

Searching for spinel-structured materials with varying cation composition for enhanced radiation tolerance as optical windows for fusion applications S Reference – ENR-MAT.02.KIPT) – Interim annual report 2024

Sergii Ubizskii

Consortium:



Lviv Polytechnic National University, UKRAINE – **Sergii Ubizskii**
(Kharkiv Institute of Physics and Technology)



Laboratoire des sciences des procédés et des matériaux, CNRS, CEA,
FRANCE – **Frédéric Schoenstein**



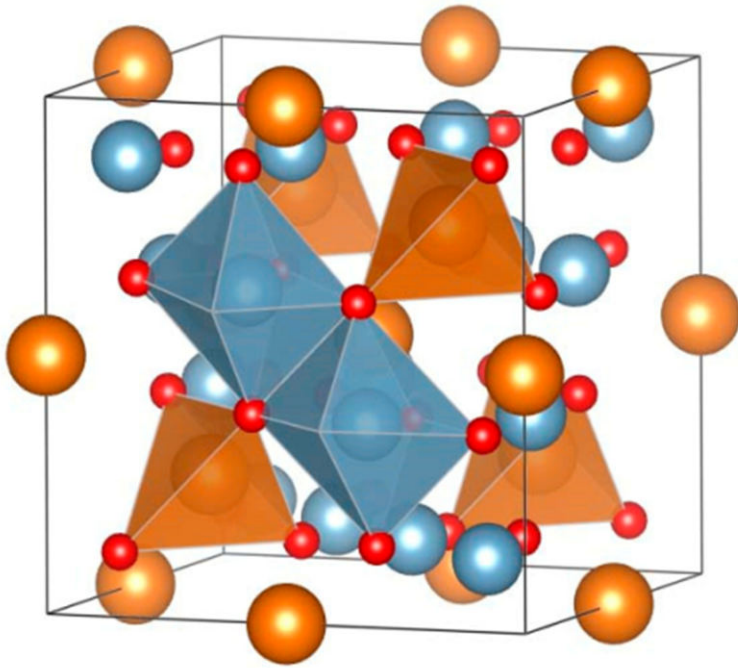
Institute of Physics, University of Tartu, ESTONIA – **Aleksei Krasnikov**

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INTRODUCTION: Main hypothesis and objectives of the project



Space group $Fd-3m$

MgAl_2O_4 spinels have inter-site cation
 g to some degrees and then such
 can be better described by the
 ng structural formula:



Cubic spinel structure unit cell consists of 8 formula units of
 56 atoms (32 oxygen anions + 8 A^{2+} and 16 B^{3+} cations)

The densely packaged arrangement of 32 oxygen atoms form
 64 (tetrahedral) and 32 [octahedral] voids



where i is an inversivity index

$i = 0$ corresponds to normal spinel

$i = 1$ corresponds to inverse spinel

$i = 2/3$ corresponds to the completely disordered spinel

INTRODUCTION: Main hypothesis and objectives of the project

hypotheses:

Radiation resistance of functional transparent ceramics for optical windows subjected to prolonged operation under intense neutron flux is determined by the peculiarities of the spinel crystal structure, the degree of its inversion, the band gap width, and the size of microcrystalline grains.

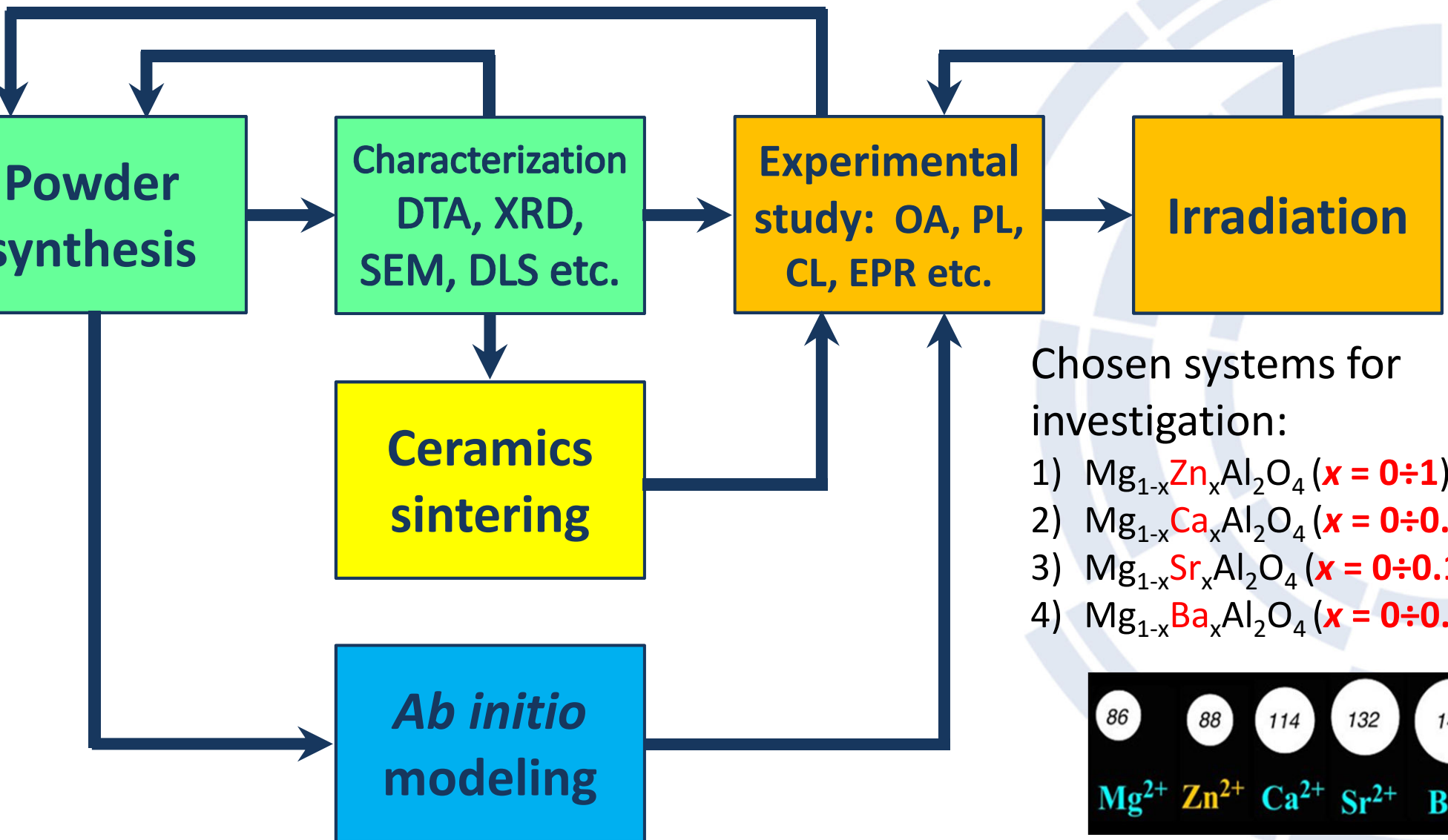
Inversion degree of the spinel structure depends on the ratio of the cation radii forming the tetrahedral and octahedral lattices of the spinel $A^{II}B_2^{III}O_4$, as well as on synthesis conditions and the ceramics sintering.

Comprehensive and consistent study of partially and fully substituted spinel $Mg_{1-x}A_xAl_2O_4$ (A is Zn, Ca, Sr, Ba) will allow us to establish trends in the influence of cationic substitutions on long-term radiation resistance and ways to improve the radiation tolerance of optical windows for fusion applications.

Objectives:

The main goal of this project is establishing a feasibility to enhance the prolonged radiation tolerance of transparent ceramic windows used in fusion applications utilizing partial or full substitution of Mg by Zn, Ca, Sr or Ba in $MgAl_2O_4$ spinel.

INTRODUCTION: Implementation concept



Chosen systems for investigation:

- 1) $\text{Mg}_{1-x}\text{Zn}_x\text{Al}_2\text{O}_4$ ($x = 0 \div 1$)
- 2) $\text{Mg}_{1-x}\text{Ca}_x\text{Al}_2\text{O}_4$ ($x = 0 \div 0.65$)
- 3) $\text{Mg}_{1-x}\text{Sr}_x\text{Al}_2\text{O}_4$ ($x = 0 \div 0.15$)
- 4) $\text{Mg}_{1-x}\text{Ba}_x\text{Al}_2\text{O}_4$ ($x = 0 \div 0.15$)

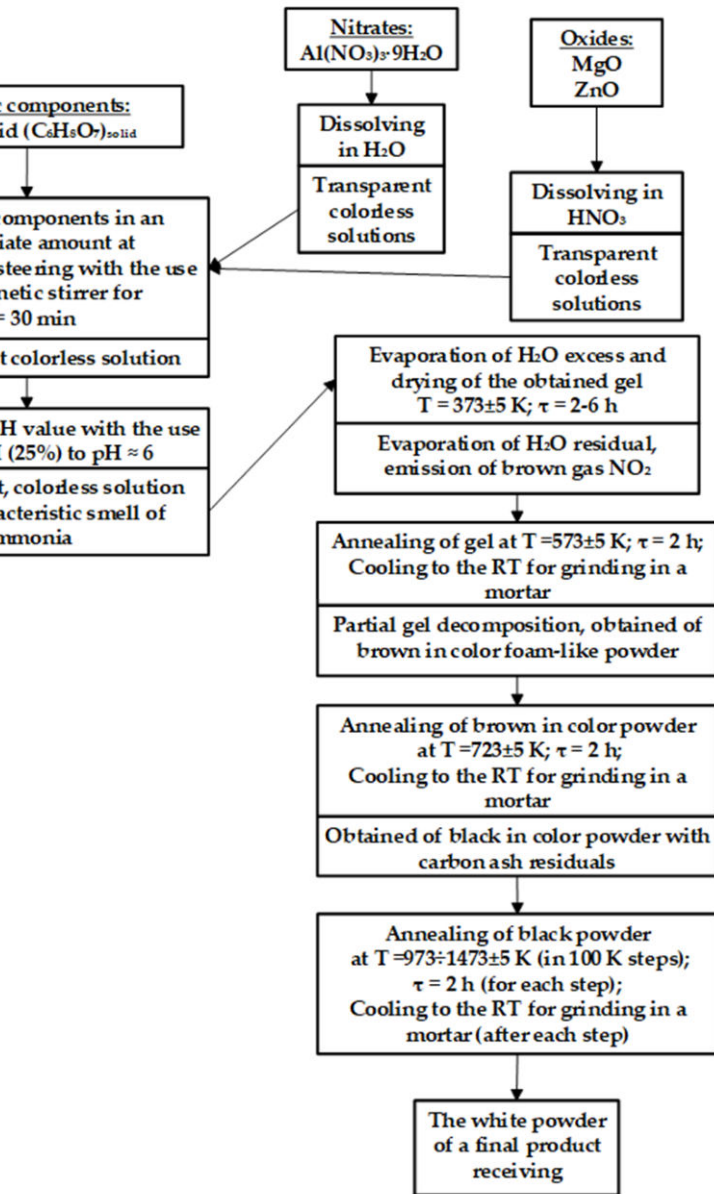
86	88	114	132	149
Mg^{2+}	Zn^{2+}	Ca^{2+}	Sr^{2+}	Ba^{2+}

