic mode					
		with Alfven eigenmode in					
		ASDEX-Upgrade					
Mishchenko	A	Numerical tools for	Plasma Physics and Controlled	10% ATEP	10.1088/1361-	34669	
Qiu	z	Effects of system	29th IAEA Fusion Energy	25% ATEP	0307/80000	34558	
		nonuniformity on	Conference, London, United				
		toroidal Alfvén	Kingdom				
		eigenmodes nonlinear saturation					
Bottino	A	Phase Space Zonal	49th European Conference on	50% ATEP	EPS proceedings	34535	
		Structures and	Plasma Physics (EPS 2023),				
		functions in ORB5	borueaux				
Wang	x	Nonlinear dynamics of	Plasma Physics and Controlled	50% ATEP	10.1088/1361-	34518	
		nonadiabatic chirping-	Fusion		6587/acd71f		-
		frequency Alfvén modes					
Biancalani	A	EFFECT OF ZONAL	29th IAEA Fusion Energy	50% ATEP		34536	
		STRUCTURES EXCITED BY	Conference, London, United				
		ALFVEN MODES, ON	Kingdom				
1	-	TURBULENCE		-			

First Author	Initials	Title of work	Journal / Conference	Doc. Type	DOI or status of paper	Pinboard ID
Wang	x	Nonadiabatic frequency chirping Alfvén mode in Fusion plasmas	49th European Conference on Plasma Physics (EPS 2023), Bordeaux	Oral invited 100% ATEP		34512
Wang	н	NONLINEAR EXCITATION OF ENERGETIC PARTICLE DRIVEN GEODESIC ACOUSTIC MODE BY ALFVÉN EIGENMODE IN ASDEX-UPGRADE	29th IAEA Fusion Energy Conference, London, United Kingdom	10% ATEP		34314
Wei	s	Core localized alpha- channeling via low frequency Alfvén mode generation in reversed shear scenarios	49th European Conference on Plasma Physics (EPS 2023), Bordeaux, France	Poster 25% ATEP		34226
Falessi	MV	NONLINEAR EQUILIBRIA AND PHASE SPACE TRANSPORT IN BURNING PLASMAS	29th IAEA Fusion Energy Conference, London, United Kingdom	Poster 100% ATEP		34121
Meng	G	A neoclassical solver for the transport equations of phase space zonal structures of energetic particles	29th IAEA Fusion Energy Conference, London, United Kingdom	Poster 100% ATEP		<u>34111</u>
Lauber	Ph	Advanced transport models for energetic particles	29th IAEA Fusion Energy Conference, London, United Kingdom,	Poster 100% ATEP		34092
Wang	x	First principle gyrokinetic simulations of frequency chirping Alfvén modes in Fusion Plasmas	29th IAEA Fusion Energy Conference, London, United Kingdom	Poster 50% ATEP		34091
Vlad	G	Non-linear benchmark between HYMAGYC, MEGA, ORB5 and XTOR-K codes using the NLED- AUG test case to study Alfvénic modes driven by energetic particles	29th IAEA Fusion Energy Conference, London, United Kingdom	Poster 50% ATEP		34090
Bierwage	A	Energy-Selective Confinement of Alpha Particles during Benign Sawtooth Crashes in a Laree Tokamak Plasma	29th IAEA Fusion Energy Conference, London, United Kingdom	Oral 10% ATEP		<u>34015</u>
Mishchenko	A	Towards burning plasmas: theory and simulations	29th IAEA Fusion Energy Conference, London, United Kingdom	Poster, 10% ATEP		33969
U	Y	Physics of drift Alfvén instabilities and energetic particles in fusion plasmas	Plasma Physics and Controlled Fusion	25% ATEP	10.1088/1361- 6587/acda5e	33937
Falessi	MV	Nonlinear equilibria and transport processes in burning plasmas	New Journal of Physics	100% ATEP	10.1088/1367- 2630/ad127d	36555
Ma	RR	Low-frequency shear Alfvén waves at DIII-D: theoretical interpretation of experimental observations	Physics of Plasmas	25% ATEP	10.1063/5.01411 86	33689
Milovanov	A	Turbulence spreading by the resonant wave-wave interactions: A fractional kinetics approach	Physical Review E	50% ATEP	submitted	36592



