



# Generation of energetic electrons during ECRH start up

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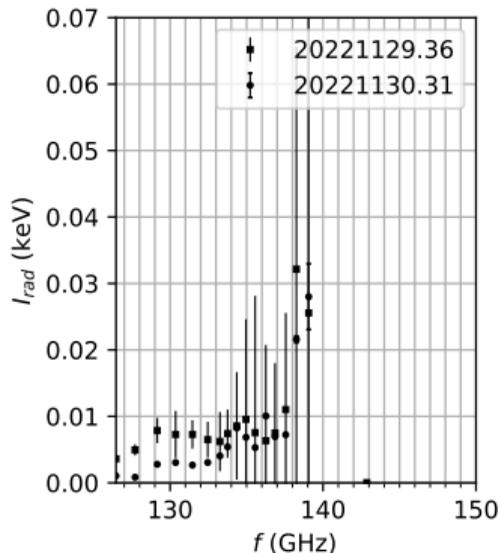


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# Experimental electron cyclotron emission spectrum

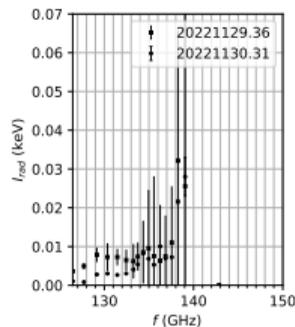
- Downshifted spectra are observed early on in experiments.
- Electron cyclotron emission occurs at  $\omega = 2 \frac{|q_e|B}{m_e \gamma}$ .
- The downshift corresponds to electrons located at the magnetic axis with an energy of a few keV to 50 keV.
- The line-integrated density is low, thus precluding a change in  $B$ .
- How are such energetic electrons created during normal ECR operation?

Emission spectra at 6 – 8 ms, line integrated density is less than  $1 \times 10^{16} \text{ m}^{-2}$ .  $\int dl \approx 1 \text{ m}$



# Outline

- Experimental spectra.
- Non-linear (and linear) cyclotron interaction.
- Analysis of non-linear ECR in the context of W7-X.
- Combine non-linear ECR with electron-orbits in W7-X.



## Experimental spectra

At what point in time are spectra taken?

1. The line-integrated density is low.
2. There's a noticeable downshift.
3. No up-shifted signal.

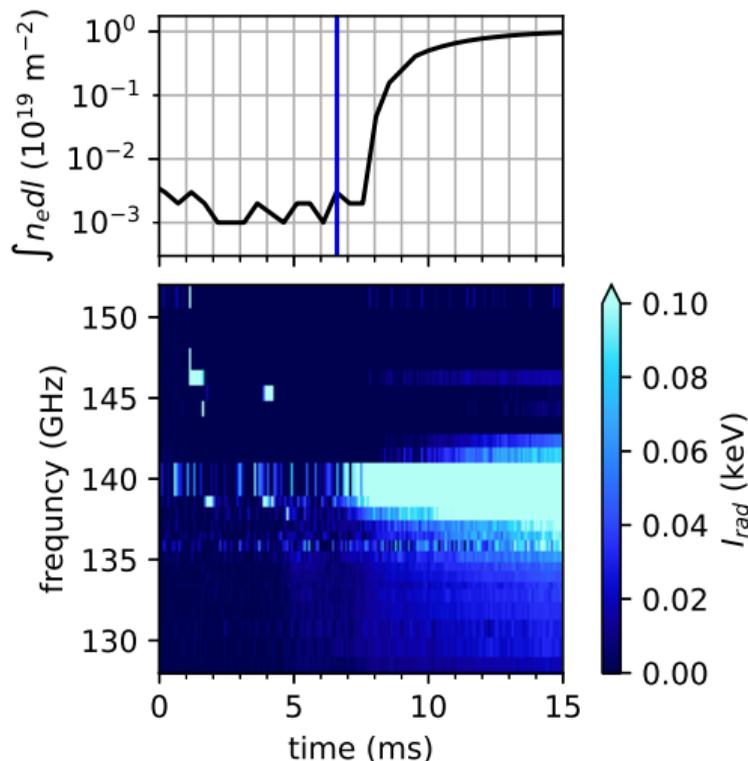
Only experiments with:

1. C1, D1, E1,
2. max one extra gyrotron,

are considered.