Ionic radii in pm

INTRODUCTION: Work packages and Tasks specification

Year	2024			
Month since the project start	3	6	9	12
WP1 tasks				
Synthesis of substituted compositions based on $Mg_{1-x}Al_2O_4$, $Mg_{1-x}Ba_xAl_2O_4$, $Mg_{1-x}Ca_xAl_2O_4$ and $Mg_{1-x}Al_2O_4$ with $x = 0.1 \dots 1$				
Refinement of phase diagrams and detailed crystal structure parameters of new spinel compositions;				
Investigation of the influence of synthesis method and conditions on grain size, size distribution and grain morphology of synthesized powders				
Comparison studies of different methods of powder synthesis				
Fabrication of precursors of selected compositions for transparent ceramics sintering				
WP2 tasks				
Ab-initio modelling of the crystalline and electronic structure of new cubic spinel compositions and establishing influence of the substitution degree on the band gap of materials				
Modelling study of mutability of the crystalline and electronic structure of substituted spinels on the inversion degree				
Simulation of radiation defects formation and transformation, the influence of the inversion degree on defects' formation and annihilation				
Comparative analysis of theoretical results with experimental data				
WP3 tasks				
3.1. Parametric study of the sintering process for new spinel precursors, ceramics structure analysis on the atomic, micro- and macro-scale levels				
3.2. Investigation of relation between chemical composition and sintering regimes of transparent ceramic synthesis				
3.3. Study of interrelation of precursors' sintering method, morphology and size distribution with the ceramic structure perfectness and optical transparency				
3.4. Comprehensive analysis of expected behaviour of optical ceramics under irradiation, selection of the most promising samples for irradiation				
WP4 tasks				
4.1. Literature review (OA, PL, CL, EPR of wide-gap spinel-structured materials, primary point defects and stability) and other preparatory works				
4.2. Detailed characterization of pristine spinel structured powder samples and those irradiated samples which have been selected				
4.3. Detailed characterization of the selected polycrystalline ceramics (including optical ones) before and after exposure to irradiation				
4.4. Comparative characterization of pristine/irradiated spinel-structured ceramics of different origin				
4.5. Detailed analysis of the recovery of radiation damage via isothermal annealing of the irradiated ceramics. Analysis/modelling of the annealing kinetics and estimation/prediction the radiation tolerance of novel materials in comparison with that for well-studied mineral spinel $MgAl_2O_4$				

MAIN RESULTS: New spinel compositions synthesis



800 °C 2h

800 °C

Synthesized powders were annealed for 2 h in air at different temperatures:

700 °C

800 °C

900 °C

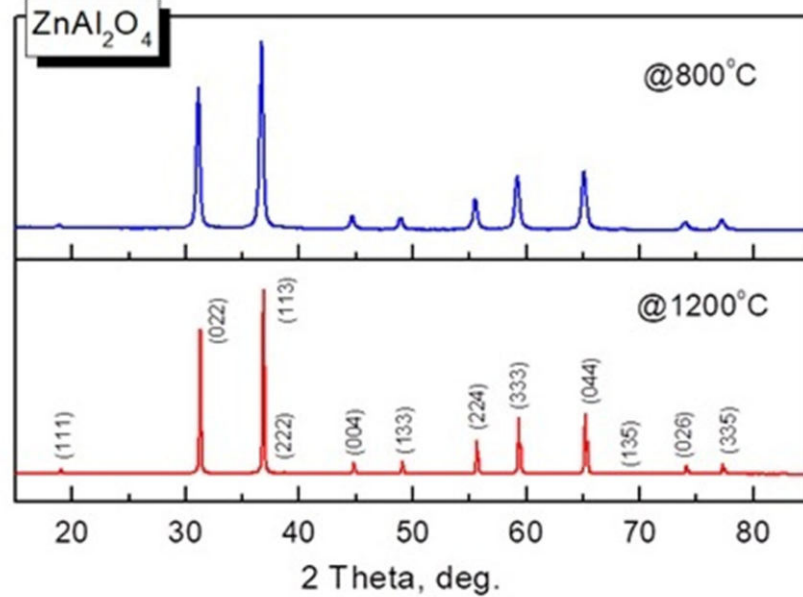
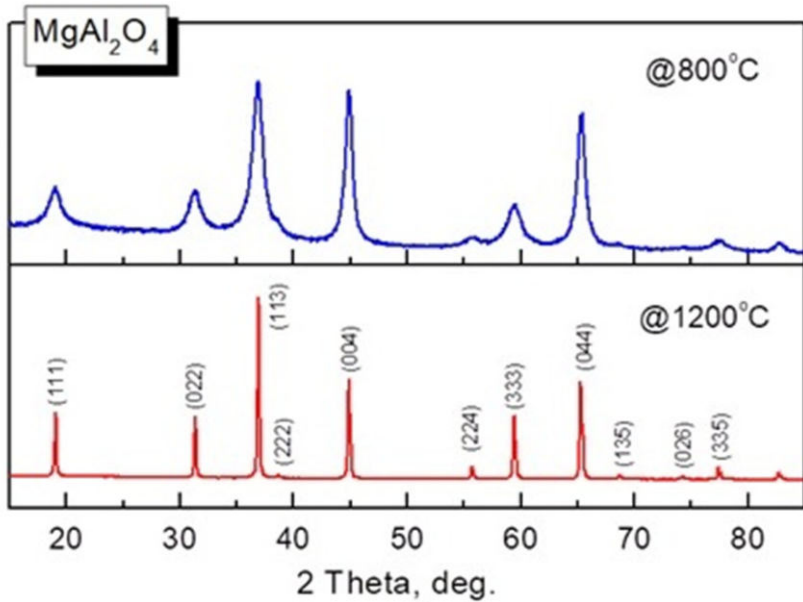
1000 °C