N Chen et al : 16th September 2024 | DocumentID : 38814 : Drift wave solitons and zonal flows: implication on staircase formation Journal : SCIENCE CHINA Physics, Mechanics & Astronomy, . Co-authors : L. Chen, F. Zonca and Z. Qiu L Chen et al: 12th September 2024 | DocumentID: 38789 : Effects of Zonal Fields on Energetic-Particle Excitations of Reversed Shear Alfv'en Eigenmode: Simulation and Theory Journal : Nuclear Fusion, . Co-authors : P. Liu, R. Ma, Z. Lin, Z. Qiu, W. Wang and F. Zonca T Hayward-Schneider et al : 4th September 2024 | DocumentID : 38754 : Global gyrokinetic instabilities going to high plasma beta Conference : Joint Varenna-Lausanne International Workshop, Varenna, Italy, 2nd September 2024. A Koenies et al : 14th August 2024 | DocumentID : 38553 : Calculation of Alfvén eigenmodes within Magnetic Islands Conference : 24th International Stellarator/Heliotron Workshop (ISHW), Hiroshima, Japan, 9th September 2024. F Zonca et al : 2nd August 2024 | DocumentID : 38486 : Universal behaviour of frequency chirping fluctuations in magnetized plasmas Conference : Joint Varenna-Lausanne International Workshop, Varenna, Italy, 2nd September 2024. Co-authors : L. Chen, M. V. Falessi, X. Tao, and Z. Qiu T Havward-Schneider et al : 14th June 2024 | DocumentID : 38084 : Global gyrokinetic instabilities going to high plasma beta Conference : Joint Varenna-Lausanne International Workshop, Varenna, Italy, 2nd September 2024. G Wei et al : 9th April 2024 | DocumentID : 37679 : Calculation of toroidal Alfvén eigenmode mode structure in general axisymmetric toroidal geometry Journal : Physics of Plasmas, . Co-authors : M.V. Falessi, T. Wang, F. Zonca, Z. Qiu Published Title : Calculation of toroidal Alfvén eigenmode mode structure in general axisymmetric toroidal geometry DOI: 10.1063/5.0213242 L Chen et al : 10th February 2024 | DocumentID : 37161 : On beat-driven and spontaneous excitations of zonal flows by drift waves Journal : Physics of Plasmas, . Co-authors : Z. Oiu, F. Zonca Published Title : On beat-driven and spontaneous excitations of zonal flows by drift waves DOI: 10.1063/5.0203053 P Lauber et al : 2nd February 2024 | DocumentID : 36991 : ATEP: A phase space resolved transport model for energetic particles Conference : 50th EPS Conference on Plasma Physics (EPS 2024), Salamanca, Spain, 8th July 2024. Co-authors : M. V. Falessi, G. Meng, T. Havward-Schneider, V.-A. Popa, F. Zonca, M. Schneider G Meng et al : 2nd February 2024 | DocumentID : 36970 : Theoretical, Numerical, and Experimental Studies of Advanced Transport Models for Energetic Particles Conference : 50th EPS Conference on Plasma Physics (EPS 2024), Salamanca, Spain, 8th July 2024. Co-authors : P. Lauber, Z. Lu, M. Falessi, J. Bao, F. Zonca N Chen et al : 31st January 2024 | DocumentID : 36920 : Drift wave soliton formation via forced-driven zonal flow and implication on plasma confinement Journal : Physics of Plasmas, . Co-authors : L. Chen, F. Zonca and Z. Qiu Published Title : Drift wave soliton formation via forced-driven zonal flow and implication on plasma confinement

DOI: 10.1063/5.0201169

P Lauber et al : 3rd October 2024 | : ATEP - a reduced model for EP transport in CoM space Conference : 32nd ITPA EP meeting (online)