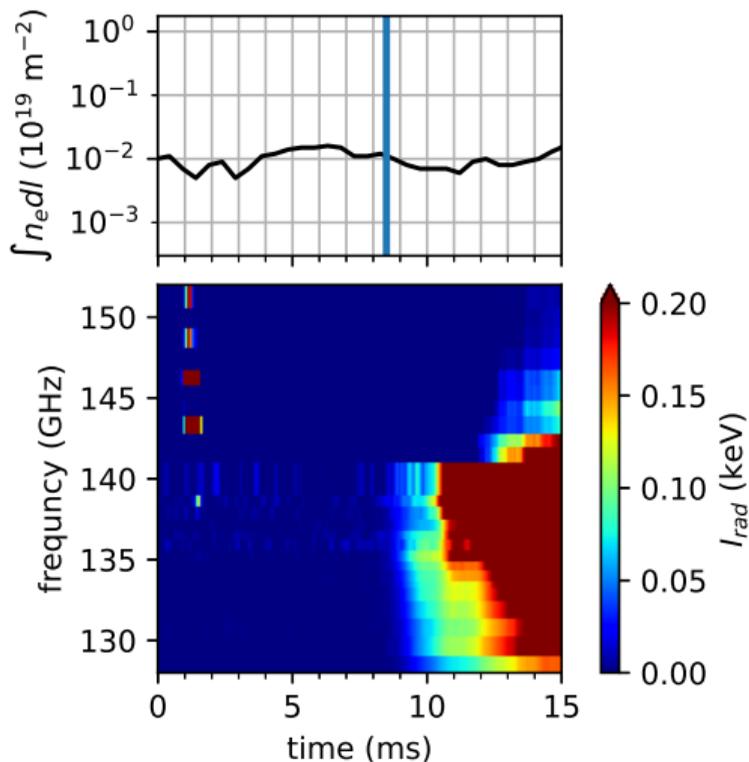
(No experiment with only C1, D1, E1 and ECE exists in DB.)

Right figure shows experiment 20221129.36.



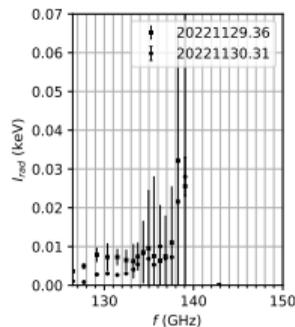
## Experimental spectra

- Spectra are taken from `Minerva.ECE.RadiationTemperatureSpectra/relative_calibrated_signal_DATASTREAM`.
- Errors are taken from `RadiationTemperatureSpectra.StatisticalErrors`
- Spectra are time averaged (again),
  - 20221129.36: for 0.4 ms ("20" datapoints),
  - 20221130.31: for 0.1 ms ("5" datapoints),to reduce noise.
- $\sigma^2 = \frac{1}{n^2} \sum \sigma_{stat}^2$
- Right figure shows experiment 20230316.20.



# Outline

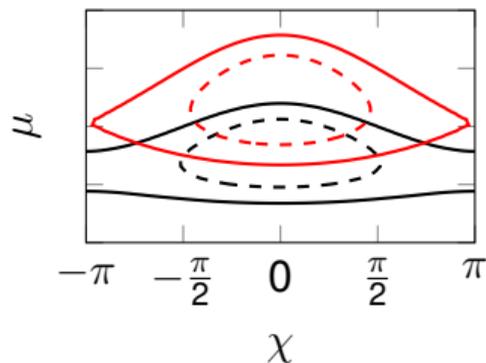
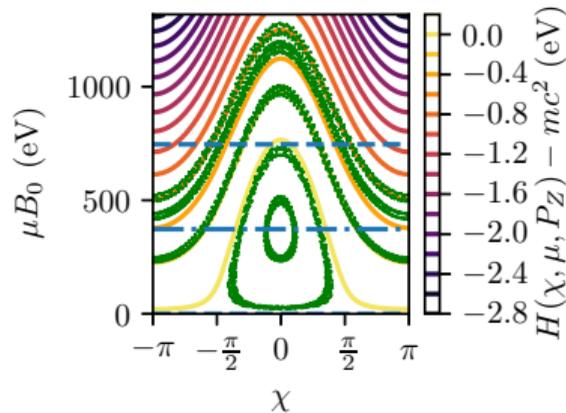
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## Cyclotron resonance theory