1100 °C

1200 °C

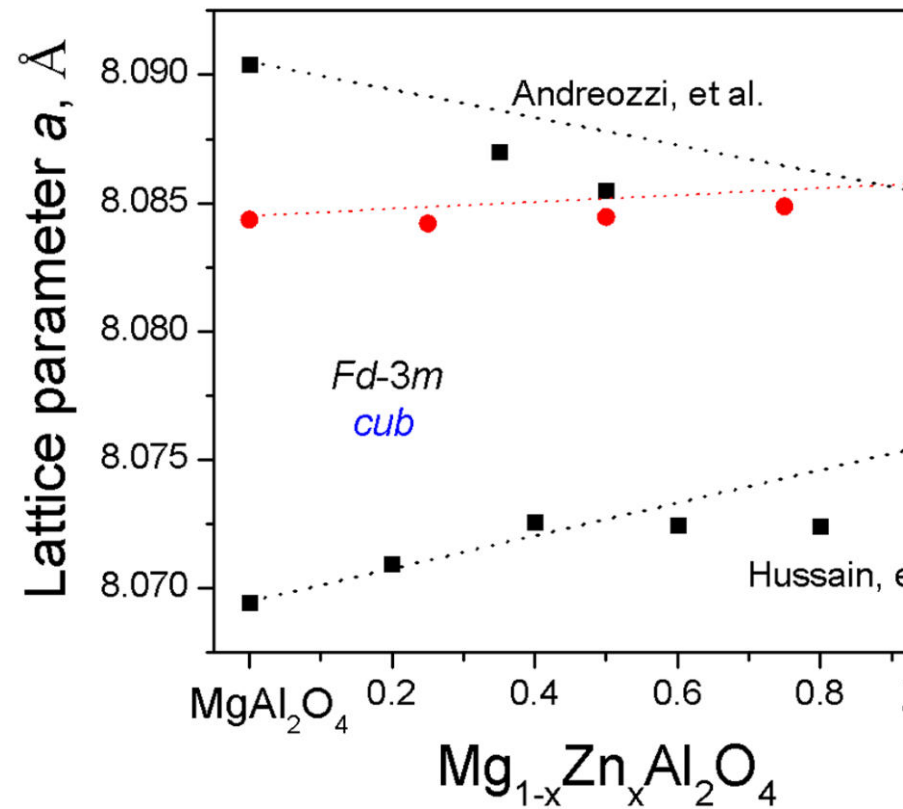
900 °C 2h

MAIN RESULTS: New spinel compositions synthesis

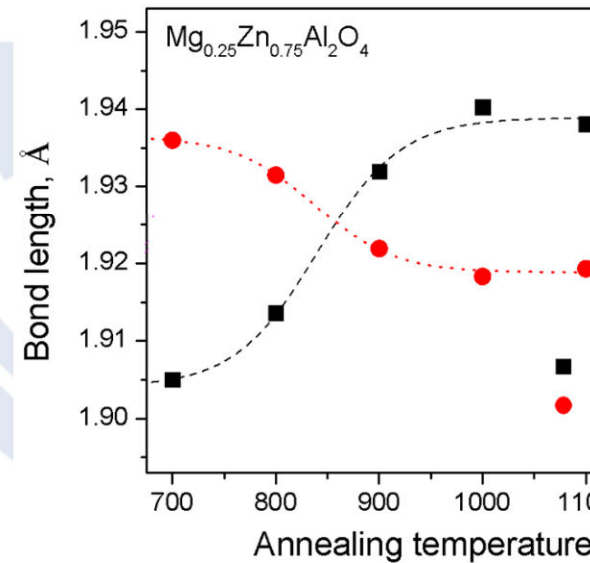
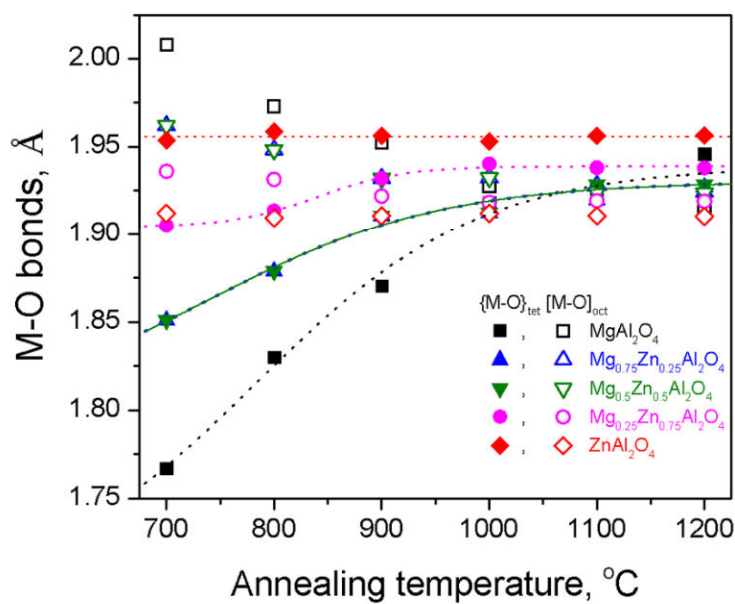
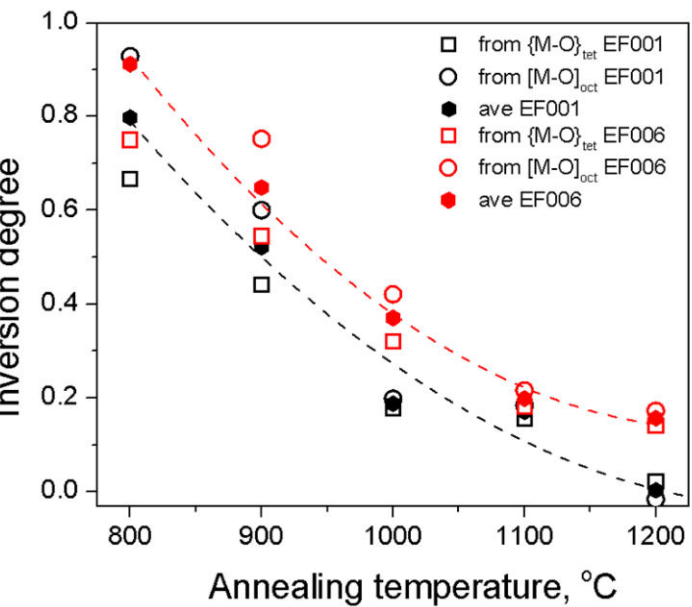
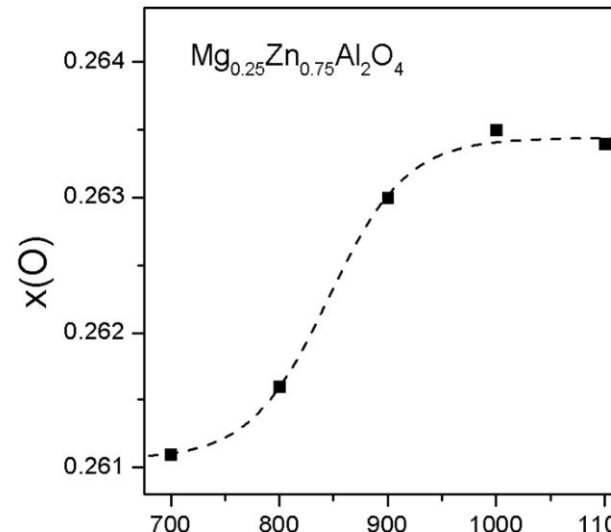
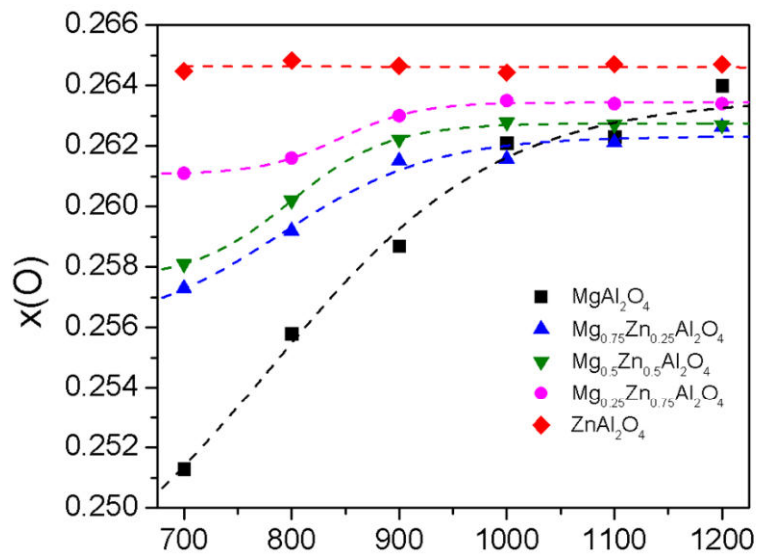
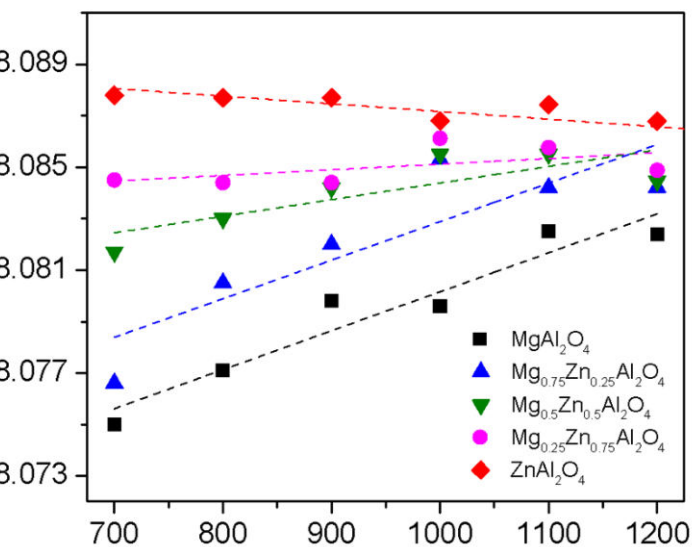


Powders with nominal composition were prepared:

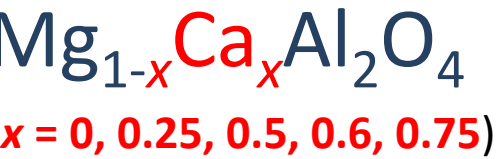
- 1) MgAl₂O₄
- 2) Mg_{0.75}Zn_{0.25}Al₂O₄
- 3) Mg_{0.5}Zn_{0.5}Al₂O₄
- 4) Mg_{0.25}Zn_{0.75}Al₂O₄
- 5) ZnAl₂O₄



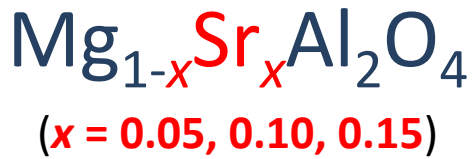
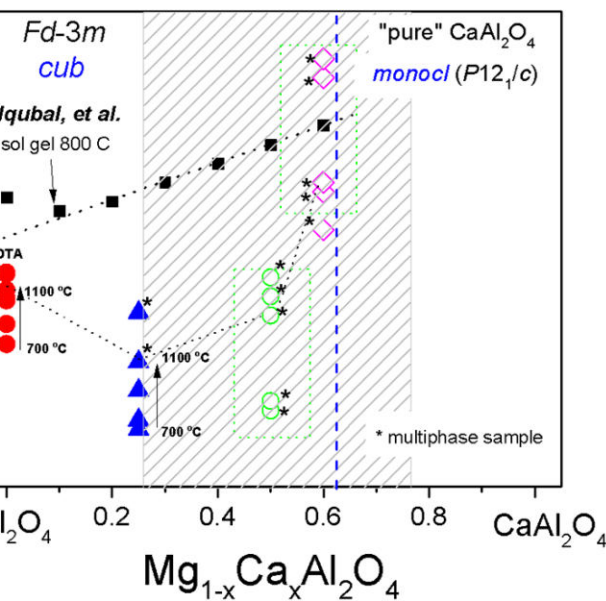
MAIN RESULTS: $\text{Mg}_{1-x}\text{Zn}_x\text{Al}_2\text{O}_4$ lattice parameters behavior



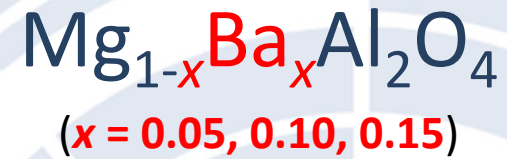
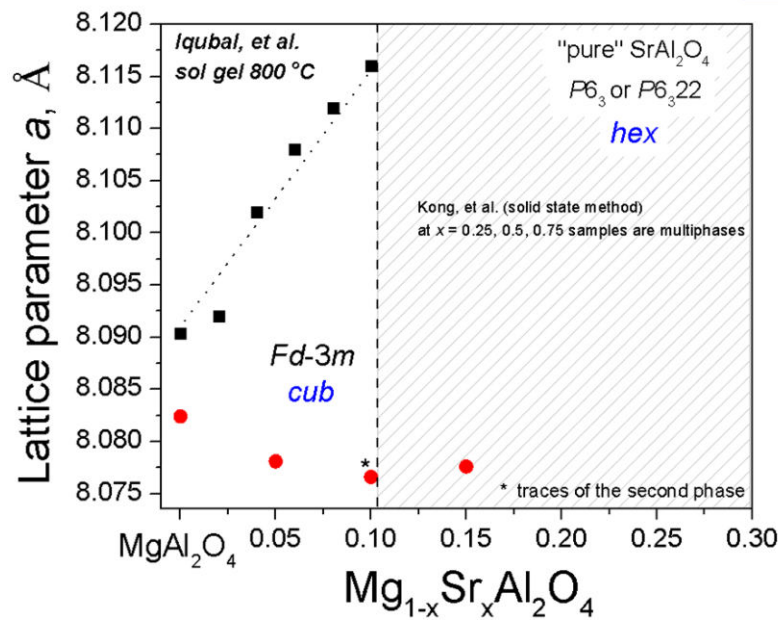
MAIN RESULTS: New spinel compositions synthesis



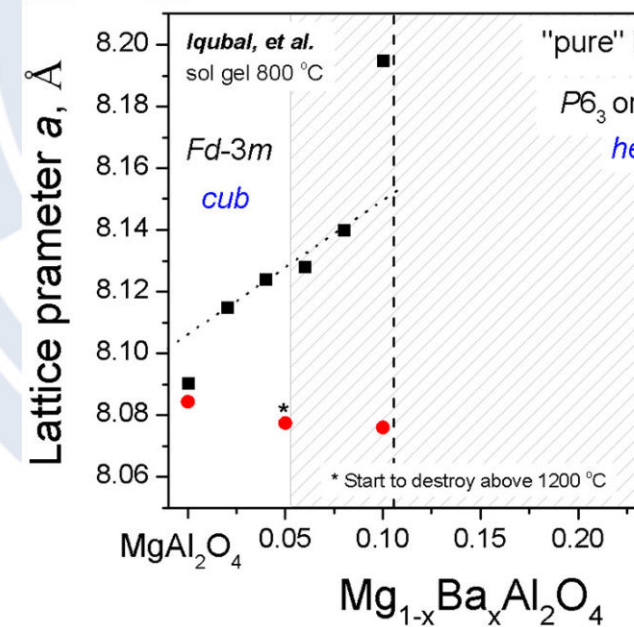
Nominal composition	Single spinel phase
MgAl_2O_4	YES (up to 1500 °C)*
$\text{Mg}_{0.75}\text{Ca}_{0.25}\text{Al}_2\text{O}_4$	Start to destroy at 1100 °C
$\text{Mg}_{0.5}\text{Ca}_{0.5}\text{Al}_2\text{O}_4$	700-800 °C – amorphous phase above 900 °C – multiphase sample
$\text{Mg}_{0.25}\text{Ca}_{0.75}\text{Al}_2\text{O}_4$	
CaAl_2O_4	