P Lauber et al : 11th October 2024 | : ATEP - a reduced model for EP transport in CoM space Conference : CNPS seminar (online)

13(7 papers, 5 invited/contributed)

∑ 74 (38 papers, 36 invited/contributed)



ATEP highlights and outlook

time: 25 +15 mins



WPI: theoretical framework - PSZS transport theory



[Zonca & Chen, NJP15 Zonca & Chen et al. NJP 17, NJP 21] [Zonca et al, JPCS 2021] [F. Zonca et al, IAEA FEC 2023] [F. Zonca et al, AAPPS-DPP 2023] [F. Zonca JPP Colloquium, Jul 2024] [M-V. Falessi, PoP 2018, PoP 2019] [M.V. Falessi et al, EPS 2023, invited talk] [M.V. Falessi et al, EFTC 2023, invited talk] [M.V. Falessi et al, IAEA FEC 2023] [M.V. Falessi et al, IAEA FEC 2023]



- without approximations: solving nl GK full-F equations
- crucial new element: introduce concept of long-lived formations in the particle phase space (PSZS); separate from fast fluctuating contributions
- nonlinear envelope equations for the self-consistent evolution of the SAW fluctuation spectrum driven by EPs and the PSZS transport equations can be cast in form of a Dyson-Schrödinger equation (='DSM')
- Dyson Schrödinger model is superset of various models presently used in community
- other limits can be obtained: kick model, QL, RBQ, Qualikiz,...

WPI: theoretical framework - PSZS transport theory



$$\frac{\partial}{\partial t}\overline{F_{z0}} + \frac{1}{\tau_b} \left[\frac{\partial}{\partial P_{\phi}} \overline{\left(\tau_b \delta \dot{P}_{\phi} \delta F \right)_z} + \frac{\partial}{\partial \mathcal{E}} \overline{\left(\tau_b \delta \dot{\mathcal{E}} \delta F \right)_z} \right]_S = \overline{\left(\sum_b C_b^g \left[F, F_b \right] + \mathcal{S} \right)_{zS}}$$

- include zonal fields as e.m. counterpart of phase space zonal structures -comprehensive description of nonlinear equilibrium
- nonlinear equilibrium connected to (anisotropic) CGL description
- accounting in particular for meso-scales introduced by EPs, but also background transport has phase space features [S.J.Wang et al. PRL 2024, ITB by ITG]
- Self-consistent description of EPM repeated burst dynamics using the PSZS theoretical framework : application of theory to EGAM; ready for comparison with simulations

$$\Delta_{1} = -ie^{-il\vartheta_{c}} \left[e^{iQ_{z}} \left(\delta\dot{\theta}_{z}\partial_{\theta} + \delta\dot{\varepsilon}_{z}\partial_{\varepsilon} \right) \right] e^{il\vartheta_{c}}$$

$$\Delta_{1} = -ie^{-il\vartheta_{c}} \left[e^{iQ_{z}} \left(\delta\dot{\theta}_{z}\partial_{\theta} + \delta\dot{\varepsilon}_{z}\partial_{\varepsilon} \right) \right] e^{il\vartheta_{c}}$$

$$\Delta_{2} = \sum_{\substack{l' \\ e^{-il\vartheta_{c}}} \left[e^{iQ_{c}} \left(\delta\dot{\theta}_{G}\partial_{\theta} + \delta\dot{\varepsilon}_{G}\partial_{\varepsilon} \right)^{*} \right] e^{il\vartheta_{c}}} \frac{1}{(\omega_{GII} - l'\omega_{b})}$$
[F. Zonca et al, IAEA FEC 2023]
[F. Zonca et al, AAPPS-DPP 2023]

$$\sum_{\substack{l' \\ e^{-il'\vartheta_{c}}} \left[e^{iQ_{c}} \left(\delta\dot{\theta}_{G}\partial_{\theta} + \delta\dot{\varepsilon}_{G}\partial_{\varepsilon} \right)^{*} \right] e^{il\vartheta_{c}}} .$$

$$+ 3D \text{ version of PSZS equation [A. Zocco et al, 2023]}$$



WP2: implementation of reduced models





WP2: ID beam plasma model



N. Carlevaro, G. Montani, M.V. Falessi, Ph. Lauber, EPS22, P5a.113 ID: 32056 N. Carlevaro, M.V. Falessi, G. Montani, Ph. Lauber, submitted to JPP (Sept. 2024) ID: 38909