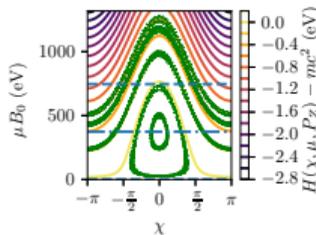
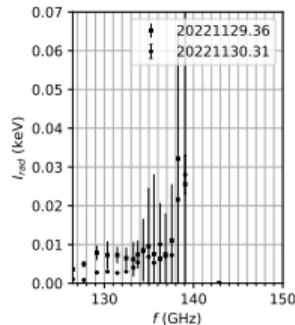
- Wave interaction can be written as a time-independent Hamiltonian  

$$H = mc^2\gamma - \frac{\omega m}{ne}\mu + H_1.$$
- The wave interaction term is  $H_1 \propto E\mu \cos(\chi)$ .
- The interaction angle is  $\chi = n\zeta - \omega t + k_{\parallel}z$ , where the gyro-angle is denoted by  $\zeta$ .
- Electron kinetic energy oscillates due to wave interaction.
- The oscillations are associated with a resonance width.
- Multiple beams allow for resonance overlap in a contracted phase-space.



# Outline

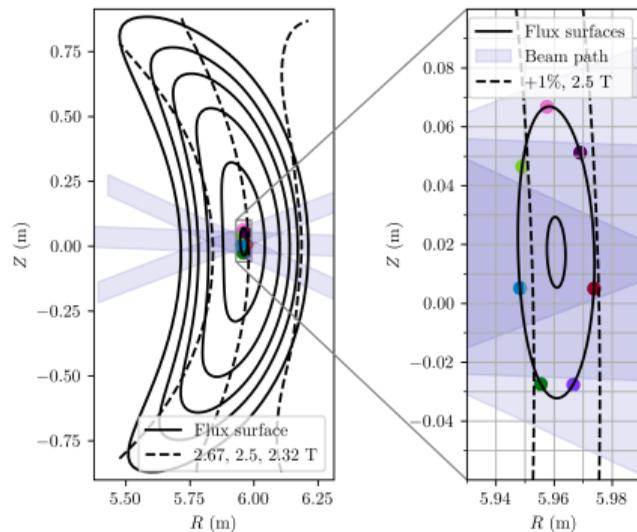
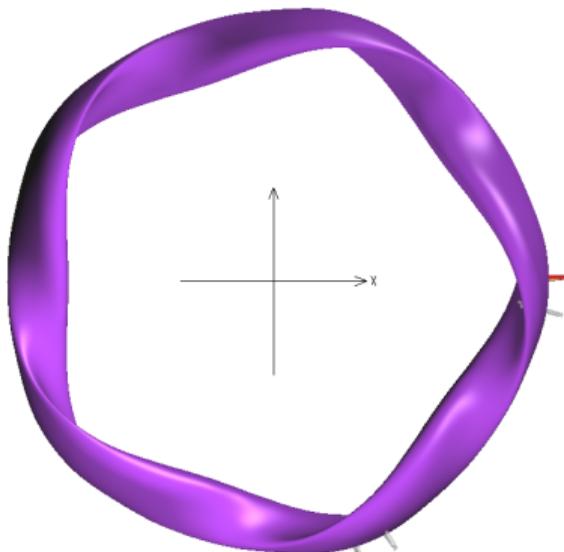
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## Resonance overlap due to rotational transform