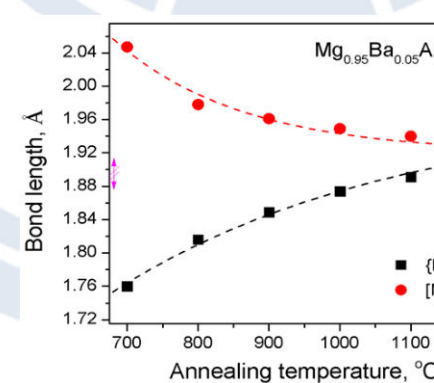
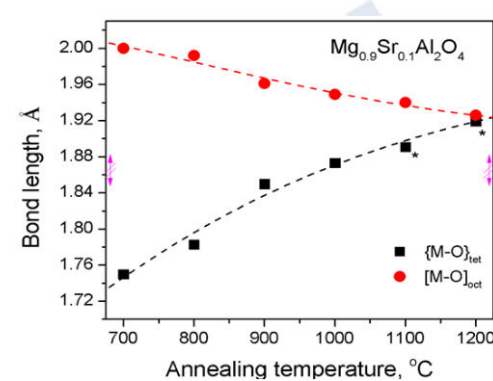
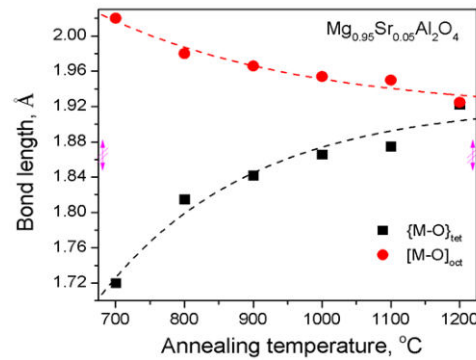
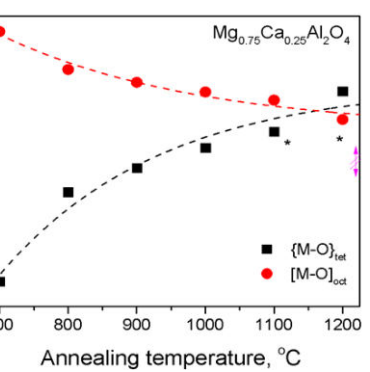
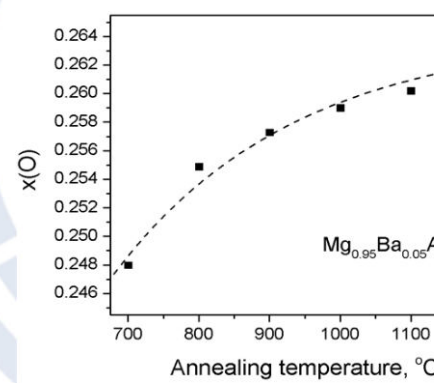
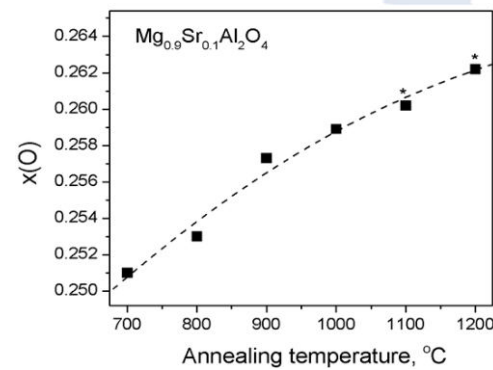
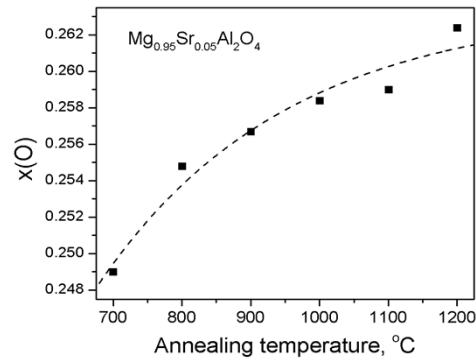
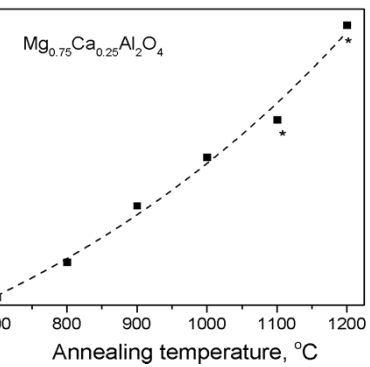
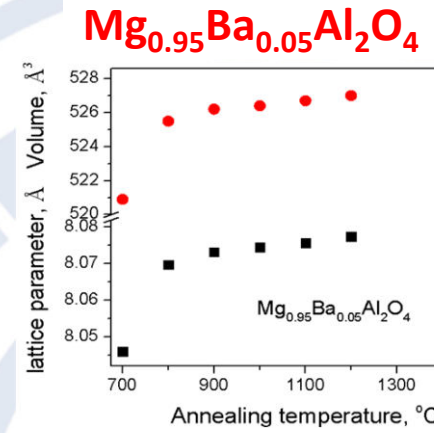
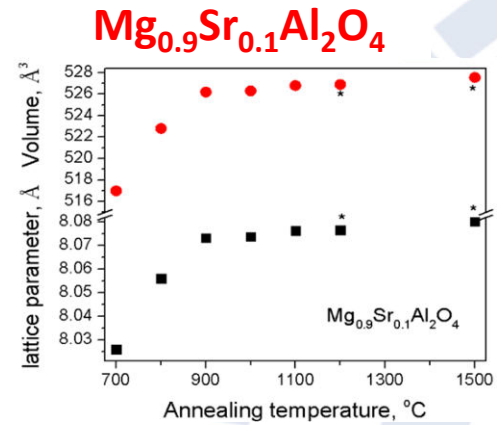
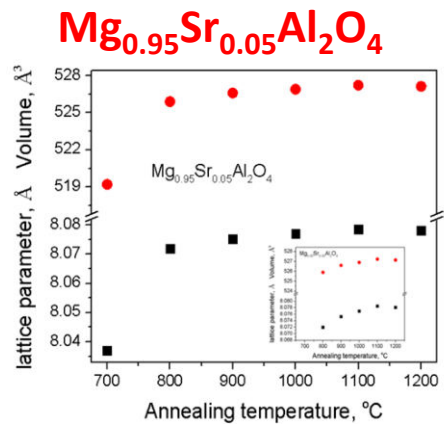
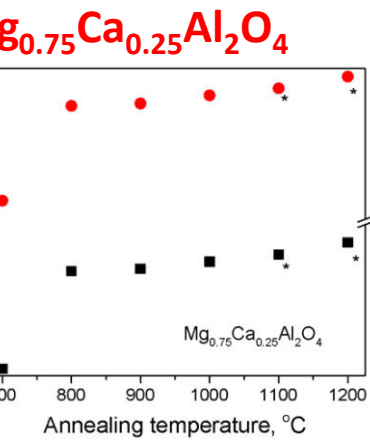
Nominal composition	Single spinel phase
$\text{Mg}_{0.95}\text{Sr}_{0.05}\text{Al}_2\text{O}_4$	YES
$\text{Mg}_{0.90}\text{Sr}_{0.10}\text{Al}_2\text{O}_4$	Start to destroy at 1200 °C
$\text{Mg}_{0.85}\text{Sr}_{0.15}\text{Al}_2\text{O}_4$	Start to destroy at 1000 °C



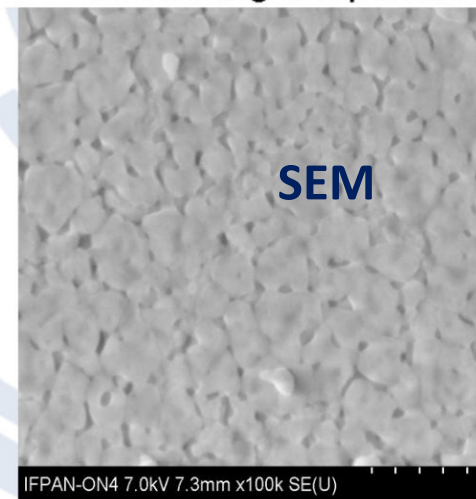
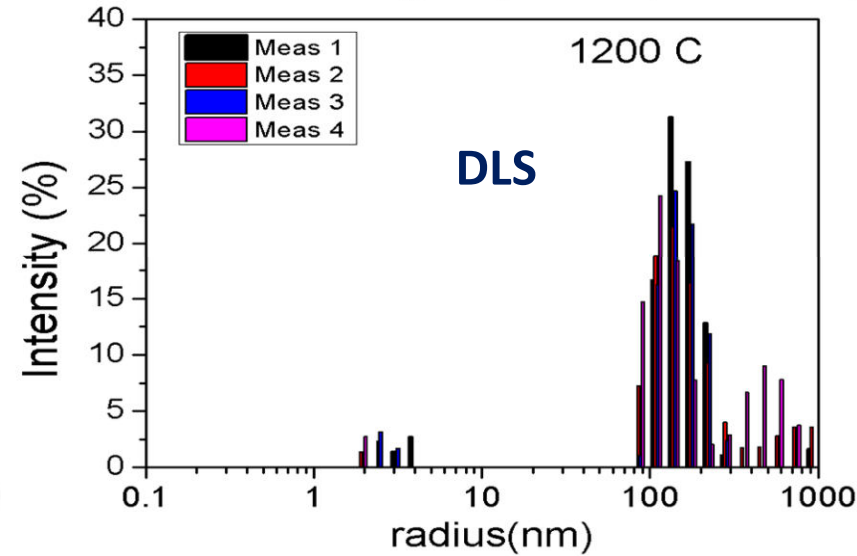
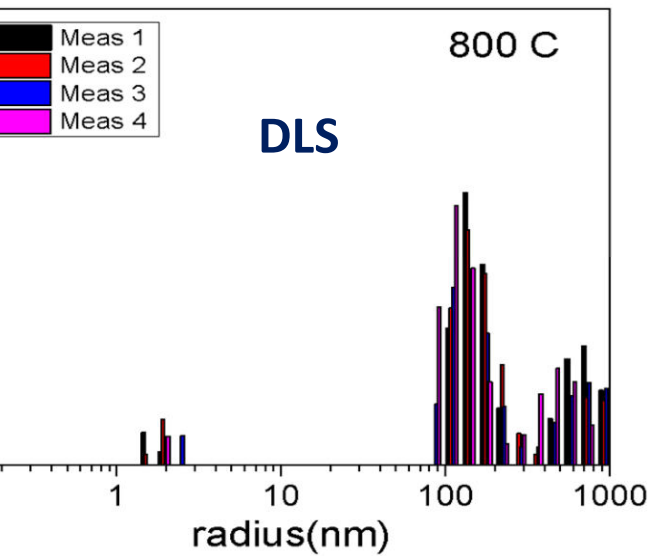
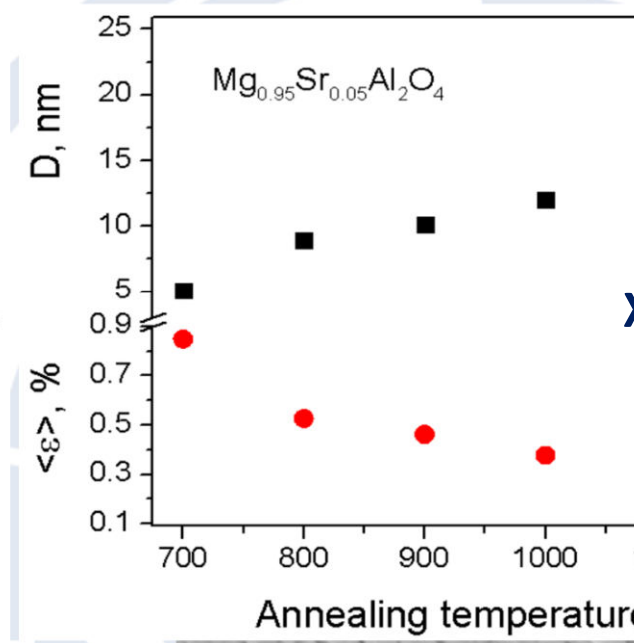
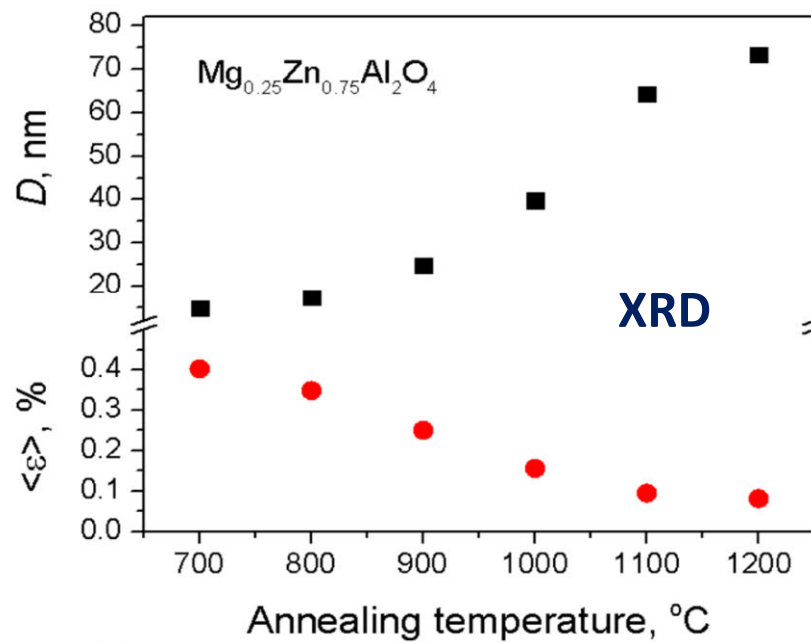
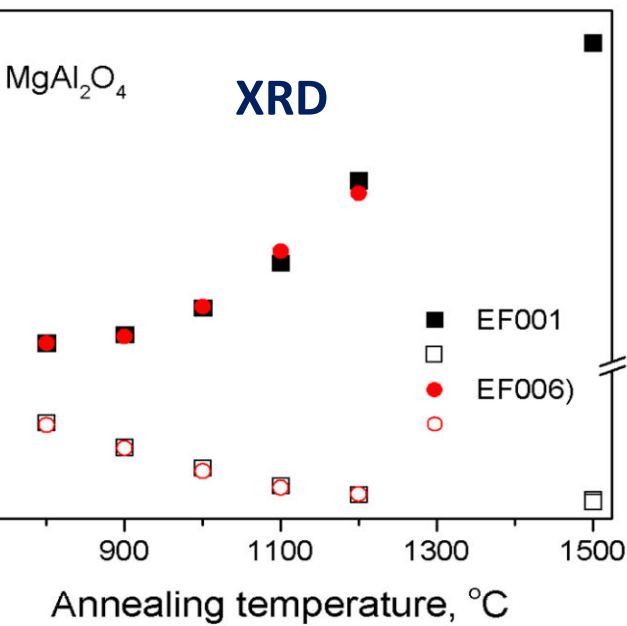
Nominal composition	Single spinel phase
$\text{Mg}_{0.95}\text{Ba}_{0.05}\text{Al}_2\text{O}_4$	Start to destroy above 1200 °C
$\text{Mg}_{0.90}\text{Ba}_{0.10}\text{Al}_2\text{O}_4$	700-800 °C – main phase
$\text{Mg}_{0.85}\text{Ba}_{0.15}\text{Al}_2\text{O}_4$	Above 900 °C – MgAl_2O_4