- previously: successful benchmark with HAGIS for ITER 15 MA case, 2x EP density, [Schneller, NF 2016]
- add tracers to system an determine diffusive (τ) vs. convective (τ^2) scaling:



- tracers dynamics studied with Lagrangian Coherent structures: relevant structures/ barriers change during non-linear evolution: from inner to outer radial transport peak
- different behaviour of high-n TAE and low-n TAE branch (super-diffusive)!

τ=550

0.60 0.65

radius

7=490

0.35 0.40 0.45 0.50 0.55

0.45 0.50 0.55 0.60 0.65

radius

N. Carlevaro, G. Montani, M.V. Falessi, Ph. Lauber, EPS22, P5a. I 13 ID: 32056 N. Carlevaro, M.V. Falessi, G. Montani, Ph. Lauber, submitted to JPP (Sept. 2024) ID: 38909

peaked structure with ballistic-like motion consistent



with non-diffusive transport particle flux divergence



radius

 investigate convective EPM transport analytically: in force-free limit it was confirmed that Lévy flights do not influence the dynamics of EPMs [A. Milovanov et al PHYSICAL REVIEW E (2021)]

0.35 0.40

0.50 0.55

radius

0.60 0.65

 no "heavy" power-law tails with regard to the long-time distribution of EPs have been found in simulations. Explanation: dissipative nonlinearity and continuum damping of EPM can effectively stabilise the nonlocal features typical of Lévy flights (Milovanov in preparation 2023).

0.35 0.40

0.50 0.55

radius

WP2: DAEPS/FALCON - LIGKA/ATEP comparison





[Y. Li, EPS 2022 ID: 31816]

• successful benchmark of continua with LIGKA

WP2: DAEPS/FALCON/PEANUT



- global modes, expressed in extended ballooning space
- explicit expressions for EP fluxes derived
- ongoing benchmarks on EP fluxes

Z(m)

(b)

Λ

6

Ε

WP2: ATEP code - physics and structure





WP2: extended LIGKA for non-Maxwellian distributions

- review and improve numerical algorithm (Hilbert transform) for the integration of general distribution functions with resonance denominator [Xie, 2013]
- in depth analysis of pole structure in the presence of non-analytical features of F - (cut-off velocity, absolute values,...)
- application the EGAM dispersion relation
- implementation of improved algorithm into LIGKA

[master thesis R. Stucchi, 2023]



Figure 4.9: 'Strong smoothing': real part of $Z(z, f'_{\kappa=1,\alpha=0,1})$ and absolute value of $\epsilon_{k'}(z)$.



Figure 4.10: 'Weak smoothing': real part of $Z(z, f'_{\kappa=1,\alpha=0.02})$ and absolute value of $\epsilon_{k'}(z)$.





WP 2: first steps towards a 3-d version

- in analogy to the local version two-dimensional gyrokinetic code LIGKA, develop a three-dimensional extension -> stellarator equilibria calculated with VMEC.
- kinetic part: drift kinetic code CAS3D-K, benchmark against analytical model of Kolesnichenko et al. and EUTERPE/ STAE-K code.
- Id QL mixing length model implemented [Ch. Slaby, 2023]

Stellarator specific modifications

- ✓ large aspect ratio in Boozer coordinates to keep the integrals tractable
- 🗹 quasi-neutrality, Ampère's law
- Kinetic equation
- $\hfill\square$ compose terms (tedious, but straight-forward)
- □ decide upon approximation on the left hand side of Eq. (1) (MHD coupling in W7-X is strong \Rightarrow n_g must have a certain size otherwise the quantitative agreement in the MHD limit is not sufficient)



$$B(r,\vartheta,\varphi) = B_0\left(\epsilon_{00}(r) + \epsilon_t(r)\cos\vartheta + \epsilon_h(r)\cos(m_h\vartheta + n_h\varphi) + \epsilon_m(r)\cos\varphi\right)$$

$$g^{ss}(r,\vartheta,\varphi) = \sum_{i=1}^{n_g} \epsilon_i^g(r) \cos(m_i \vartheta + n_i \varphi)$$