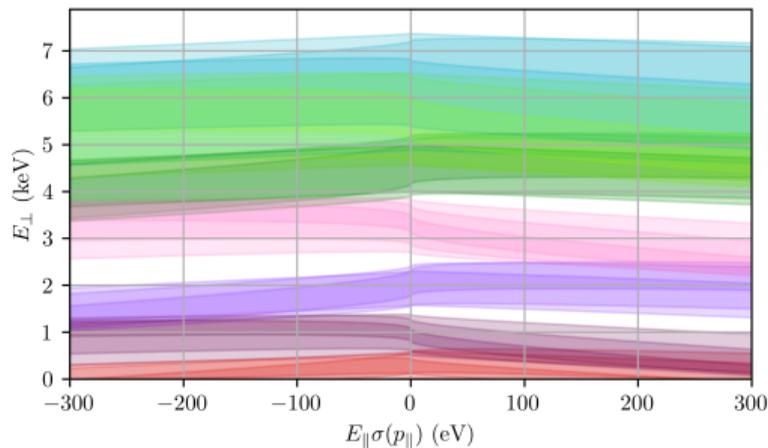
- Electrons follow field lines.
- The rotational transform at the cold resonance is  $\iota \approx 6/7$ .

A field line crosses the gyrotron beams multiple times.



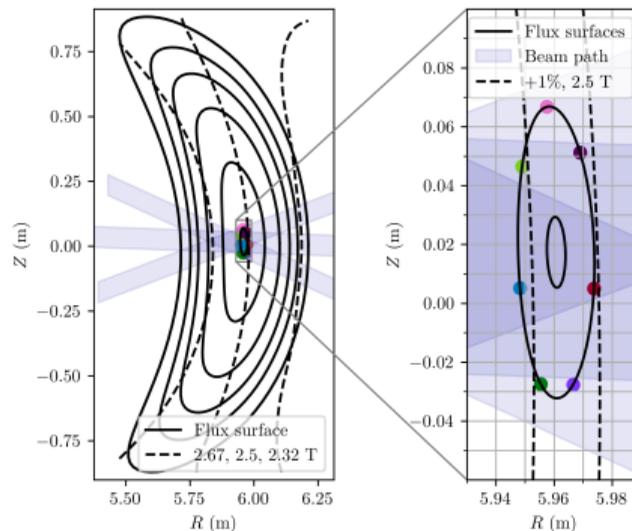
# Resonance overlap due to rotational transform

Resonance width at the different points:



Resonance overlap! Chirikov criterion predicts chaotic orbits over many beam passages.

A field line crosses the gyrotron beams multiple times.



## Detailed analysis of particle trajectories — Monte Carlo approach



Solve the equation of motion for different starting points to create a map from initial conditions to beam crossing positions.

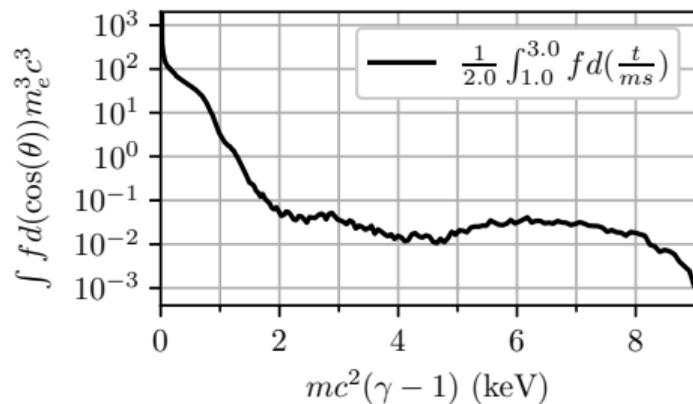
Apply map with random  $\chi$  (random initial gyro-angle).

Push electrons one time around the torus to next beam intersection. Assume they follow field lines.

Apply bounce averaged pitch angle scattering, and Bethe slowing down.

Calculate next initial condition for the map.