MAIN RESULTS: Lattice parameters behavior

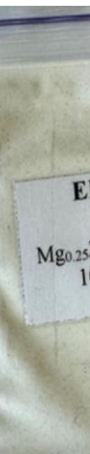
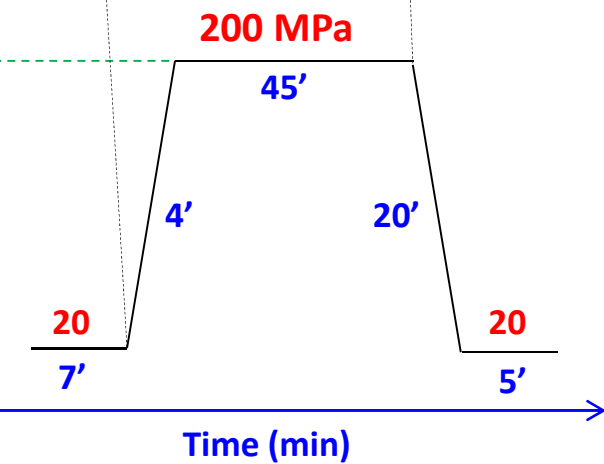
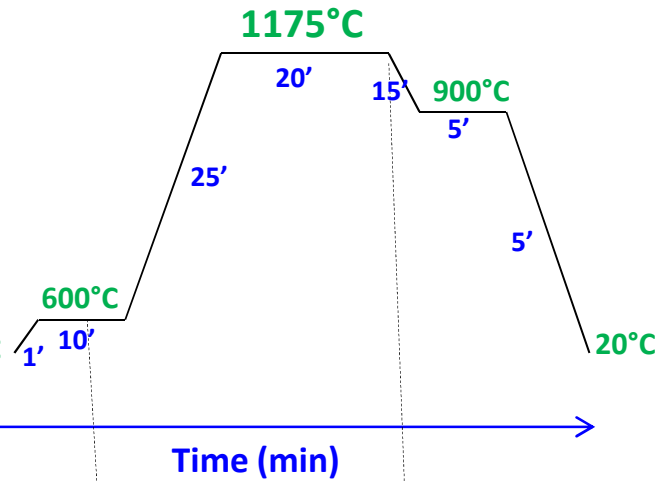


MAIN RESULTS: Crystallites / particles size and microstrains behavior



Mg_{0.25}Zn_{0.75}Al₂O₄ 800°

MAIN RESULTS: Ceramics sintering using the SPS method



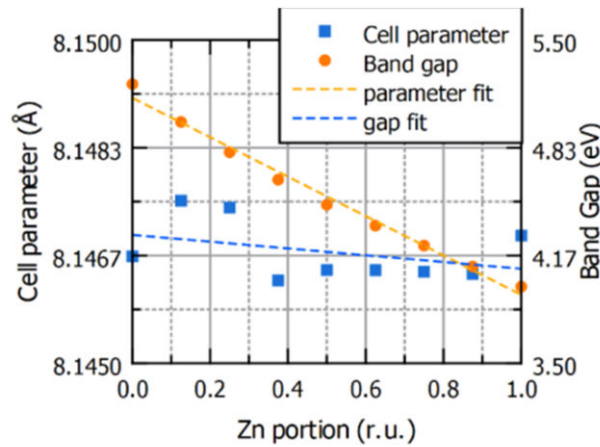
200MPa@1175°C

MAIN RESULTS: *Ab initio* modeling and calculations

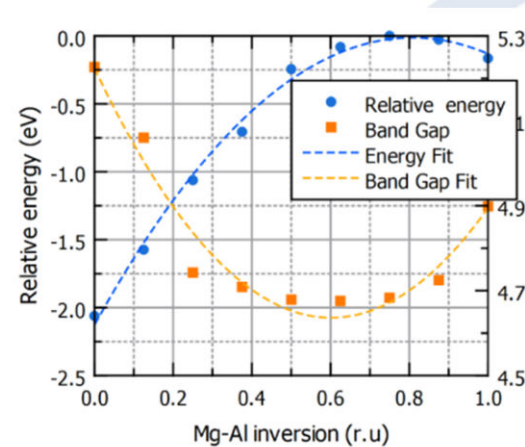
Equilibrium state of crystal structure and its dependence upon the degree of substitution of Mg ions in the spinel structure inversion level.

The $Fd-3m$ unit cell of spinel was used. The cell for $Zn_xMg_{1-x}Al_2O_4$ compounds contained 8 formula units and 56 atoms.

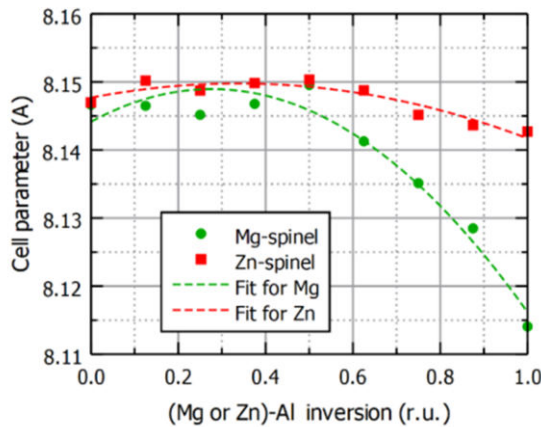
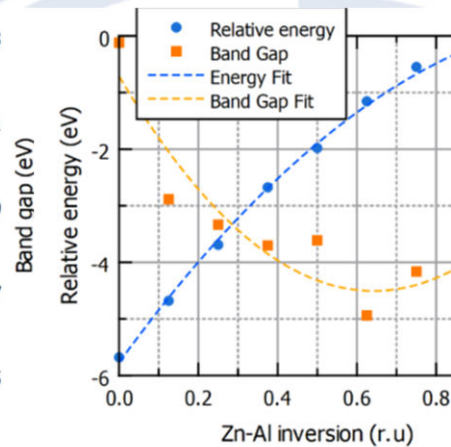
Crystal structure parameters were optimized using the Broyden-Goldfarb-Shanno (BFGS) minimization method. The ground state calculation was performed within the framework of the gradient descent method (GGA) and the plane wave augmented-wave method.



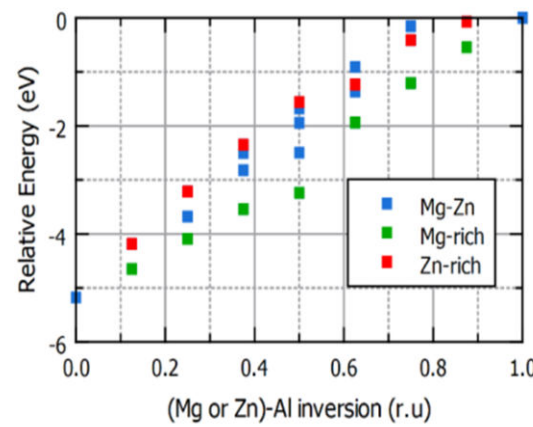
Lattice parameter and band gap in the $Zn_xMg_{1-x}Al_2O_4$ compound depending on x .



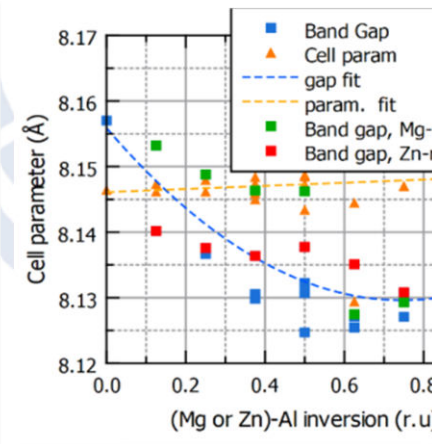
Relative total energies and band gaps in $MgAl_2O_4$ (left) and $ZnAl_2O_4$ (right) compounds with varying degrees of inversion between Mg/Zn and Al atoms.



Lattice parameter in $MgAl_2O_4$ and $ZnAl_2O_4$ compounds with varying degrees of inversion between Mg/Zn and Al atoms.



Total energy values (left), the unit cell parameter and the band gap of mixed spinel $Zn_{0.5}Mg_{0.5}Al_2O_4$ versus the degree of inversion between Mg and Al atoms. Green squares indicate case where mostly Mg is swapped with Al, red squares represent the same with Zn, and blue ones indicate swaps both Mg and Zn.