WP2: ATEP code - physics and structure





WP2: ATEP code - fluxes in CoM space





Eurofusion Science Meeting: ENR-MOD.01.MPG 23.10.2024

WP 2: ATEP code - energy conserving QL model



 $\frac{\partial}{\partial t}\overline{F_{z0}} + \frac{1}{\tau_b} \left[\frac{\partial}{\partial P_{\phi}} \overline{\left(\tau_b \delta \dot{P}_{\phi} \delta F \right)_z} + \frac{\partial}{\partial \mathcal{E}} \overline{\left(\tau_b \delta \dot{\mathcal{E}} \delta F \right)_z} \right]_S = \left(\sum_b C_b^g \left[F, F_b \right] + \mathcal{S} \right)_{zS}$

$$\frac{d}{dt} \left(\mathscr{E} + \sum_{k} W_{k} \right) = -2 \sum_{k} \gamma_{d,k} W_{k}$$
$$\mathscr{E}(t) = \int dv_{P_{\phi},E,\Lambda} E \cdot F_{EP}(t)$$

amplitude dependent $\langle dP_{\Phi}/dt \rangle$, $\langle dE/dt \rangle$ needed!





WP 2: ATEP code: back-mapping to configuration space







return non-linear EP density, current, pressure to transport code

WP 2: collisional ATEP-3D



[G Meng, et al, NF 2024]

$$\frac{\partial}{\partial t}\overline{F_{z0}} + \frac{1}{\tau_b} \left[\frac{\partial}{\partial P_{\phi}} \overline{\left(\tau_b \delta \dot{P}_{\phi} \delta F \right)_z} + \frac{\partial}{\partial \mathcal{E}} \overline{\left(\tau_b \delta \dot{\mathcal{E}} \delta F \right)_z} \right]_{\mathcal{S}} = \left(\sum_b C_b^g \left[F, F_b \right] + \mathcal{S} \right)_{z \, S}$$

- use collision operator in HAGIS code [A. Bergmann, PoP 2001]
- calculate orbit averaged collision-coefficients in CoM space, Λ- scattering needs to be included
- separate co- and counter-passing regions, use IMAS-given n,T profiles, parallel implicit 3-D solver



Gaussian source at IMeV, ITER case realistic sources to be implemented





due to averaging, code is much faster (~30 times) than full orbit following codes

here: no waves

WP2: preliminary results ATEP-3D: TAE and collisions



test case: 100 ms, fixed dB/B=10-3

with collisions:

considerable energy relaxation

pitch angle scattering smoothes resonances

WP2: zonal field model included in ATEP





- comparison with comprehensive AE + ZF studies + turbulence ongoing (ORB5/TSVVI0) [J. N. Sama, PoP (2024)]
- study saturation scaling
- explore coupling to reduced turbulent transport models



WP3: develop diagnostics in non-linear codes for comparison

WP3: develop diagnostics in non-linear codes for comparison

- each mode yields an "island"; islands overlap allowing for larger radial excursion of linearly resonant particles
- density-gradient and flux peaks are tightly related to the radial boundaries of such overlapping region; power peaks are not
- power peaks are instead related to the boundaries of the resonance regions
- thus, power transfer is mainly resonant
- important insight for multi-mode treatment in reduced models!