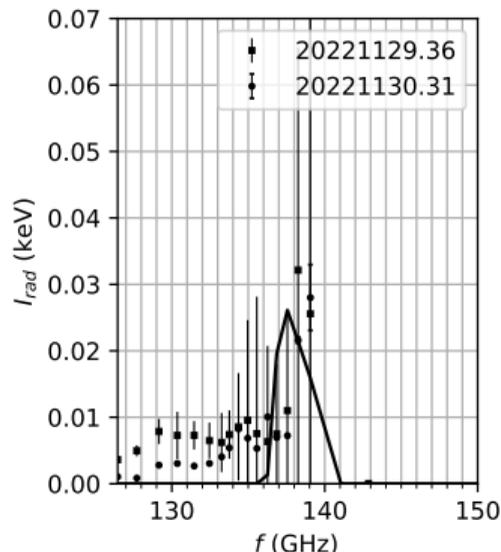
## Distribution function — electron cyclotron emission spectrum



The spectrum (right figure) from the distribution function (left figure) from Monte Carlo modelling explains the peak of the experimental spectra (right figure). How is the full tail created?

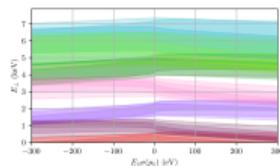
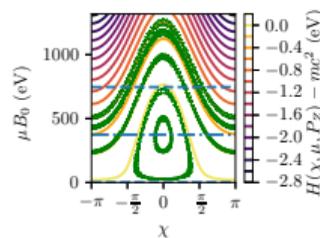
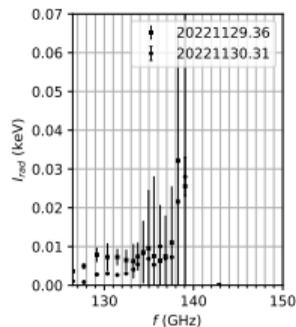
Emission spectra from experiment (squares and circles) and distribution function through TRAVIS (solid black)

[N.B. Marushchenko, Y. Turkin, H. Maassberg,  
Comput Phys Commun. 2024;185(1):165-176]



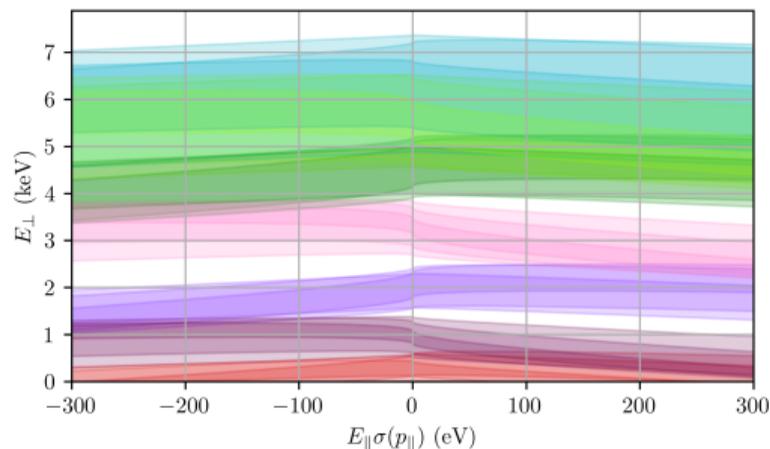
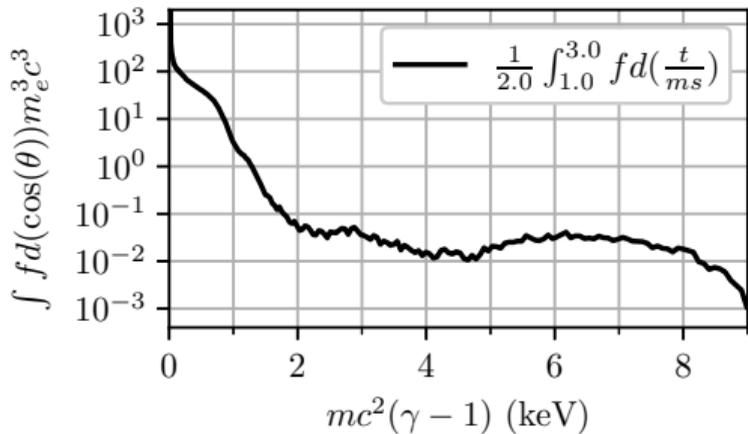
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## Modelling the full tail by understanding the distribution function

Resonance widths:



- The distribution function is flat up to the maximum resonance energy.