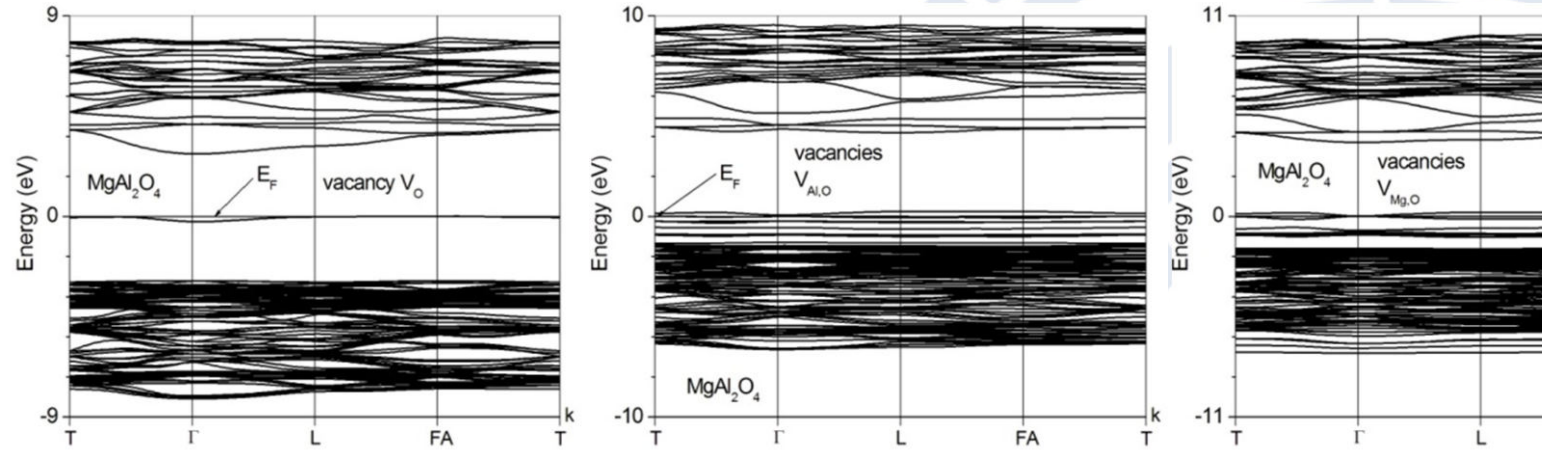


MAIN RESULTS: *Ab initio* modeling and calculations

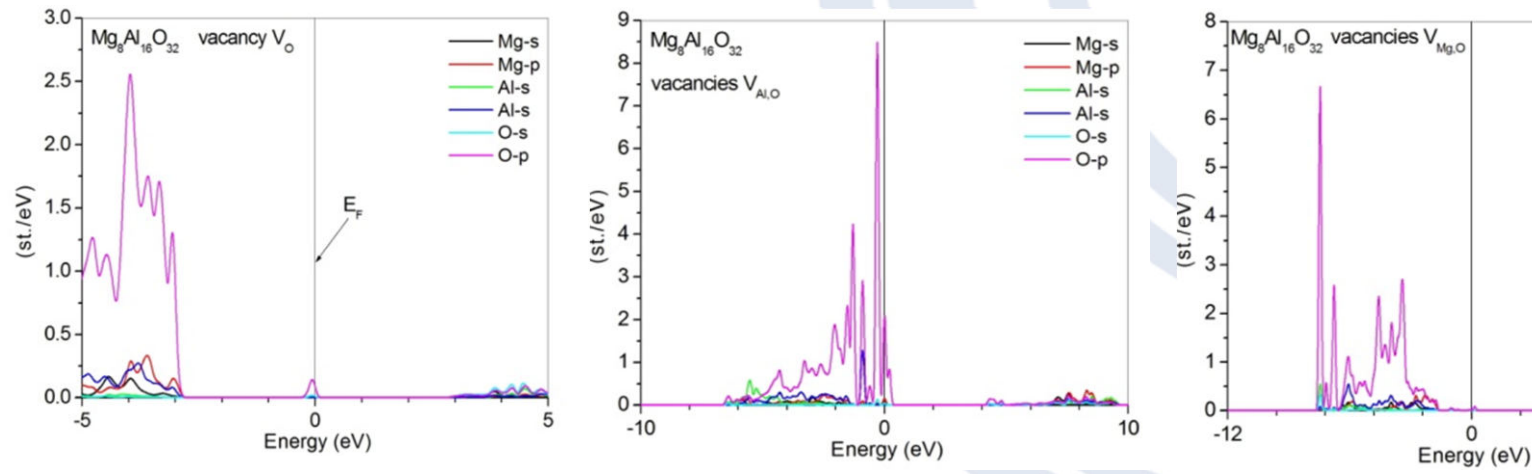
the electronic structure of pure and substituted spinel compounds with some types of point defects and com

nd structure parameters E_c , E_g in eV of the spinel calculated in the GGA and (Green's function approx- n) for perfect lattice and containing different defects.

GGA			GWA		
E_c	E_V	E_g	E_c	E_V	E_g
9.217	4.053	5.164	12.52	4.071	8.447
9.242	3.985	5.257	12.13	3.504	8.624
8.487	4.185	4.302	9.663	4.364	5.299
8.266	4.674	3.592	11.12	4.286	6.833
9.965	4.765	5.2	12.41	4.496	7.912
10.34	4.018	6.317	12.95	3.886	9.062
9.380	4.153	5.227	10.97	4.912	6.055
9.651	4.011	5.64	12.15	3.884	8.267



Electronic energy spectrum for a spinel crystal $MgAl_2O_4$ with different types of defects

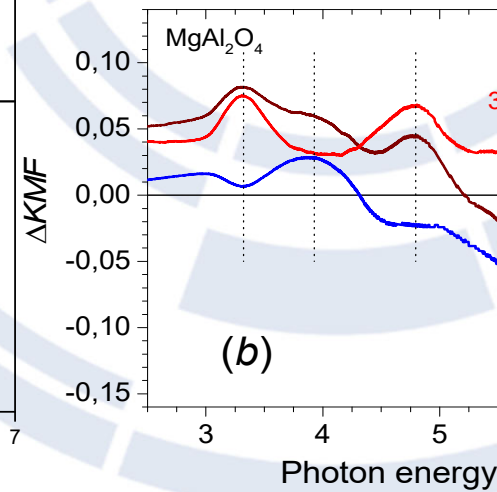
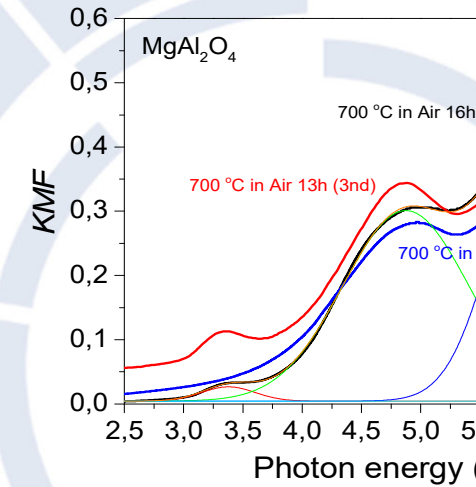
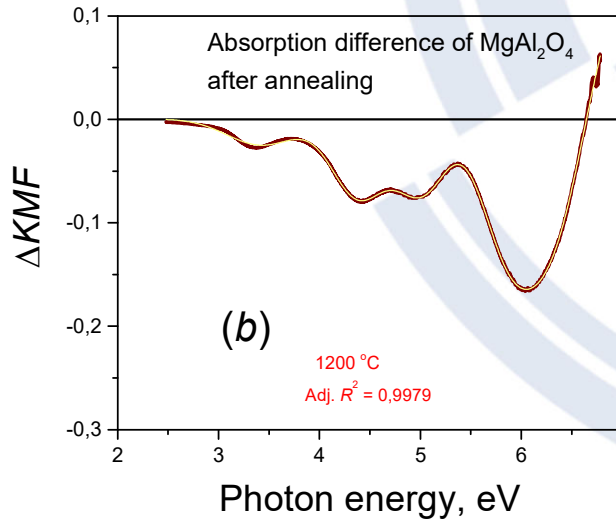
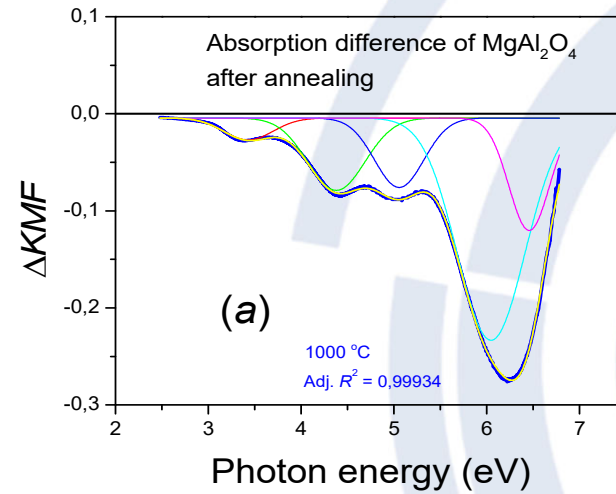
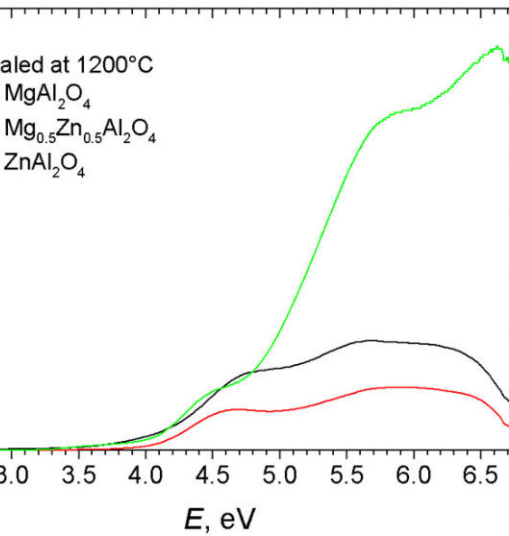
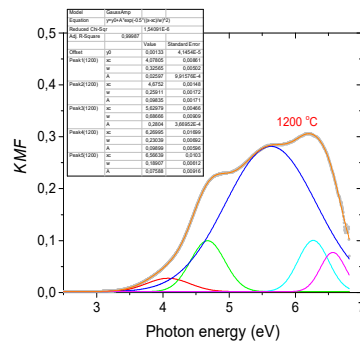
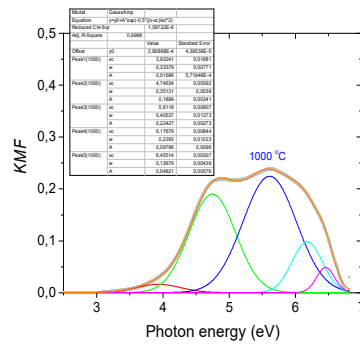
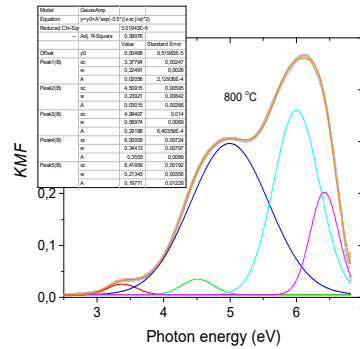
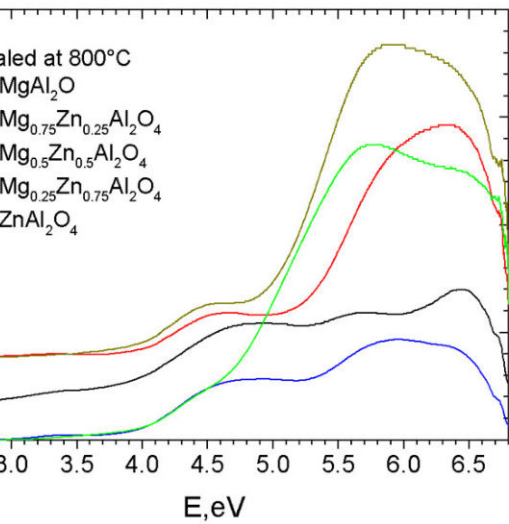