- PSZS are not only very useful quantity when comparing the results of nonlinear codes and transport models
- · possibility to restart simulations consistently

EGAM ORB5, A Bottino, Varenna 2022, JCPS 2022

- based on pioneering work in HMGC [S. Briguglio], PSZS diagnostic has been implemented in ORB5 [Bottino, JPC 2022]
- non-linear phase: ITER (101006) TAE n=18,19 with comparable amplitudes

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remaining differences mostly understood (equilibrium, mode structure, SD vs hot Maxwellian)

WP3: Connect non-linear GK simulations to theory

scaling of chirping rate obtained with comprehensive AE + ZF studies + turbulence (ORB5) from analytical theory: $(\Delta \omega / \Delta t)_{sat} \sim (\omega_{res}') A_{sat}$ 0.40 $n_{EP0}/n_i = 0.006$ $n_{FP0}/n_i = 0.0065$ $n_{FPO}/n_i = 0.007$ $n_{FP0}/n_i = 0.0075$ $n_{FP0}/n_i = 0.008$ $n_{\rm EP0}/n_i = 0.0085$ 0.35 0.30 0.0025 0.25 vary dk vs gk ¥ 0.20 EP density scan **N**EP 0.15 0.0020 temperature gradient scan 0.10 0.05 0.00 500 1500 1000 500 1000 1500 500 1000 1500 500 1000 1500 500 1000 1500 500 1000 1500

non-adiabatic evolution: $\dot{\omega} \sim \omega_B^2 \sim A$ phase locking [Zonca et al NJP 2015]

[X. Wang EPS invited talk 2023, PPCF 2023]

WP3: MHD-kinetic hybrid models deliver benchmarks for reduced models

- in TSVV10: non-linear benchmark for NLED AUG case has been carried out [G.Vlad, IAEA FEC 2023] important benchmark for ATEP code suite
- note large instability-induced EP transport, deviating substantially from neoclassical values

WP3: MHD-kinetic hybrid models deliver benchmarks for reduced models

STRUPHY: [S. Possanner et al]

- follows stringent mathematical formulation: geometric finite elements + PIC ⇒ improved non-linear stability
- trustworthy long-time simulations
- modular python package, contains a collection of mappings, equilibria, initial conditions, dispersion relations
- open source
- several successful benchmarks (ITPA TAE)
- implementation of energy-conserving hybrid MHD-<u>drift-kinetic</u> model enabling long-time numerically stable simulations [B.K. Na, 2023]
- coupling to GVEC 3D equilibrium solver for application to tokamaks and stellarators finished
- Parallelisation (MPI, OpenMPI) for PIC and FEEC part
- addition of canonical Maxwellians (no need for initial relaxation)
- addition of Fourier and binomial filters (noise reduction)
- simulation of TAEs (using filters) into the nonlinear regime

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presently the following scenarios are available on ITER/Gateway (IMAS) and have been investigated with the EP stability WF:

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AUG*
TCV* [M.Vallar, NF 2023, ID 33003]
JT-60SA
DTT
ITER*: I5MA (various), waiting for re-baselining
JET*: 99896 (ongoing, 3 EP species)
DEMO
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*time dependent [JET thanks to J. Ferreira]

further needs:

- location for publicly available IMAS database validated by WPTE for sharing on gateway with standard for 'mandatory fields' in IDS
- IMAS input from heating codes EPCoM development at ITER [Brochard]

AUG EP 'supershot' scenarios: D NBI into D plasmas, D -> H and H-> H

WP 4: AUG advanced scenarios

interplay of low frequency mode activity, core localised TAEs, shear reversal and T_i peaking

- PSZS and phase space flows can be measured!
- application of EP-Stability WF for interpretation of AUG INPA (Imaging neutral particle analyser) data

J. Rueda Rueda [FEC, NF 2023/24]

ATEP enabled new routes to EP transport analysis and prediction via:

- new theoretical framework
- new common concept of connecting non-linear code results to reduced models (PSZS)
- new common EP (transport) code developments
- new analysis methods
- new IMAS based infrastructure

impact:

- close collaboration with TSVV#10 on various levels of physics and simulation
- emerging collaboration with TSVV#11 challenging but vital route for predictive reduced models
- EP-Stability WF ready to use in WPTE (example TCV)
- coupling of ATEP/DAEPS/Id models to transport codes needs to be done

support and infrastructure:

- training course has been offered on EP- Stability WF (material + videos)
- easy access via ITER/Gateway to (FALCON/PEANUT, EP-WF)
- open source STRUPHY
- significant overhead to move all tools to new AL, IMAS versions, clusters (libraries)
- ACH help for assessing PAF possibilities

further needs and recommendations:

- need for ENR type work: basic theory is main driver! developing models, try new approaches both conceptually and numerically, especially for coupling to turbulent transport models
- dedicated effort needed to couple, to expand and to speed up building blocks for the use in transport solvers
- dedicated experiments: PSZS/INPA measurements in discharges with various EP transport regimes/ fusion mock-up experiments/control of EP transport via DEMO relevant actuators (fuelling/impurities)

backup

I WPI-MI 2D and 3D formulation of Phase Space Zonal Structures transport equations, and definition of Zonal State with corresponding equations for Zonal Field Structures governing equations with separated dependences from nonlinear radial envelope and parallel mode structures, end 2021

2 WPI-M2 study of EPM dynamics in the presence of linearized collision integral and source terms, end 2022

3 WP2.1-M1 Benchmark of DAEPS in general toroidal geometry against reduced local LIGKA analysis for trapped particles, mid 2022

4 WP2.1-M2 Computation of nonlinear coupling coefficients in the nonlinear envelope equation and of EP fluxes in phase space, end 2022

5 WP2.1-M3 Benchmark of DAEPS in general stellarator geometry (jointly with WP2.3), end 2023

6 WP2.2-MIDevelop (semi-)analytical trapped particle model for LIGKA, mid 2022fully7 WP2.2-M2Test and tune analytical global mode structure model for LIGKA/HAGIS, end 2022partly

not started

fully

partly

not started

8 WP2.2-M3 Generalize fast analytical LIGKA version to non-Maxwellian distribution functions, in particular slowing down End 2023

9 WP2.3-M1 Derive equations for local LIGKA-like version in 3D Mid 2023 10 WP2.3-M2 Local eigenvalue code in 3D (LIGKA) including passing particles

II WP3.I-MI Implementation of the ID "mapping" in general geometry End 2021

12 WP3.1-M2 Interface of the ID "mapping" in the ITER/IMAS workflow; Investigation of the influence of turbulence on the ID "mapping"

13 WP3.2-MI Probability density function of the radial displacements of tracer particles deduced from EP transport models Mid 2022

14 WP3.2-M2 The hypothesis of super-diffusive spreading of tracer particles on Lévy flights tested in simulations, hybrid flight- convective model complete mid 2023

Milestones 3

15 WP3.3-M1 Extend unperturbed orbit integration routines and averaging procedures in order to calculate phase space fluxes in HAGIS mid 2022 (fully)

16 WP3.3-M2 Explore methodology and possibly implement RABBIT as EP source into HAGIS End 2023 (replaced by collisional ATEP 3D)

17 WP3.3-M3 Finish reduced EP transport workflow based in LIGKA/HAGIS within IMAS mid 2024

18 WP3.4-MI Develop and implement radial diffusion model to RABBIT End 2022 (cancelled)

19 WP3.4-M1 Apply extended RABBIT model to transient events, e.g. EP evolution during sawtooth cycles (cancelled)

20 WP3.5-M1 Flux calculations for frequency-chirping modes, compared to fixed frequency modes; add magnetic axis to STRUPHY End 2021

21 WP3.5-M2 Implementation of generic EP distributions into XHMGC, HYMAGYC and MEGA; add drift-kinetic model to STRUPHY; couple to GVEC 3D equilibrium solver for application to tokamaks and stellarators

22 WP3.6-MI Calculate zonal structures in the presence of turbulence with ORB5 for validation of the reduced models End 2021

23 WP3.6-M2 Calculate particle and heat transport in the presence of turbulence with ORB5 for validation of the reduced models End 2022 not started

24 WP4-M1 Plan and conduct AUG experiments in the view of clear and well-diagnosed transitions between EP transport regimes End 2021/22

fully