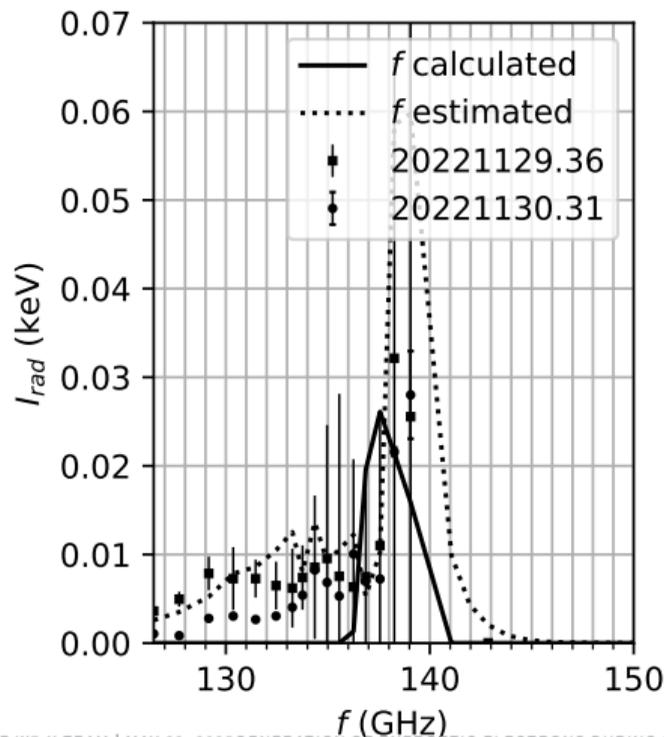
- If an electron reaches a higher flux surface, assume the distribution function is flat up to the maximum resonant energy.

## Using Neoclassical Transport to Model the Drift

- Drift is complicated by that the collisional time-scale is similar to the collisionless drift time-scale.
- Assume drift to come from the neoclassical diffusion.
- $D_{11} \approx 20 \text{ m}^2 \text{ Hz}$  for  $\nu_* = 1.5 \times 10^{-5}$  at  $\rho = 0.25a$  and  $\rho = 0.5a$ ,  $a$  minor radius.  
[C.D. Beidler, *et.al.*, NF, 51(7):076001, 06.2011]
- Solve  $\frac{\partial n}{\partial t} = D_{11} \frac{1}{\rho} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial n}{\partial \rho} \right)$  with boundary condition  $n = \text{const}$  at  $\rho = \rho_{\text{overlap}}$ .
- $\rho_{\text{overlap}}$  is the radius of flux surface overlap. The density is assumed constant at this radius because the distribution function quickly reached a steady state due to fast wave interaction.
- We assume the velocity distribution is constant up to the maximum resonance energy.

## Full spectra explained by drifts

- Neoclassical drift and resonance interaction explain complete spectra.
- The energy needed to explain the 126 GHz signal is 25 keV.
- The only free parameter is the scaling parameter determining the density of fast electrons at the core.



## Conclusions



- The ECE spectra reveal energetic electrons during early plasma formation.
- Such energetic electrons are not expected from the plasma bulk or from a wave-particle interaction at a fixed position.
- A resonance overlap structure becomes apparent upon consideration of the entire flux surface.
- This overlap enables the formation of a magnetically-trapped supra-thermal population of electrons, which subsequently drift radially.
- Following the process of collisional detrapping, electrons are once again able to interact with the wave, becoming magnetically trapped with a higher kinetic energy.
- The repetition of this process allows for the creation of high-energy electrons, which are sufficient to explain the observed ECE spectra.