Partial DOS parameters for a spinel crystal $MgAl_2O_4$ with different types of defects

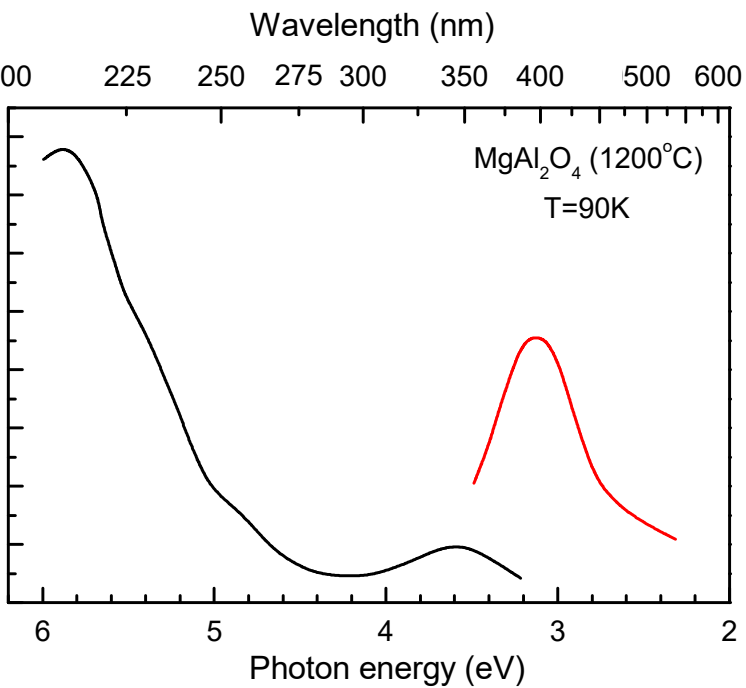
MAIN RESULTS: Experimental study | Optical Absorption

Kunk function (KMF) is deduced from diffuse reflectance of powders

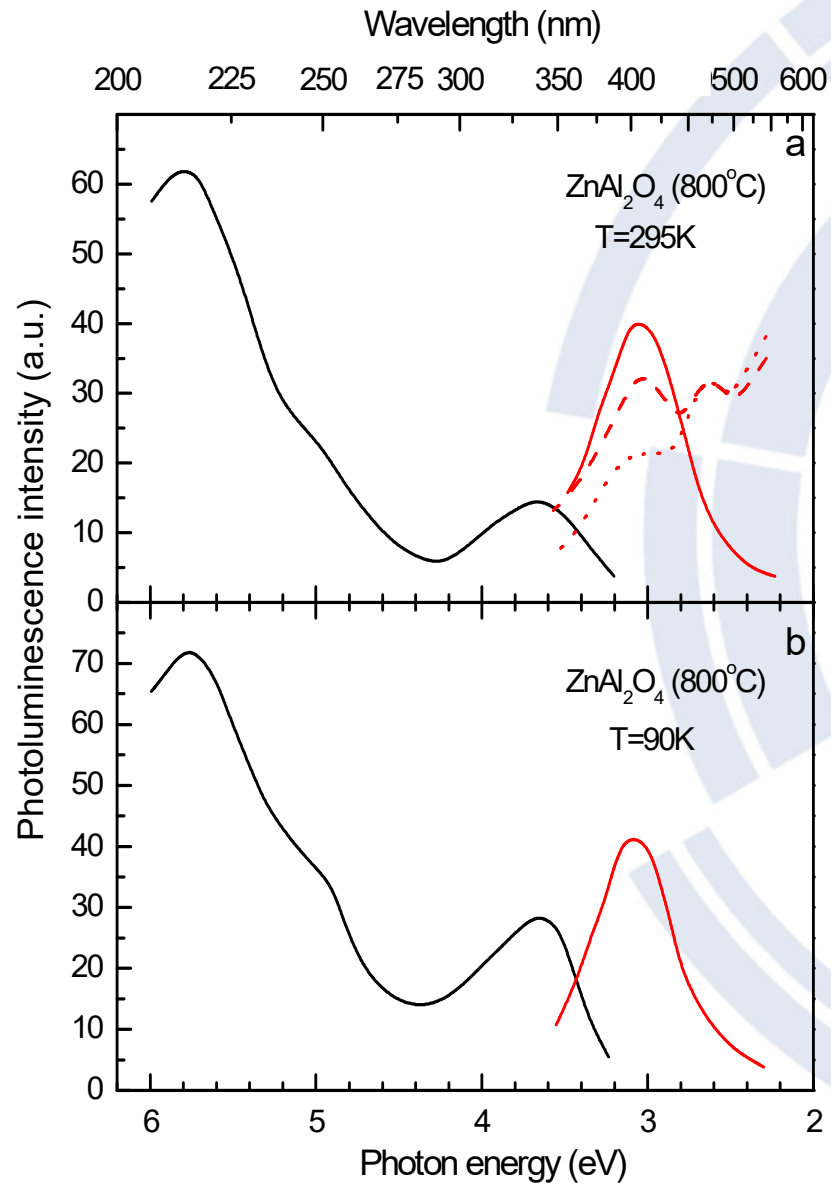
Difference absorption during step annealing and redox treatment



MAIN RESULTS: Experimental study | Photoluminescence

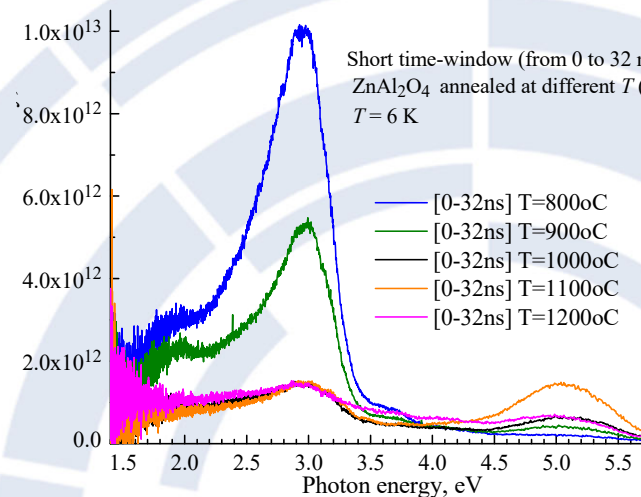
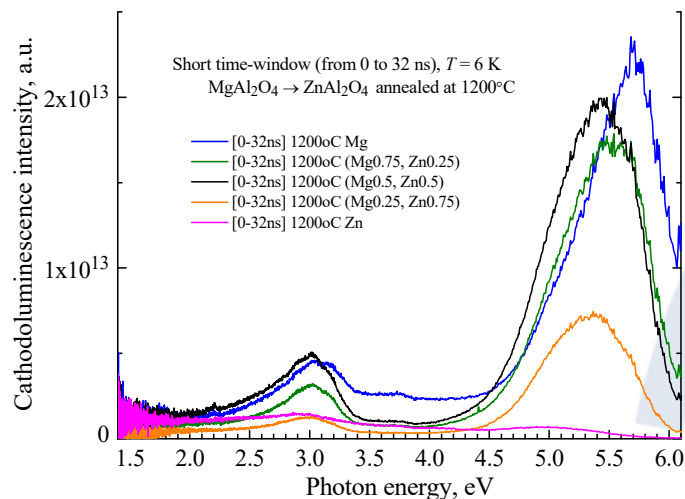
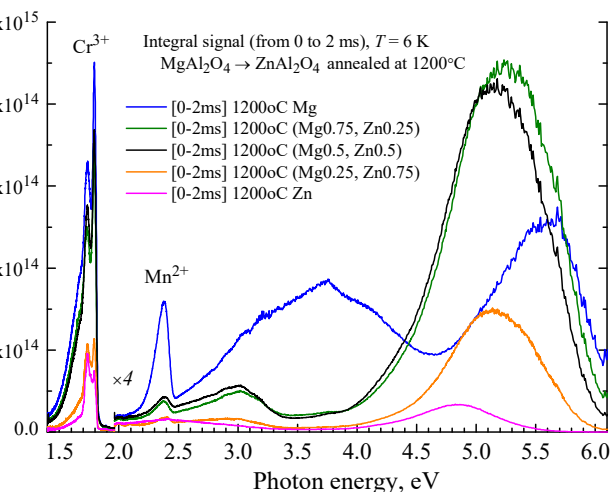


Emission spectrum (red line) and excitation spectrum of the ≈ 3.1 eV emission (black line) of the MgAl₂O₄ 1200 °C spinel measured at 90 K.

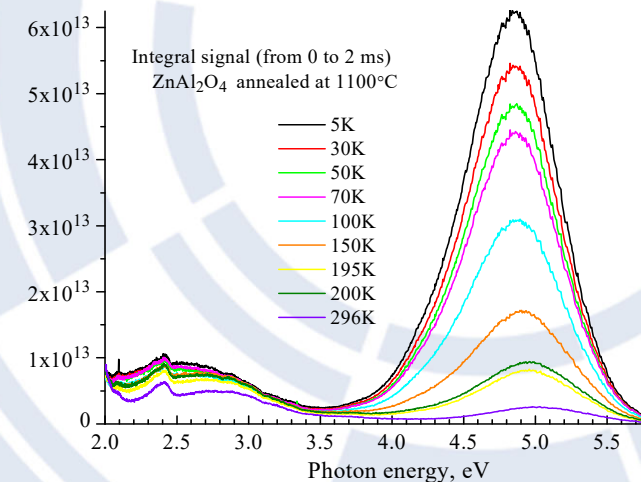
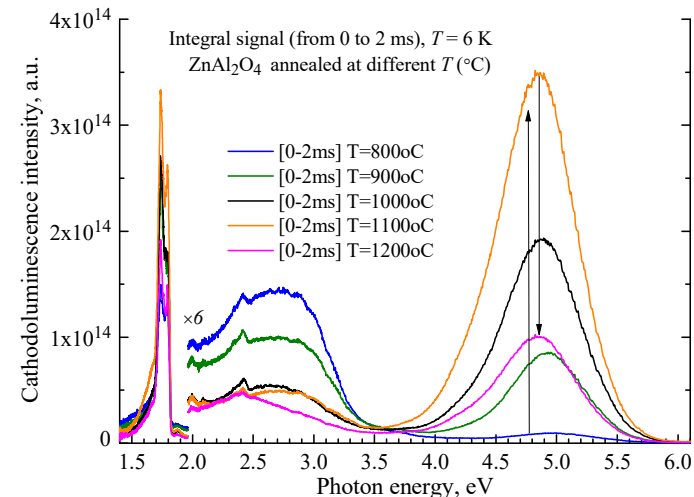
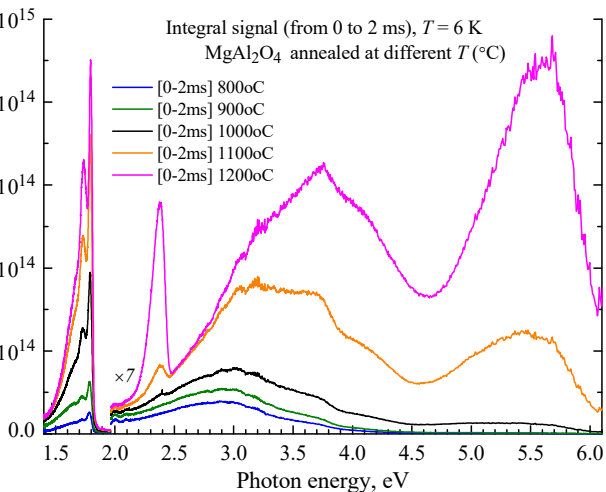


Emission spectra (red lines) and spectra of the 3.0 - 3.1 eV emission (black lines) of the ZnAl₂O₄ 800 °C spinel at (a) 295 K and (b) 90 K. The spectra are measured under excitation with $E_{exc} = 3.75$ eV (red solid line), $E_{exc} = 4.5$ eV (red dashed line), and $E_{exc} = 5.0$ eV (red dotted line).

MAIN RESULTS: Experimental study | Cathodoluminescence



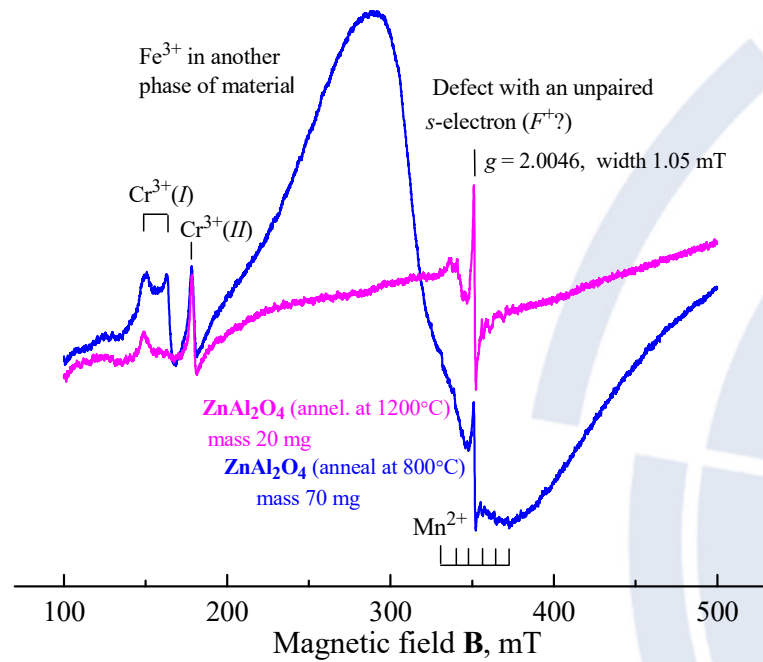
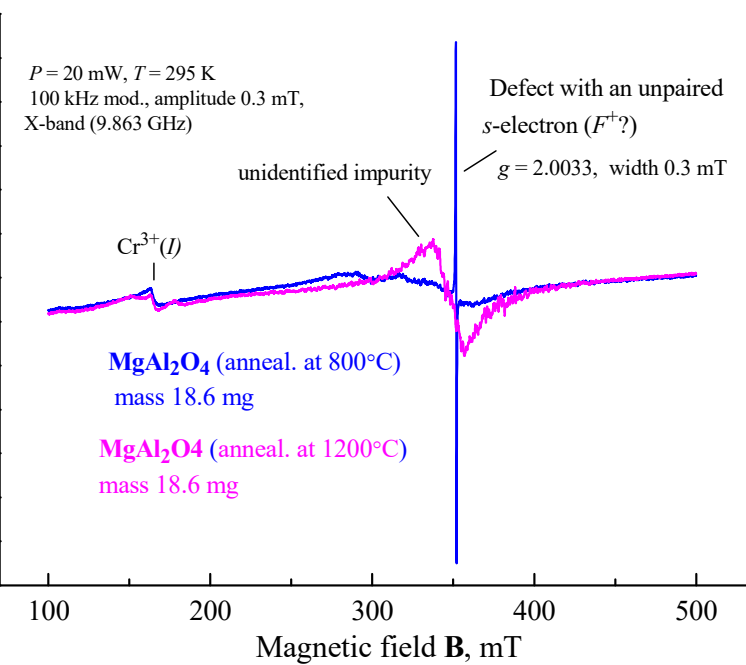
The CL spectra measured at 6 K for an integral (*left*) and fast component (*right*) of $\text{Mg}_{1-x}\text{Zn}_x\text{Al}_2\text{O}_4$ samples annealed at 1200°C .



The ZnAl_2O_4 spectra of fast CL measured at 6 K in samples annealed at different T_{ann} (top) and the integral CL response measured at different temperature in ZnAl_2O_4 ($T_{ann} = 1100^\circ\text{C}$) (bottom).

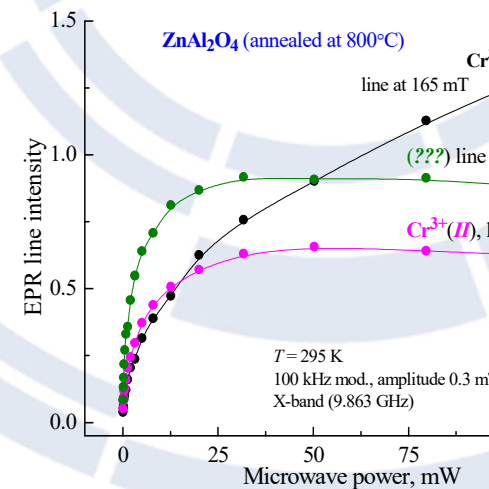
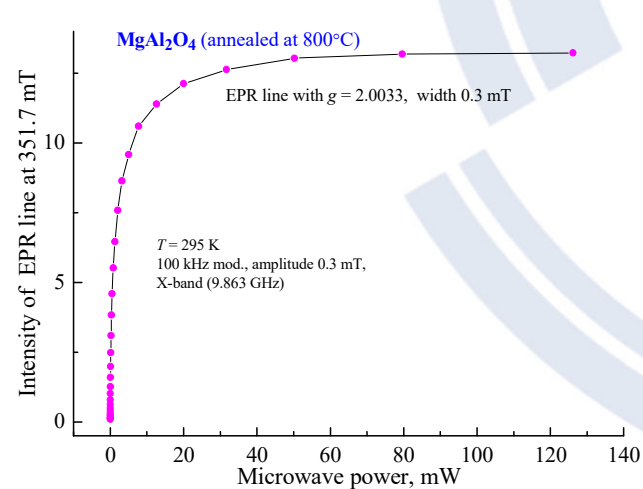
The CL spectra measured at 6 K for an integral signal in MgAl_2O_4 (*left*) and ZnAl_2O_4 (*right*) samples annealed at different temperatures during preparation procedure.

MAIN RESULTS: Experimental study | Electronic paramagnetic resonance



The EPR spectra of MgAl_2O_4 (left) and ZnAl_2O_4 (right) samples with T_{ann} at 800°C and 1200°C . The location of EPR lines (with corresponding g -factor values) related to different ions (Cr^{3+} in different coordination, Fe^{3+}) and unidentified defects are marked. The spectra are measured at RT.

Dependence of the specific center EPR signal intensity on microwave power in MgAl_2O_4 (left) and ZnAl_2O_4 (right) samples annealed during preparation at 800°C . Solid lines serve as a guide for the eye only.



CONCLUSIONS

Four cation varying composition systems $\text{Mg}_{1-x}\text{A}_x\text{Al}_2\text{O}_4$ ($A = \text{Zn, Ca, Sr, Ba}$) with a spinel structure were synthesized by sol-gel method and the spinel phase boundaries were refined.

The peculiarities of lattice parameters behavior versus substitution level were studied in details by XRD as well as depending on annealing temperature.

The crystallite size, microstrains, and morphology were studied by XRD, DTA, SEM, and DLS depending on the annealing temperature.

Attempts to produce transparent ceramics have not yet been successful. Further optimization of the sintering process is required.

The lattice energy and electronic band structure were studied by *ab initio* modeling methods depending on composition of substituted $\text{Mg}_{1-x}\text{Zn}_x\text{Al}_2\text{O}_4$, the level of inversion, and presence of some kind of defects.

A preliminary study of the synthesized powders by OA, PL, CL, and EPR depending on the composition and annealing temperature, as well as redox treatment, has established some correlations, but the nature of the detected defects is not yet clear.

DISSEMINATION OF RESULTS

Poster presentations

1. V. Hreb, V. Stadnik, L. Vasylechko, S. Ubizskii, **Influence of heat treatment temperature on the inversion degree of nanocrystalline $MgAl_2O_4$ spinel**, *The XXI International Conference on Inorganic Chemistry Ukraine (XXI ICICU)*, June 3-6, 2024, Uzhhorod, UKRAINE; BoA, Publishing House of UzhNU «Hoverla» 2024. – p.143.
2. V. Hreb, V. Stadnik, A. Pieniżek Ya. Zhydachevskyy, L. Vasylechko, S. Ubizskii, **Structural features of $MgAl_2O_4$ and $ZnAl_2O_4$ spinels under aftersynthesis annealing**, *International Conference on Nanotechnology and Nanomaterials (NANO-2024)*, Aug. 21–24, 2024, Uzhhorod, UKRAINE; BoA, P. 182.

Oral presentation

3. V. Hreb, V. Stadnik, A. Pieniżek, Ya. Zhydachevskyy, L. Vasylechko, S. Ubizskii, **Heat treatment effects on structural peculiarities of “Inverse” $MgAl_2O_4$ and “Normal” $ZnAl_2O_4$ spinels synthesized by sol-gel method**, *The 4th International Conference on Innovative Materials and Nanoengineering*, Sep. 13-16, 2024, Dovgoluka, UKRAINE, BoA, P. 3-3.

Two manuscripts are being prepared for publication in scientific journals

PROSPECTS for 2025

The second year of the 'Spinel' project will focus on the study of irradiation-induced changes in the properties of powders and sintered ceramics of partially substituted spinels $\text{Mg}_{1-x}\text{A}_x\text{Al}_2\text{O}_4$ ($\text{A} = \text{Zn}, \text{Ba}, \text{Ca}, \text{Sr}$), including a detailed experimental study of genetic and induced optically and EPR-active defects, their transformation under thermal influence, modeling of defect formation, transformation and their repair etc. to establish the regularities of the cationic substitution and inversion level influence on the radiation resistance of spinel.

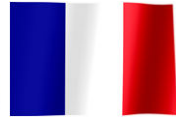
At the same time, work will continue to optimize the conditions for synthesis of nanodispersed powders of substituted spinels as precursors for the manufacture of optical ceramics and the synthesis itself of transparent ceramics by Spark Plasma Sintering.

The outcome of the research will be summarized in conclusions on possibilities for improvement the radiation tolerance of optical diagnostic windows of transparent ceramics based on $\text{Mg}_{1-x}\text{A}_x\text{Al}_2\text{O}_4$ for a fusion reactor.

PROJECT CONTRIBUTORS



Yuri Ubizskii
Oleksandr Vasylechko
Oleh Hreb
Yuri Stadnik
Oleksandr Buryy
Oleksandr Zhydachevskyy
Oleksandr Syrotiuk
Oleksandr Klysko
Oleksandra Klym
Oleksandr Lutsiuk
Oleksandr Poshyvak



Frédéric Schoenstein
Andrei Kanaev
Dominique Vrel
Virgile Tranoy
Alex Lemarchand
Luc Museur
Mamadou Traore



Aleksei Krasnikov
Jevgeni Shablonin
Eduard Feldbach
Veera Krasnenko
Aleksandr Lushchik
Viktor Seeman
Ivo Romet



Thank you for your attention



Contact:

Sergii Ubizskii, Dr.Sc., Prof.,

Professor at the Department of the Semiconductor Electronics

Institute of Information and Communication Technologies and Electronic Engineering

Lviv Polytechnic National University

Email: Sergii.B.Ubizskii@lpnu.ua